

**NEBRASKA STATE RECORDS BOARD  
MEETING: August 1, 2007**

Nebraska State Capitol  
Room 1507  
Lincoln, NE  
August 1, 2007  
9:00 A.M.

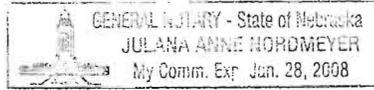
# AFFIDAVIT OF PUBLICATION

State of Nebraska }  
LANCASTER COUNTY, } ss.

**NOTICE OF PUBLIC MEETING**  
Notice is hereby given of a public meeting of the Nebraska State Records Board on Wednesday, August 1, 2007 at 9:00 AM in Room 1507 of the State Capitol, Lincoln, Nebraska. The agenda, which is kept continually current, will include Public Hearings on the establishment of fees for the use of *Nebraska.gov* by the Nebraska Legislative Council — Addendum Three and by the Nebraska Department of Motor Vehicles — Addendum Seven and Addendum Eight. The agenda is available at the Office of the Secretary of State for public inspection during regular business hours.  
#4527251 1x June 29

The undersigned, being first duly sworn, deposes and says that she/he is a Clerk of the Lincoln Journal Star, legal newspaper printed, published and having a general circulation in the County of Lancaster and State of Nebraska, and that the attached printed notice was published in said newspaper one successive time(s) the first insertion having been on the 29TH day of June A.D., 2007 and ~~thereafter on~~ \_\_\_\_\_, 20\_\_\_\_ and that said newspaper is the legal newspaper under the statutes of the State of Nebraska. The above facts are within my personal knowledge and are further verified by my personal inspection of each notice in each of said issues.

Juliana Anne Nordmeyer  
Subscribed in my presence and sworn to before me this \_\_\_\_\_  
day of June 29, 2007  
Juliana Anne Nordmeyer Notary Public  
Printer's Fee, \$ \_\_\_\_\_ 4527251



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#4527251 1x June 29

# NEBRASKA STATE RECORDS BOARD AGENDA

Room 1507 State Capitol  
August 1, 2007 - 9:00 A.M.

1. CALL TO ORDER, ROLL CALL
2. ANNOUNCEMENT OF NEBRASKA OPEN MEETING ACT  
The Act and reproducible written materials to be discussed at the open meeting are located in the back of the meeting room.
3. NOTICE OF HEARING  
Public notice of the meeting was given by posting notice in the Lincoln Journal Star on June 29, 2007, on the State of Nebraska's online public meeting calendar; and keeping a current copy of the agenda in the Secretary of State's office, listing the date, time and location of the meeting.
4. ADOPTION OF AGENDA
  - a. **Action Item:** Approval of Agenda
5. APPROVAL OF MINUTES
  - a. **Action Item:** Approval of April 11, 2007 meeting
6. CHAIRMAN'S REPORT
  - a. Signed Addenda:
    1. Commission for the Blind & Visually Impaired, Addendum One, signed April 11, 2007.
    2. Department of Natural Resources, Addendum Two, signed July 17, 2007.
    3. NE Accountability and Disclosure Commission, Addendum Four, signed July 17, 2007
    4. NE Liquor Control Commission, Addendum Five, signed July 17, 2007.
  - b. **Action item:** Goals Subcommittee Report
  - c. Review of Contract Renewal dates for Network Manager Services.
7. STATE AGENCY GRANT APPLICATIONS
  - a. **Action item:** NE Supreme Court - Automation for the Nebraska State Library - \$24,475
  - b. **Action item:** NE Accountability and Disclosure Commission - On Line Campaign Statement Filings - \$25,000
  - c. **Action item:** Office of the CIO - Department of Administrative Services - NE Geospatial Data Sharing and Web Services Network - \$25,000 for two years (\$50,000 total)
8. REINVESTED REVENUE GRANT APPLICATION
  - a. **Action item:** Nebraska.gov - Google Search Engine Funding - \$25,000
9. PUBLIC HEARING ON CONTRACT ADDENDA
  - a. **Action item:** NE Legislative Council - Addendum Three
  - b. **Action item:** NE Department of Motor Vehicles - Addendum Seven & Addendum Eight

10. GENERAL COUNSEL'S REPORT

- a. DMV Contract Report (no material)
- b. VISA Operating Regulations update (no material)
- c. 2007 State Legislative and Court Opinion
- d. **Action item:** NSRB - Cash Fund Balance (Suzie Hinzman)
- e. Grant Status Report
- f. Reinvested Revenue Report

11. NEBRASKA.GOV REPORT'S

- a. **Action Item:** New Employee (Brent Hoffman)
- b. **Action Item:** Project Priority Report
- c. General Manager's Report (information only)

12. CONTRACTOR'S REPORT

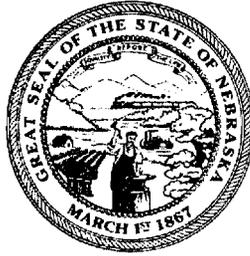
- a. NSRB Report

13. DATE FOR NEXT MEETING

Wednesday, November 14, 2007  
9:00 AM Room 1507 - State Capitol

14. ADJOURNMENT

- a. **Action Item:** Move to adjourn



## NEBRASKA STATE RECORDS BOARD

### MINUTES

#### Meeting of April 11, 2007

Chairman Gale announced the resignation of Board member Diane Vicars who resigned effective March 12, 2007.

Chairman Gale introduced, welcomed and swore in new Board member Mike Edgecombe, representing the Media, replacing Ms. Vicars.

The meeting was called to order by Chairman John Gale at 9:00 A.M. on April 11, 2007, in Room 1507 of the State Capitol, Lincoln, Nebraska.

A Roll Call was taken. The following Board members were present:

John Gale, Chairman;  
Jerry Catlett;  
John Curry;  
Brenda Decker, representing the Director of Administrative Services;  
Leslie Donley, representing the Attorney General;  
Mike Edgecombe;  
Mike Foley;  
Tom Freimuth;  
Timothy Loewenstein;  
Shane Osborn;  
Laureen Riedesel.

Chairman Gale announced that in accordance with the Nebraska Open Meetings Act, reproducible written materials to be discussed at the open meeting are located in the back of the meeting room. Also, a copy of the Nebraska Open Meetings Act is located in the back of the meeting room.

Chairman Gale announced public notice of the meeting was given by posting notice in the Lincoln Journal Star newspaper on March 12, 2007 and a copy of the Notice and Affidavit of Publication by the printer is to be included in the Board records. A current copy of the agenda has been kept in the Secretary of State's office listing the date, time and location of the meeting.

Chairman Gale asked for a motion to approve the agenda. Mr. Foley moved to adopt the agenda; motion seconded by Mr. Osborn.

Voting For:	Catlett	Curry	Decker	Donley
	Edgecombe	Freimuth	Gale	Foley
	Loewenstein	Osborn	Riedesel	

Voting Against: None  
Absent: Hill

The motion carried.

Chairman Gale asked for a motion to approve the meeting minutes of the January 16, 2007 meeting. Mr. Loewenstein moved to approve the January 16, 2007 meeting minutes; motion seconded by Mr. Foley.

Voting For: Catlett Curry Decker Donley  
Edgecombe Freimuth Gale Foley  
Loewenstein Osborn Riedesel  
Voting Against: None  
Absent: Hill

The motion carried.

Chairman Gale gave the Chairman’s Report. He reported to the Board that Addendum Four to the Interagency Agreement between Nebraska Administrative Office of the Courts and Nebraska State Records Board was signed on March 28, 2007. Mr. Brian Stevenson, General Manager, Nebraska.gov, explained the addendum.

Chairman Gale requested guidance on Board member reimbursement. It was agreed that any member traveling over 100 miles to a Board meeting may be reimbursed for expenses for an overnight hotel stay the evening before a Board meeting.

Chairman Gale announced the Website recognition report given by Nebraska.gov was distributed to the Board members. Mr. Lowenstein expressed gratitude and congratulations to Nebraska.gov on behalf of the Board and directed Mr. Stevenson to extend this gratitude and congratulations to his staff.

Chairman Gale said he will report at the next meeting regarding the Board Goal Setting subcommittee.

Mr. Moravec gave the General Counsel’s Report. Mr. Moravec reported he and Ms. Donley met with Nebraska.gov and the Department of Motor Vehicles (DMV) regarding the Agreement of Nebraska Department of Motor Vehicles, Nebraska Interactive, LLC, and the Nebraska State Records Board. He indicated the contract is still in draft form and the agreement is still being finalized.

Mr. Moravec gave an update on the VISA Operating Regulations issue and referred to item #13 on today’s agenda. A hearing will follow during this meeting.

Mr. Moravec gave the background history on the CIO Nebraska One Stop Business Registration Portal. He referred to item #10, d. on today’s agenda. Mr. Brian Stevenson will present this document for grant continuation during his report.

Mr. Moravec asked the Board is they preferred to receive the State Records Board meeting agendas and related documents via email or if they would prefer to go to a website with links for this information as had been requested in the past. The Board members agreed to the choice of email for this communication. They also agreed to post only the agenda and approved meeting minutes on the State Records Board website with the addition of contact information to include a direct email link to request further information or documents regarding either the agenda or minutes.

Mr. Brian Stevenson, General Manager, Nebraska.gov, discussed the Data Center Migration and Degradation of Service Summary regarding the website outage of January 14 & 15, 2007 and answered questions from Board members..

Mr. Stevenson discussed the Project Priority Report. Ms. Riedesel motioned to approve the Project Priority Report; seconded by Ms. Donley.

Voting For: Catlett Curry Decker Donley

	Edgecombe Osborn	Freimuth Riedesel	Gale	Loewenstein
Voting Against:	None			
Absent:	Foley	Hill		

Mr. Stevenson discussed the General Manager’s Report and answered questions from the Board. He distributed an updated February 2007 Financial page to be included in this report. Mr. Curry asked Mr. Stevenson to include a prior year percentage of revenue contribution in the General Manager’s report at the next meeting. Mr. Stevenson agreed to include this data in his report at the next State Records Board meeting.

Chairman Gale called a recess at 10:30 A.M.

Chairman Gale reconvened the meeting at 10:50 A.M.

Mr. Stevenson answered questions on the Nebraska One Stop Business Registration Portal. Mr. Lowenstein motioned to approve the One Stop Business Registration Portal; seconded by Mr. Osborn. Mr. Lowenstein withdrew the motion. Mr. Lowenstein motioned to approve the One Stop Business Registration Portal grant for \$42,500.00; seconded by Mr. Osborn. Mr. Catlett asked for a ‘due date’ on the project. Mr. Stevenson indicated he would provide that date at the next meeting after he determines the development time.

Voting For:	Catlett Gale	Decker Loewenstein	Donley Osborn	Edgecombe Riedesel	Freimuth
Voting Against:	None				
Absent:	Hill				
Abstain:	Curry	Foley			

The motion carried.

Chairman Gale opened the VISA – Nebraska.gov fee hearing and explained the process of testimony. Testimony was given by Nick Baxter, SR. Vice President of First National Nebraska, the holding company for First National Bank of Omaha and Mr. William Bradley, Chief Operating Officer and General Counsel for Nebraska Interactive and Ms. Michele Raphael, Director of Treasury Management for the State Treasurer’s Office.

Chairman Gale called a recess at 1:25 P.M.

Chairman Gale reconvened the meeting at 1:50 P.M.

Discussion by the Board continued. Ms. Donley motioned to table any action on the VISA operating regulations until the Board meeting on July 11, 2007. Ms. Donley amended the motion to say ‘the next regularly scheduled Board meeting’; seconded by Mr. Catlett.

Voting For:	Catlett Edgecombe Loewenstein	Curry Freimuth Osborn	Decker Gale Riedesel	Donley Foley
Voting Against:	None			

Absent: Hill

The motion carried.

Mr. Osborn motioned to direct Nebraska.gov to submit their position, including relevant, appropriate and non-proprietary information, on portal vs. convenience fees within 30 days to the NE State Records Board Chairman, with a copy to the State Treasurer; seconded by Mr. Foley. The submission will be forwarded to the Board members and First National Bank.

Voting For:	Catlett	Curry	Decker	Donley
	Edgecombe	Freimuth	Gale	Foley
	Loewenstein	Osborn	Riedesel	

Voting Against: None

Absent: Hill

The motion carried.

Mr. Stevenson concluded his General Manager's Report.

Mr. Moravec reported on the NSRB Cash Fund Balance, State/Local Grant Project Status Report and the Reinvested Revenue Grant Status Report.

Mr. Bill Bidrowski presented the Contractor's Report.

Chairman Gale opened the Public Hearing on contract addenda. Introduced were Addendum Two – NE Board of Public Accountancy, Addendum Nine and Addendum Ten – Office of the Secretary of State. Mr. Stevenson offered an explanation of each addendum. Ms. Donley motioned to approved Addendum Two – NE Board of Public Accountancy; seconded by Mr. Freimuth.

Voting For:	Catlett	Curry	Decker	Donley
	Edgecombe	Freimuth	Gale	Foley
	Loewenstein	Osborn		

Voting Against: None

Absent: Hill Riedesel

The motion carried.

Mr. Catlett motioned to approved Addendum Nine and Addendum Ten – Office of the Secretary of State; seconded by Mr. Lowenstein.

Voting For:	Catlett	Curry	Decker	Donley
	Edgecombe	Freimuth	Gale	Foley
	Loewenstein	Osborn		

Voting Against: None

Absent: Hill Riedesel

The motion carried.

Chairman Gale announced the next meeting of the Nebraska State Records Board will be July 11, 2007.

No further business appearing before the Board, Mr. Curry moved to adjourn; motion seconded.

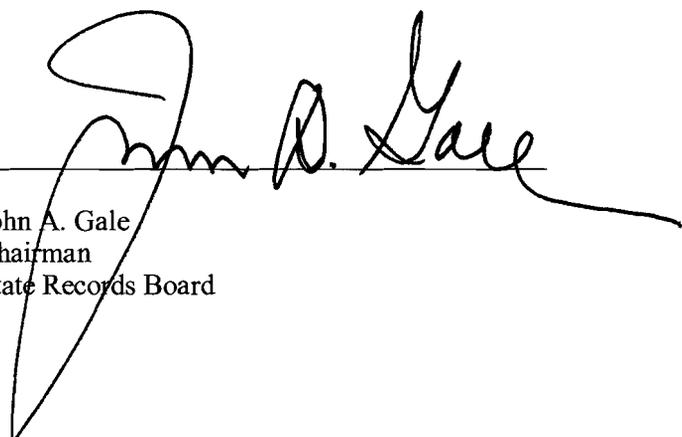
Voting For:	Catlett	Curry	Decker	Donley
	Edgecombe	Freimuth	Gale	Foley
	Loewenstein	Osborn		

Voting Against: None

Absent: Hill Riedesel

The motion carried.

Chairman Gale declared the meeting adjourned at 3:25 P.M.



John A. Gale  
Chairman  
State Records Board

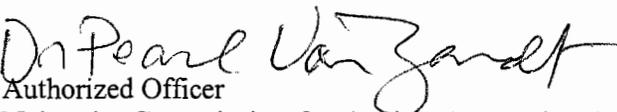
**Addendum 1  
to the  
Agreement Between  
Nebraska Commission for the Blind and Visually Impaired  
and  
Nebraska State Records Board**

This Addendum to the Interagency Agreement between Nebraska Commission for the Blind and Visually Impaired and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Content maintenance/updating the Nebraska Commission for the Blind and Visually Impaired Web site as requested by the county.

**Price:** \$50.00 per hour, with one-hour minimum charge per month. If no work is requested during a month, there is no minimum charge.

**Terms:** Nebraska.gov will submit a monthly invoice when there is a balance due from Hamilton County for work completed under this agreement. Terms of payment are net 45 days.

By:  Date: 3/8/07  
Authorized Officer  
Nebraska Commission for the Blind and Visually Impaired

By:  Date: 4/11/07  
Authorized Officer  
Nebraska State Records Board

**Addendum Two  
to the  
Department of Natural Resources  
and  
Nebraska State Records Board**

This Addendum Two to the Interagency Agreement to provide access to the Nebraska Department of Natural Resources' electronic data files on Nebraska.gov between the Nebraska Department of Natural Resources (DNR) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

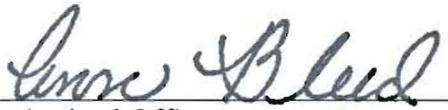
**Project:** Department of Natural Resources Water Well Registrations, Water Well Registration Modification and Water Well Decommissions.

**Price:** This addendum covers all Nebraska Department of Natural Resources Water Well Registrations, Water Well Registration Modification and Water Well Decommissions processed electronically with the Nebraska Department of Natural Resources through Nebraska.gov. Nebraska.gov shall receive 7% of each and every Water Well Registration fee processed through Nebraska.gov.

R/DNR – 93% of fee

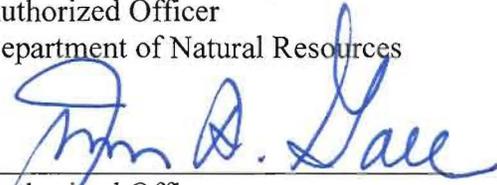
R/Nebraska.gov – 7% of fee

**Terms:** Collection of all Water Well Registration fees and disbursement of fees to DNR shall be in accordance with Section 6 of the original agreement between NSRB and the DNR. Invoices for all services rendered by Nebraska.gov will be prepared by Nebraska.gov and provided to DNR on a monthly basis. Rates for services shall be as provided above in this addendum. Terms of the invoice payment shall be net forty-five (45) days.



Authorized Officer  
Department of Natural Resources

7-5-07  
Date



Authorized Officer  
Nebraska State Records Board

7/17/07  
Date

**APPROVED**

AS TO FORM & CONTENT  
BY NDNR LEGAL COUNSEL

JEA DATE 7/5/07

**Addendum Four  
to the  
Interagency Agreement Between  
Nebraska Accountability and Disclosure Commission  
and  
Nebraska State Records Board**

This Addendum Four to the Interagency Agreement between the Nebraska Accountability and Disclosure Commission (NADC) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska Interactive LCC (NI) (operated under the auspices and authority of the Nebraska State Records Board), and terms of payment for such services.

**Project:** Hosting and maintenance of the NADC reporting database, NADC Form B-7 and NADC Form B-4 on servers located at NIC central datacenter in Alexandria, Virginia. NIC is the parent company of Nebraska Interactive LLC (dba Nebraska.gov). Nebraska Interactive is the process of moving all Nebraska.gov web site applications to the new datacenter.

The NADC database will be hosted in a database instance and administration and maintenance will be onsite at the NIC datacenter. Updates to the NADC database will be done either by or with the technical assistance of Nebraska.gov staff.

Back up of the data and disaster recovery of the NADC database at the NIC datacenter are included in this housing agreement.

The data of the NADC stored pursuant to this Addendum remains the property of NADC. In the event of the termination of this Addendum or the Master Agreement between Nebraska Interactive and the Nebraska State Records Board, Nebraska Interactive shall transfer to NADC all NADC data stored by NIC and/or Nebraska Interactive.

NADC will receive a maximum of 18 hours to be used for the development of application enhancement requests. Any hours used beyond the 18 hours maximum will be billed at \$55.00 per hour. Nebraska.gov will provide a formal estimate to NADC and will only perform work against the estimates with the written consent from an authorized officer of NADC.

**Price:** \$1,000 for the period of July 1, 2007 to June 30, 2008 and \$584 for the period of July 1, 2008 to January 31, 2009.

Billing will be on a monthly basis beginning July 1, 2007. Upon mutual agreement of the parties involved the billing may change to the lump sum payment of the total amount due at the beginning of the State of Nebraska fiscal year on July 1, 2007.

This Addendum shall expire on January 31, 2009 unless renewed.

**Terms:** Nebraska.gov will submit an invoice to the Nebraska Accountability and Disclosure Commission for the amount due. Terms of payment are net 45 days.

By: Frank J. Daley, II  
Authorized Officer  
Nebraska Accountability and Disclosure Commission

Date: 6-19-07

By: Tom A. Luce  
Authorized Officer  
Nebraska State Records Board

Date: 7/17/07

**Addendum Five  
to the  
Interagency Agreement Between  
Nebraska Liquor Control Commission  
and  
Nebraska State Records Board**

This Addendum Five to the Interagency Agreement between the Nebraska Liquor Control Commission (NLCC) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska Interactive LCC (NI) (Nebraska.gov, operated under the auspices and authority of the Nebraska State Records Board), and terms of payment for such services.

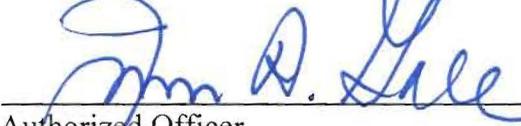
**Project:** Hosting and Maintenance of the NLCC Online Beer Forms & Online Wine/Spirit Forms

**Price:** \$5,000 Annually

**Terms:** Nebraska.gov will submit an invoice on a yearly basis to the Nebraska Liquor Control Commission for the amount due. Billing will begin during the month the Online Beer Forms application becomes available for public use. Terms of payment are net 45 days.

By:   
\_\_\_\_\_  
Authorized Party  
Nebraska Liquor Control Commission

Date: 6-20-07

By:   
\_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: 7/17/07

MEMORANDUM TO THE FILE

From: John Gale, Chair, State Records Board  
July 12, 2007

Re: Goals Subcommittee Progress Report

At the January 16, 2007, SRB meeting, Chair Gale asked for volunteers to form a subcommittee to determine specific goals for the Board for the coming year. Although Neb Interactive (Neb.gov) sets its own Business Goals for each year, the Board has never officially established its own goals. The duties and responsibilities of the Board have grown over the years, and there is a need for the Board to become more pro-active. Tom Friemuth, Jerry Catlett, and Lauren Riedesel all volunteered to serve.

Chair Gale consulted with his key staff who serve as the staff for the State Records Board, namely SRB Executive Director Cathy Danahy and General Counsel Ron Moravec. As a result, several ideas emerged which were suggested to the Goals Subcommittee, as follows:

1. performance review survey of state agencies as to quality of service from Neb.gov.
2. Grant review and selection process and procedures.
3. Board member training and procedures manual.
4. Finances: explore allocation process of all SRB funding including both state and local grants, and reinvested revenue grants.

A meeting was scheduled with the Subcommittee on July 11, 2007, in the Secretary of State's Office. Present were Chair Gale, General Counsel Moravec, Executive Director Danahy, and subcommittee members Friemuth and Riedesel.

After an excellent discussion, the Subcommittee agreed upon the following recommendations to the SRB for its August 1, 2007, meeting:

1. Create a Performance Review Subcommittee with Tom Friemuth as Chair with the following goals:
  - a. undertake the drafting of survey questions for state agencies to secure useful evaluations on the work of Neb.gov on agency Internet access projects with Neb.gov.
  - b. undertake the drafting of survey questions for state agency awareness of programs offered by SRB.
  - c. Staff contact person: Cathy Danahy.
2. Create a Contract Review Subcommittee with a Chair to be determined with the following goals:
  - a. Identify and calendar the key dates for benchmarks under the contract.
  - b. Review compliance by the SRB and Neb.gov with contract requirements.
  - c. Be familiar with overall contract terms and conditions.
  - d. Staff contact person: Ron Moravec.

3. Create a Board Training Subcommittee with Lauren Riedesel as Chair with the following goals:
  - a. create a Training Manual for all members with details on laws, policies, history, and organization.
  - b. Create graphics to visually show global mission of SRB.
  - c. Create organization charts to show SRB membership with personal biographies, and SOS staff with SRB job descriptions.
  - d. Consider creating power point program for training.
  - e. Staff person assigned: Cathy Danahy and Ron Moravec.
  
4. Create a Finances Subcommittee with Jerry Catlett as Chair with the following goals:
  - a. Review and revise as needed the grant selection standards and process.
  - b. Review and revise as needed the reinvested revenue grant standards and process.
  - c. Create explanation of revenue sources and distribution of SRB.
  - d. Create explanation of available income, expenses, and Cash Fund.
  - e. Staff contact person: Suzie Hinzman, SOS controller.

### NSRB-NII Contract Timeline

<b>Feb 1, 2004 – Jan 31, 2007</b>	Master contract period
<b>Jan 31, 2006</b>	NSRB notifies NII of 2 year contract extension
<b>Feb 1, 2007 – Jan 31, 2009</b>	Contract 2 year extension
<b>@Nov 1, 2007</b>	NSRB considers 1 year extension <sup>1</sup>
<b>Jan 31, 2008</b>	NSRB must notify NII of intent to extend contract 1 year <sup>1</sup>
<b>Feb 1 2009 – Jan 31, 2010</b>	Contract 1 year extension <sup>1</sup>
<b>@Nov 1, 2008</b>	NSRB considers if new master contract is desired <sup>1</sup>
<b>Jan 1, 2009 – Dec 31, 2009</b>	Spec and bid out new 3 year provider contract <sup>1</sup>
<b>Jan 31, 2010</b>	Master contract and all extensions expire <sup>1</sup>
<b>Feb 1, 2010</b>	New master contract takes effect <sup>1</sup>

<sup>1</sup>Subject to NSRB approval

NEBRASKA STATE RECORDS BOARD

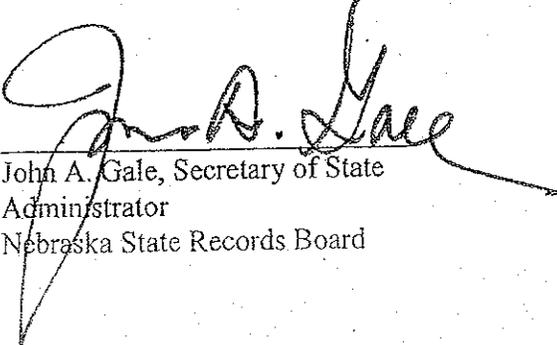
January 26, 2006

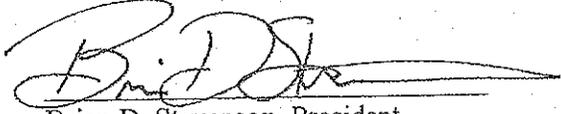
Mr. Brian D. Stevenson  
President, Nebraska Interactive, Inc.  
301 South 13<sup>th</sup> Street, Suite 301  
Lincoln, Nebraska 68508

RE: RENEWAL NOTIFICATION  
Nebraska State Records Board  
Service Contract Number NIS-5934 (04)  
February 1, 2004 through January 31, 2007  
Nebraska Interactive, Inc., Vendor

Dear Mr. Stevenson:

Upon Motion duly made and passed by the State Records Board, and pursuant to paragraph 29 of the above described service contract, this is official notification from the Nebraska State Records Board to Nebraska Interactive, Inc. that the Board is renewing the contract described above for one additional term of 2 years.

  
John A. Gale, Secretary of State  
Administrator  
Nebraska State Records Board

  
Brian D. Stevenson, President  
Nebraska Interactive, Inc.

**Contract for Network Manager Services**

**Between**

**The Nebraska State Records Board  
on behalf of the**

**State of Nebraska**

**And**

**Nebraska Interactive, Inc.**

**January 27, 2004**

## CONTRACT FOR NETWORK MANAGER SERVICES

THIS CONTRACT is between the Nebraska State Records Board, established under the Records Management Act (§84-1204 R.R.S. 1943), hereinafter referred to as "NSRB" Nebraska Interactive, Inc., a for-profit Nebraska corporation, without seal, hereinafter referred to as "NII".

WHEREAS, NSRB, under the authority granted by the Records Management Act, is interested in furthering access by Nebraskans to public information and for transactions with the public in the most cost-effective, progressive, and cooperative means possible; and

WHEREAS, the NSRB desires to operate Nebrask@ Online as an electronic network (synonymous with the term "gateway" for the purposes of this contract) access service in furtherance of this goal, and has concluded the network must continue to be enhanced; and

WHEREAS, the NSRB wants Nebrask@ Online to achieve and maintain national leadership in delivery of e-government services over the term of the contract; and

WHEREAS, an enhanced Nebrask@ Online will significantly benefit the state through:

- a. Compensation to The State for electronic access to certain information;
- b. A reduced burden for public access and data collection upon data providing and collecting entities, including state agencies;
- c. Increased efficiency of data providing and collecting entities, including state agencies, without budget increases;
- d. Additional resources to data providing and collecting entities as Nebrask@ Online grows;

- e. Additional and leveraged resources for NSRB to assist in its records management, information management, data collection, and access functions; and

WHEREAS, in order to effectuate this enhancement, NSRB, as the Nebrask@ Online network authority, issued a request for proposals for a public-private partnership with a private network manager, dated April 14, 2003. The request hereinafter referred to as "the RFP"; and

WHEREAS, NII submitted a proposal in response to the RFP, and such proposal was determined by the NSRB to be the one best-suited to the goals of the NSRB and the needs of an enhanced Nebrask@ Online. The proposal is hereinafter referred to as the "NII Proposal"; and

WHEREAS, NSRB desires to enter into a contract with NII for NII to serve as network manager in a public-private partnership to enhance, develop, operate, maintain and expand Nebrask@ Online (hereinafter referred to as "the Network") for increased electronic access to and collection of public and other useful and relevant information as contemplated by the grant of authority to NSRB, in Section §84-1204 R.R.S. 1943, which provides in part that NSRB shall develop and maintain a gateway or electronic network for accessing public records; and

WHEREAS, pursuant to Section §84-1204 R.R.S. 1943, the NSRB also supports and advises the Nebraska Records Management Division and State Records Administrator in accomplishing their legislative purposes, and for which the Network will furnish further valuable support; and

NOW THEREFORE, the parties agree as follows:

**1. PURPOSE OF NETWORK.**

The purpose of the Network and this Contract may be summarized as follows:

a. To provide a significantly expanded and aggressively enhanced public service to the citizens and businesses of Nebraska by (1) increasing accessibility to and collection of public information and other useful information and services through various means, including electronic means, and (2) promoting economic development by increasing ease of access to and collection of public information and other useful information, and by promoting the sharing of information.

b. To provide such public service without increasing the tax burden on the citizens of Nebraska, through utilization of private capital and management and appropriate payment for the same.

c. To assist the State of Nebraska in achieving the goals related to electronic access to public information and services enumerated in the E-government Strategic plan of the Nebraska Information Technology Commission.

**2. HARDWARE, SOFTWARE AND ACCESS LINES**

NII will provide hardware, and provide or develop software as enumerated in the NII proposal (dated 7-31-2003), and such other hardware and software as may be necessary to make the Network operational. In accordance with the RFP, NSRB shall be entitled to a perpetual right-to-use license to all application software, documentation and source code utilized in operating the Network which is developed or utilized by NII or developed by NICUSA, Inc.,

the parent company of NII, hereinafter "NICUSA", or any of its affiliates and utilized pursuant to this contract, hereinafter collectively referred to as "The Software".

The following terms and conditions shall govern this contract:

a. By January 31, 2007, or upon termination as provided for in the terms of this contract, NSRB shall be entitled to a non-exclusive perpetual right-to-use-only license with rights to modify as it desires (either itself or using Approved Contractors), for no additional compensation, to The Software as it exists as of that date. Thereafter, at the end of each renewal period exercised by NSRB, or upon termination as provided for in the terms of this contract, NSRB shall be entitled to the same license to The Software as it exists on each such date for no additional compensation.

b. The perpetual right to use license shall not apply to software or documentation created by third parties (other than NICUSA or its affiliates) and purchased by or licensed to NII, (hereinafter referred to as "Third Party Software") together with any amendments thereto made by NII.

c. A copy of The Software, or such portion as NSRB may elect to license, as it exists upon such date, shall be delivered to NSRB upon termination as provided for in the terms of this contract, or upon January 31, 2007, or the end of any renewal periods beyond that date, exercised by NSRB.

d. NII shall deposit on a quarterly basis, at its own expense a copy of the most recent version of all source code of The Software in escrow with a neutral third party mutually chosen by NII and NSRB. Over the term of the contract NII will have the authority to remove superseded source code. Upon notice of termination or expiration of

this contract, which shall be transmitted to the escrow agent, neither party shall have authority to remove any source code held in escrow.

e. Any and all modifications made to The Software by or on behalf of NSRB shall remain the property of NICUSA, but shall automatically be licensed to NSRB under the same terms and conditions as The Software is licensed.

f. An "Approved Contractor" shall be a contractor who shall have executed an agreement with NSRB in a form satisfactory to NICUSA. Such agreement shall:

- i. Protect The Software from use inconsistent with the NICUSA License or disclosure;
- ii. Require the contractor to agree not to prepare products for anyone other than the NSRB which will compete with The Software for a period of twelve months;
- iii. Provide for injunctive relief from any violation of this section;
- iv. Include a list of software applications covered by The Software license; and
- v. Provide that any and all modifications made to The Software by or on behalf of NSRB shall remain the property of NICUSA, but shall automatically be licensed to NSRB under the same terms and conditions as The Software is licensed.

Upon termination or expiration of this Contract all other Network and manager records, work papers and operations documentation pertaining to network operations shall be delivered to NSRB with thirty (30) days after termination or

expiration and shall become the property of NSRB. NII shall be entitled to retain copies of the same, which may be used only for defensive and archival purposes.

NII will be responsible during the term of this Contract for maintaining Network hardware and software (including items provided to the Department of Administrative Services, Division of Information Management Services, hereafter referred to as "IMServices", as allowed by IMServices).

g. Upon termination or expiration of this contract the NSRB shall have the right to purchase, at its depreciated value used for federal income tax purposes, all computer hardware owned and used in Nebraska by NII in association with operating the Network. Such hardware shall have all current software relating to NII applications and operating systems intact to provide a fully-functional production environment, and administrative authority required for access and operation of such hardware shall be transferred by NII to appropriate personnel to be designated by NSRB.

Upon termination or expiration the NSRB shall also have the right to purchase or have transferred to them for consideration to be determined by the parties any third-party commercial software licenses used in the operation of network at its depreciated value used for federal income tax purposes.

### **3. CONNECTIONS BETWEEN NETWORK AND STATE AGENCIES**

Costs associated with and maintenance of communication links from state facilities to NII facilities for Network purposes, including but not limited to leased circuits from telephone or cable companies, shall be paid as expenses from the Network revenue account.

#### 4. NETWORK SERVICES

a. NII on behalf of NSRB shall negotiate with and submit to NSRB for final approval written agreements from each separate data providing/collecting entity (hereinafter, "DP/CE") with which electronic communication is desired, but only if such agreements are needed to supplement the broad grant of authority to access public records or collect information data from the public which has already been granted to NSRB. A standard form for this agreement shall be created by NII and approved by the NSRB. In the absence of any specific separate agreement, this Contract, together with any addenda incorporated by reference, shall serve as the document granting NII access to or the authority to electronically collect any such data.

b. Through addenda to this Contract and/or through the separate DP/CE contracts, NII and NSRB shall, by mutual agreement establish charges for, if appropriate, and other conditions of such access or collections with each DP/CE. In the establishment of charges provided for in such addenda, NSRB shall be responsible for any payments to other DP/CEs whose information is so accessed or collected and such charges collected by NII shall be paid by NII to NSRB directly.

c. Such agreements or addenda to this Contract, if any, shall provide 1) for the costs DP/CEs will charge, which will be paid as expenses by NII from the Network revenue account for information access or collection, 2) the time period and means by which DP/CEs will be paid from the Network revenue account for access or collection, and 3) the criteria the DP/CE and NII will utilize for system development, testing and acceptance in order to assure the reliability of the Network, protection of data, Network

security, and any other reasonable special requirements (such as—providing credit card authorization service for State's Credit Card Payment Program with regard to certain services made available via the Internet) for access to and collection of DP/CE data. (NSRB will cooperate in obtaining electronic access to DP/CE's for NII which will be funded by NSRB only if specifically approved by the NSRB.)

d. Unless otherwise specifically provided in statute, all electronic access fees for state government information collected by NII shall be deposited by electronic means in the Records Management Cash Fund by NII. Deposits by NII shall be made as follows:

i. On the 15<sup>th</sup> day of each month, or the next following business day to the 15<sup>th</sup> of the month if the fifteenth does not fall on a business day, NII shall deposit in the Records Management Cash Fund by electronic means payment for the estimated portion of funds received for services rendered in the prior month ultimately retained by NSRB and DP/CE under all agreements for such payment in effect between the NSRB and DP/CEs and NSRB and NII.

ii. On the last business day of the month NII shall deposit in the Records Management Cash Fund by electronic means the total amount of funds payable to NSRB for services rendered in the prior month payable to NSRB and DP/CEs under all agreements for such payment in effect between NSRB and DP/CEs or NSRB and NII less amounts received from the mid-month payment provided in paragraph (i) above.

iii. At least seven days prior to the last business day of the month NII shall provide an itemized statement of all payments to be deposited for that

month including a breakdown by data type (i.e. driver's license records, UCC searches) and volume activity and amount of revenue by data type.

iv. On the last business day of the month NSRB shall transfer to NII by electronic means all amounts due under agreements in effect at that time for services rendered in the month prior to payment.

e. After negotiating any separate DP/CE agreement, the agreement shall be presented by NII to NSRB for final approval. When an agreement is presented to NSRB, NII and respective DP/CE shall also present to NSRB a recommendation for prices, if appropriate to be charged users for the applicable Network service.

f. All subscribers will be required to execute a contract for services. NII shall be authorized to execute such contracts on behalf of NSRB. The basic form shall be approved by NSRB.

g. NII, on behalf of NSRB, shall provide continued and uninterrupted network manager services to any state agency which has an existing contract or contracts with NSRB, or has an existing contract or contracts which were originally executed between the agency and the NSRB under the prior contract and contract addenda issued pursuant to the Request for Proposal released on September 8, 1997, except under such circumstances as such contract or contracts are otherwise terminated by said state agency or NII under the terms of the agreement or as allowed by law

##### **5. REGULATION OF RATES BY NSRB**

1) All charges to Network users shall be subject to, after mutual agreement between NII and NSRB, the final approval of NSRB for fairness, reasonableness and

appropriateness. In establishing such Network prices NII and NSRB shall consider the following factors:

- a. The need to reward innovation and efficiency in Network management.
- b. A commitment to the public policy requirement to provide electronic access to public records or electronic transactions with the public at the most reasonable prices possible.
- c. That the prices to be charged may be adjusted to permit funding of special projects and enhancement of public service.
- d. The fact that some public records may already be provided electronically by the State.
- e. The entrepreneurial and start-up nature of the business and attendant risk of capital for NII and the need for them to earn an acceptable rate of return.
- f. The need to invest in expansion of and improvement in The Network and its information services.
- g. The need of NII to earn a reasonable profit on Network operations.
- h. The need to comply with Legislative requirements.
- i. Any other reasonable factor which in the opinion of NSRB should be considered.

2) Such services will thereafter be subject to periodic review and adjustment by NSRB, in conformance with the appropriate Reissue Revised Statutes of Nebraska. Recommendations for amended rates shall be made by NII to NSRB as deemed necessary or desirable.

The maximum initial subscription fee that mainframe bulk and interactive subscribers shall pay is \$50.00, which will be used to cover NII costs of account management, licensing communication software, if any, and providing 1-800 technical support. The maximum annual renewal fee shall be \$50.00. Each subscriber shall be entitled to a maximum number of users per subscription fee as approved by NSRB. These fees may be reduced at the discretion of NII as an inducement to further increase the number of subscribers and with the intent of increasing the overall billed usage of the Network. Should NII provide appropriate justification, NSRB may increase the initial or the annual renewal subscription fees. In addition, subscribers utilizing Network provided dial-in modem bank will pay NII a per minute connect fee to cover the telecommunications costs of providing 800 and Internet service to these subscribers.

Subscriber information, including names, addresses, usernames, passwords, credit card numbers, and bank account numbers shall remain the property of NII during the term of the contract. NII will make such information available upon request only to law enforcement authorities or such other parties as NSRB may approve.

In the event that costs which NII pays state agencies for data or data access are reduced or increased as result of legislation or administrative changes, such reductions or increases shall be passed on directly to subscribers and users of the Network unless otherwise mutually approved in writing by NSRB and NII.

#### **6. NETWORK MANAGER REMUNERATION.**

Within the framework of the pricing approval procedure addressed in section 5 above, the disbursement of all funds received by the Network as a result of the operation of this Contract will be as follows:

a. NSRB will operate the Network within the records management cash fund allocation allowed by the Reissue Revised Statutes of Nebraska and the revenue generated from electronic access fees generated from new services implemented during and/or between Legislative Sessions.

b. NII shall be entitled to 90% of the net transaction revenue for services enumerated in Appendix B of the RFP. 10% of such net transaction revenue shall be retained by NSRB, at least one-half of the 10% retained shall be reserved and paid to NII for services as directed by NSRB. For purposes of this section of the agreement, "net transaction revenue" shall mean all transaction fees collected by Nebrask@ Online for services enumerated in Appendix B of the RFP less payment of agency portions of transaction fees.

c. NII shall be entitled to retain all revenue generated from subscription fees and connect time charges. NII shall be paid the revenue generated from electronic access fees for currently existing services by NSRB according to the terms currently applicable or as modified at some future date pursuant to Nebraska law and/or by mutual agreement after payment of the fees specified in the respective DP/CE agreements, and payments specified in paragraph b. above. Revenue from electronic access fees for new services shall be divided as agreed upon at the time the NSRB approves the fees for the services or as modified at some future date pursuant to Nebraska law and/or by mutual agreement.

## **7. CHANGES IN NETWORK.**

A planned material change in Network operations cannot be made by NII without the prior written consent of NSRB. A "material change" includes, but is not limited to, a change which materially increases on-line response time to user inquiries; significantly adds to the complexity of system use; materially diminishes services provided to users; results in a significant detrimental impact on operations noticeable by users; or a new portal, new secondary portal, or a material change to an existing portal or secondary portal. For purposes of this section, "users" shall include DP/CE users and other end users.

NII will provide to NSRB at least 30 days' prior written notice of a planned material change in Network operations, to allow NSRB review.

## **8. NOTICES.**

The NSRB contact person shall be the NSRB Chairman. The NII contact person shall be the President of NII. Each party may change its designation for notice by written notice to the other party to this Contract.

Notices by the parties to one another shall be given in writing to the persons identified above or to such other persons as may be subsequently identified in a written notice. Such notices shall be effective on the date of receipt if sent by U.S. restricted delivery mail, postpaid, or by any reputable overnight delivery service, prepaid.

## **9. OVERSIGHT OF OPERATION AND CONTRACT COMPLIANCE**

### **REVIEW**

All NII documents and records pertaining to Network operations will be available for compliance reviews and inspection by NSRB, or other authorized representatives

designated by NSRB. Monthly income statement and balance sheet information will be provided to NSRB by NII.

NII also agrees to make other changes requested by NSRB to comply with recommendations made in any applicable audit or compliance review. NII shall have the opportunity to address the Board should they have any concerns or supplemental information regarding any request to comply pursuant to this section:

#### **10. FINANCES AND RECORDS**

On an annual basis, NII will provide audited financial statements to NSRB. To the extent the financial audit report discloses any discrepancies in the NII charges, billings or financial records, and following a period for review and verification by NII, NII will adjust the next monthly bill as soon as reasonably possible, but not to exceed 90 days. NII shall cooperate to assure that verification is completed in a timely manner.

The accounting system is to include a numbered chart of accounts, books or original entry of all transactions, appropriate subsidiary ledgers, a general ledger which includes to-date posting and an audit trail through financial statements. Such books may either be maintained on paper or on computer with appropriate backup. NII shall adopt the calendar year ending December 31, for reporting purposes.

The financial audit performed pursuant to this section shall be performed by a competent and reputable CPA licensed in Nebraska.

Financial information concerning NICUSA is and shall continue to be made available at their website: <http://www.nicusa.com> or at such successor website(s) that shall be used for such purpose.

## **11. MANAGEMENT REPORTS AND BUSINESS PLAN.**

a. Network operations and development shall generally be in accordance with the NII proposal, which shall be considered the Network business plan. As deemed necessary or desirable, NII may depart from such proposal but in the event of any material departure NII shall notify NSRB in advance. NII shall timely provide to NSRB such management reports as NSRB may reasonably request. NII shall update the business plan annually. Network services as provided in the business plan shall be proportionate to network resources available. The business plan will include a marketing plan as a separate component or section. All plans submitted pursuant to this subsection shall be subject to the written approval of the NSRB.

b. NII shall prepare and submit to NSRB a technical architecture report within 90 days of the effective date of this contract. Said report shall be a comprehensive overview of the technical architecture used for the network, and will be due annually thereafter for the term of the contract or any renewals of the contract.

## **12. PROJECT MANAGEMENT**

a. NSRB shall establish guidelines and procedures for prioritization of projects undertaken by NII pursuant to the contract. NII shall prepare on a quarterly basis or as otherwise requested by NSRB, a report listing projects currently being worked on by NII, such report shall include a summary of the project and an estimated timeline for completion of the project. The timeline for projects contained in the report shall be subject to approval or amendment by NSRB.

b. In consultation with NII, NSRB shall establish guidelines and procedures for project management to be utilized by NII. Such procedures shall include:

- Identify and agree upon with the agency or entity involved the scope of work
- Identification of resources needed for the project including:
  - Identify participants in the project and their roles and responsibilities
  - Identify equipment, hardware and software needs
- Define Deliverables
- Establish a timeline
- Establish a reporting procedure to the agency or entity for whom the work is being performed
- Identify and develop risk mitigation strategy as necessary

Documentation of these project management procedures shall be submitted to NSRB in such manner and such times as NSRB may determine.

### **13. PROHIBITION ON CERTAIN PAYMENTS TO INTERESTED PARTIES**

“Interested party” means any NII officer, director, stockholder and any state employee directly involved in negotiation of the Contract, and any immediate family member of the foregoing.

No payments shall be made to an interested party or business entity controlled by an interested party except for the fair value of lawful goods or services actually rendered to the Network.

This requirement shall not be applicable to shareholder distributions.

**14. FULL-TIME EQUIVALENT POSITIONS AND SALARIES,  
BENEFITS AND RELATED EMPLOYER EXPENSES.**

Personnel matters shall be generally governed as provided in RFP SCA-0261, Section III, subsection I, page 11. Nothing in said section of the RFP shall inhibit the authority of the NII president or his/her designee to take any employee management actions deemed necessary, up to and including immediate dismissal of an employee.

**15. NETWORK REVENUE ACCOUNTS AND PAYMENTS  
THEREFROM**

Payments from NII Accounts. Payments from the Network revenue accounts are authorized as follows:

1. Payments pursuant to section 6 (c) for electronic access to or collection of information;

2. From payments from network revenue accounts NII is entitled to retain:

a. Payment of ordinary, necessary and reasonable operating expenses for the Network;

b. System development costs, including programming (to the extent not covered by regular salary under ordinary operating expenses) and purchases or upgrades of software or hardware.

c. Payment to owners of NII.

d. Any other payments to NSRB.

#### **16. CORPORATE SUPPORT**

NII shall monitor and notify NSRB of any new applications developed by its parent company or other subsidiaries of its parent company for possible implementation as part of Nebrask@ Online at no additional license cost to the State. When implemented as part of Nebrask@ Online, such applications will be governed by Section 2 of this contract which gives NSRB a perpetual right-to-use license.

#### **17. PROBLEM REPORTS**

NII shall log and track complaint and suggestions submitted pursuant to any online chat, online support, or help desk functions utilized by NII. Such logs shall be available to NSRB upon request.

NII shall log and track any complaint, delays, or disputes associated with the development of applications for agencies or entities under this contract. Such logs shall be made available to NSRB at any time upon request, and shall be reported to the NSRB at such times and in such manner as NSRB may determine.

#### **18. PEER REVIEW**

NSRB shall develop and NII shall submit to an annual peer review of technical architecture, security, quality assurance, and project management methodology

developed and used pursuant to the contract and project management processes. The peer review shall be conducted by an individual or individuals mutually agreed to by the parties. Individuals conducting any such peer review shall sign a non-disclosure agreement acceptable to NII to protect NII or NIC proprietary information.

#### **19. INCORPORATION BY REFERENCE.**

The provisions of the RFP and the NII proposal are hereby incorporated into this Contract and made a part hereof. If there is any conflict between the terms of the RFP and the provisions of this Contract, the terms of the Contract shall control over the terms of the RFP. If there is any conflict between the terms of the NII proposal and this Contract, the terms of the Contract shall control. This contract may only be amended by mutual expressed written consent of the parties. Where there is a conflict between the language in the RFP and the NII response to the RFP the RFP language shall control unless otherwise provided in this contract.

#### **20. EXISTING AGREEMENTS**

All addenda and existing contracts between state agencies or other state entities and NSRB prior to the date of this contract in full force and effect as of January 31, 2004, remain in full force and effect under the contract until such time as they are cancelled or terminated in accordance with the terms of this contract or expire under their own terms or any terms that may be agreed upon by the parties in the future.

#### **21. BONDS AND OTHER REMEDIES**

Neither NSRB nor the State of Nebraska shall duplicate or stack recovery of damages, penalties, or compensation for loss under more than one of the following provisions: a. Section III, Subsection BB, first sentence; b. Section III, Subsection BB, second sentence; or c.

Section III, Subsection CC for any one particular instance allowing recovery under these sections. This section should in no way be construed to limit the NSRB's ability to recover separately or conjunctively, under either Section III, subsection BB or subsection CC of the RFP should any action or inaction by NII create grounds for recovery under these sections.

## 22. TERMINATION OF CONTRACT

1). NSRB may terminate this Contract immediately for the following reasons:

- a. if directed to do so by statute;
- b. if there is a substantial cessation of services provided through the gateway by NII for more than ten consecutive days, NSRB shall notify NICUSA of any substantial cessation of network services within 24 hours of such cessation and the ten days shall run from the time of cessation as long as the notification is provided in within 24 hours;
- c. failure of appropriation by the Legislature as found in section III, Y of the RFP;
- d. dissolution of NII or forfeiture of its corporate existence without the creation or assignment of a successor business entity assuming the obligations of this contract; insolvency or bankruptcy of NII,;
- e. Fraud, misappropriation, embezzlement, malfeasance, misfeasance, or illegal conduct pertaining to performance under this contract by NII, its employees, officers, directors or shareholders;
- f. Intentional disclosure of any confidential information;

g. repeal of NSRB enabling statutes unless other statutory provisions allow continuation of the Network.

2.) Should NSRB terminate this Contract pursuant to section 22, subsections (a), (c) or (g) of this Agreement prior to the expiration of any Term, the NSRB shall not be automatically entitled to the Software License described in section 2 of this Agreement. However, NSRB shall be entitled to elect one of the following options, or none of them, as it desires:

- a. Contract with NII for a software license and ongoing support upon mutually agreeable terms and conditions; or
- b. Select a new Network manager, and elect to have NII support the Network pursuant to Section 31 (Continuity of Services) of this Agreement upon mutually agreeable terms and conditions; or
- c. Operate the Network itself and purchase a perpetual Software license and any transferable interests in the third Party Software licenses, for a one-time payment to NII equal to: the product of Nine Hundred Thousand Dollars (\$900,000.00) multiplied by a fraction, (a) the numerator of which is thirty six minus the number of months that have elapsed under the Initial Term plus any Renewal Terms (including those months elapsed under the Term of this Contract then in effect) and (b) the denominator of which is thirty six.

### **23. TERMINATION FOR CAUSE**

1.) NSRB shall have the right to terminate this Contract for cause as defined below, subject to cure, by providing written notice of intent to terminate for cause to NII. Such notice shall specify the time for termination if not cured and the specific "for cause" reason that gives rise to the intent to terminate. NSRB shall provide a period of time of not less than 60 days,

unless otherwise specified in this Contract, for NII to cure such causes under this Contract of which it receives written notice.

For purposes of this Contract, the phrase "for cause" shall exclude items enumerated in Section 22, but shall include, but not be limited to, the following events:

- a. Any material breach or evasion by NII of the terms or conditions of this Contract and its amendments, if any.
- b. Material breach of an agreement with any state agency or political subdivision.
- c. Substantial cessation of services provided through the gateway by NII for less than ten consecutive days.

2). NII shall have the right to terminate this Agreement for cause, subject to cure, by providing written notice of termination to NSRB. Such notice shall specify the time, the specific provision of this Agreement or "for cause" reason that gives rise to the termination, and shall specify reasonable appropriate action that can be taken by NSRB to avoid termination of the Agreement. NSRB shall have of up to sixty (60) calendar days, unless otherwise specified in this Agreement, for the NSRB to cure breaches and deficiencies of its performance obligations under this Agreement. For purposes of paragraph 3, the phrase "for cause" shall mean:

- a. Any material breach or evasion by NSRB of the terms or conditions of this Agreement and its amendments, if any.

#### **24. STANDARD USE MESSAGES**

The Network shall display such standard use message(s) to all subscribers upon initial access to the Network or a part thereof as may from time to time be appropriate, and a subscriber shall be required to indicate the subscriber's compliance with said message terms.

Upon subsequent accesses, such message shall be displayed only, without compliance indication, if prior compliance indication is logged in the user's session log. All messages must contain language that is at least as restrictive as the following:

“As a requester of Public information, I do hereby certify by making inquiry that I do not intend to and will not (the Network will include any such language or restriction as is required by Nebraska law.)”

The Network shall provide DP/CEs the opportunity to include additional wording if determined necessary by the DP/CE. The standard use message shall comply with any amendments to the law.

#### **25. DATA PROVIDING ENTITY ACCESS**

a. DP/CEs may, if they desire and if approved by NSRB, have terminal (read) access to the Network's computerized log of subscribers and each user's security status, without access cost to the DP/CEs. The DP/CEs will be responsible for the cost of terminal(s) and the cost of a dial-up or lease line, whichever is used.

b. DP/CEs must be able to sign on to NII's system to audit the dissemination of "premium service" records (records with an associated fee). On-line audit capability must be available for the length of time specified by the particular DP/CE after transaction processing. After the on-line retention period has expired, NII shall, as specified between NII and the DP/CEs, retain, destroy, or provide the record information to the DP/CE without cost.

c. At a minimum, the Network shall retain the following data: name of subscriber, transaction date and time, type of inquiry and access keys.

d. NII shall notify affected DP/CEs and NSRB within two hours of unauthorized attempts to gain access to password protected data. The notice shall contain detailed information to aid the DP/CE to examine the matter.

## **26. PATENT, COPYRIGHT, TRADEMARK AND TRADE SECRET**

### **INDEMNITY**

NII warrants that its proposed operation of the Network does not and shall not infringe on the United States patent, copyright, trademark or trade secret rights of any person or entity. NSRB shall provide NII with prompt notice of any claim against NSRB or the State, and NII shall have the duty to defend or settle such claim as NII deems appropriate. NSRB shall be provided with prompt notice of any such claim of infringement against NII and NII shall have the exclusive right to defend or settle such claim at NII's option. NSRB shall cooperate with NII in its defense or settlement of such claim at no expense to NSRB. If NII determines that, as a result of such claim the right of users to use the Network is likely to be abridged, NII shall (a) take all reasonable steps necessary to procure for users the right to continue to use the Network; or (b) modify the Network so that no such abridgment will occur and correspondingly reduce charges if the modified Network is not substantially equivalent or better than what it was before the modification.

## **27. LIABILITY**

NSRB and the State of Nebraska, its agents and employees shall not be legally responsible to NII for errors due to Network problems.

NII agrees for itself, its agents, employees and assigns to hold harmless, indemnify and defend NSRB and the State of Nebraska, its agents and employees from any

actions by third parties arising out of NII's negligence or material failure to perform under the terms of this Contract.

NII agrees that it has no right of subrogation or contribution from the NSRB or the State of Nebraska for any judgment rendered against NII under such circumstances.

NII liability shall be limited to actual damage caused in whole or in part by its intentional acts, negligent acts or omissions, material failure to perform under the terms of this contract, or violation of applicable laws, rules, regulations, or ordinances, and any such acts or failures to act of any subcontractors of NII, except to the extent the same was caused or induced by the State.

Neither party shall be liable for any costs or damages resulting from its inability to perform any of its obligations under the contract due to a natural disaster or other event not the fault of the affected party.

#### **28. ASSIGNMENT AND SUBCONTRACTING**

NII may not assign any of its rights or delegate any of its duties hereunder unless done pursuant to the prior written consent of NSRB.

NII may subcontract portions of work to be performed by it under this Contract with the written consent of NSRB.

NII may use the services of other NIC personnel and associates for portions of work to be performed by it under this Contract without the written consent of NSRB.

## **29. TERMS OF CONTRACT**

This Contract shall be for a term of 3 years, commencing February 1, 2004, and expiring at the hour of midnight Central Daylight time, between January 31 and February 1, 2007, unless earlier terminated by NSRB.

Subject to the agreement in writing of the parties, this Contract may be renewed for (a) one additional term of 2 years followed by (b) a subsequent additional term of 1 year. Renewals under (a) and (b) may be exercised by one act of renewal. Notification of renewal shall be given by NSRB to NII at least 1 year before the expiration of the initial term and of any renewal term. The term "this Contract" as used in this Agreement shall mean the initial term, together with any renewal terms which are approved. NSRB acknowledges that the length of this Contract and the length of any renewal term or terms has a material effect on the capital invested in the Network considering the potential profit margin hereunder.

## **30. RELATIONSHIP OF PARTIES**

Notwithstanding any other provisions contained herein, it is expressly agreed that NII is an independent contractor in the performance of each and every part of this Contract. As such, NII is solely and personally liable for all labor and expenses in furtherance of such performance and for any and all damages which may be occasioned on account of its performance hereunder.

NII may become an agent of NSRB only by the expressed written consent of NSRB.

NII will not pledge any assets of NSRB in its care, custody or control, or cause any type of lien to attach to such.

**31. CONTINUATION OF OPERATIONS DURING TRANSITION  
PERIOD**

If for any reason this Contract shall be terminated or upon expiration of the Contract without extension, or at the end of any extension, NII shall, at the option of NSRB, continue to operate under this Contract as Network Manager in accordance with all terms and conditions of this Contract, together with any amendments or modifications in existence at such time, for a period of up to 12 months from the time of expiration or notification of termination from NSRB to NII, whichever occurs earlier. The intent of this provision is to insure continuation of Network operations while a successor Network Manager is chosen and contracted.

**32. ENTIRE AGREEMENT**

This Contract (as defined in Section 19, herein) constitutes the entire agreement of the parties and supersedes all other prior written or oral contracts between the parties with respect to the subject matter hereof. This Contract may be amended only by a writing signed by the parties thereto.

**IN WITNESS** to the agreement of the Nebraska State Records Board and Nebraska Interactive, Inc. to all of the above terms and conditions, the respective governing bodies or Boards of Directors of the two organizations have approved the same and have authorized their chief executive officers, chairman or secretaries to affix their signatures below indicating such upon this 27 day of January, 2004.

NEBRASKA INTERACTIVE, INC.:

Rod Armstrong

PRESIDENT

1-23-04

Date

STATE OF NEBRASKA )

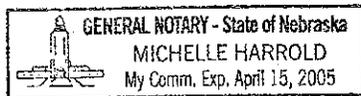
) ss.

COUNTY OF LANCASTER)

Rod Armstrong, of lawful age, being first duly sworn, deposes and says that he is President of Nebrask@ Interactive, Inc. and has been duly authorized to execute this Contract on its behalf.

Rod Armstrong

SUBSCRIBED AND SWORN to before me this 23 day of January, 2004.

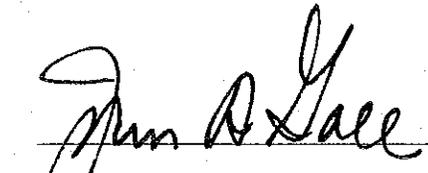


Michelle Harrold

Notary Public

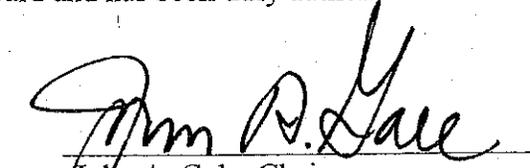
My Commission expires: April 15, 2005

NEBRASKA STATE RECORDS BOARD:

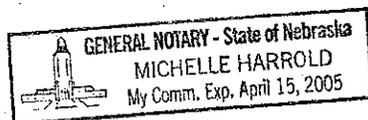
  
CHAIRMAN

January 27, 2004  
Date

John A. Gale, of lawful age, being first duly sworn, deposes and says that he is the Administrator of the Nebraska State Records Board and has been duly authorized to execute this contract on its behalf.

  
John A. Gale, Chairman,  
Nebraska State Records Board

SUBSCRIBED AND SWORN to before me this 27 day of January, 2004



Michelle Harrold

Notary Public

My Commission expires: April 15, 2005



Technical Advisory Committee  
to the  
Nebraska State Records Board

**State Agency Grant Application Review**

Applicant: Nebraska Supreme Court

Project Title: Automation for the Nebraska State Library

Resolution passed by the Technical Advisory Committee on July 10, 2007:

The committee, having reviewed the grant application entitled "Automation for the Nebraska State Library", finds that:

- The project is technically feasible.
- The proposed technology is appropriate for the project; however, the Committee believes other protocols, such as XML and HTTP, should be considered.
- The technical elements can be accomplished within the proposed time frame and budget.

(Vote: Brown-Yes, Decker-Yes, and Weir-Yes)

-----  
Technical Advisory Committee Members (Neb. Rev. Stat. §84-1205.01)

- Richard Brown
- Brenda Decker
- Walter Weir

Nebraska State  
Records Board  
440 So. 8th, Suite 210  
Lincoln, NE 68508

John Gale  
Chairman  
(402) 471-2745



**APPLICATION FOR STATE RECORDS BOARD GRANT  
TO IMPROVE ACCESS TO PUBLIC INFORMATION**  
(State Agency Grant Application)

State Agencies desiring grants from the Nebraska State Records Board for projects to improve access to state government information should complete this application and follow any procedures outlined in this application and any accompanying materials.

I. Grant Summary

1. Name of agency applying for grant Nebraska Supreme Court

2. Title of project Automation for the Nebraska State Library

3. Brief Description of Project

This project is to both automate and make Internet-accessible the Nebraska State Library's holdings and collection. Currently, these holdings are maintained in a manual card catalogue system, and are not available to the public through computer access nor through the internet.

3. Grant request amount \$24,475.00

4. Will there be a fee for accessing records associated with this project? No

5. If yes, provide any statutory reference or authorization for the fee \_\_\_\_\_

## II. Grant Detail

### 1. Please describe the project in detail (you may attach this description)

We plan to automate the holdings of the Nebraska State Library, and make those available to both State of Nebraska office holders and employees as well as the public by accessing the catalogue through the Internet and by use of a dedicated cataloguing computer at the Nebraska State Library environs. The total cost of this project is \$24,475 (see attachment 1 for the budget). We have acquired two bids from reputable library automation companies for this project. The project will include transferring library holdings and records from both hard copy cataloguing system and MARC records into the automation cataloguing software; rental of space on the company's automation server; acquiring and programming two dedicated computers for cataloguing - one for the Library Director and one for the public; and training of the Library Director on the automation software at the company's headquarters. The Library will own the records; the company will maintain the records through the Library's computer system. Library records since 1999 have been made computer readable, so significant staff time will be dedicated to making all holdings acquired prior to that time computer readable.

### 2. Please describe whom the beneficiary or recipient of this service will be and projected activity for access or use of the proposed service

The project's beneficiaries include State of Nebraska office holders, such as the Nebraska Supreme Court Justices, the Judges of the Court of Appeals, District and County Court Judges, the Governor, the Legislature, all other state employees; members of the Bar Association; and the general public. Projected activity is estimated to begin with 100 Internet "hits" per month and increasing each month over time and daily use of the automated computer card catalogue.

### 3. Timeline for implementation (specific completion date must be provided, grant funds

lapse if not expended prior to completion date).

The estimated timeline for implementation is March 31, 2009.

### 4. Agency contribution to project (labor, equipment etc.)

Library staff will continue to commit significant personnel hours to the tasks of implementation, including making the Library holdings and records computer readable; scanning and integrating hard copy records into the automation software; data entry. Staff of the State Court Administrator's Office is committed to hours and labor to coordinate the implementation of the project.

### 5. Has this project ever been submitted as a budget request (explain)?

The need for automation of the Nebraska State Library has been included in budget discussions with the Nebraska Supreme Court; however, limited legislative funding has perennially kept this budget item off the funded list.

### 6. Does the project require additional statutory authority (explain)?

Yes, approval is required from the Nebraska State Library's board of directors, who by Neb.Rev.Stat. Section 51-103 are the Justices of the Nebraska Supreme Court. Approval has been granted.

**7. Why is the grant money needed for the project, and, if applicable, how will the service be sustained once the grant money is expended?**

With the tight budget of the State, the grant funds are the best source for the Nebraska State Library's automation goal. This is essential in order to enhance the knowledge and use of the Library's vast holdings and collection. Ongoing costs of server rental and record maintenance will be included in the Library's annual budget.

**8. Please describe how this project will enhance the delivery of state agency services or access to those services (you may attach a separate sheet if needed)**

All state agencies will have access to the holding of the Nebraska State Library from their own office computers. This would be especially helpful, not only to the Justices of the Supreme Court, but the Attorney General's Office, attorneys and members of the legal divisions of state agencies whose offices are not in the Capitol. They will have access to research the Library's holdings without leaving their office. This will be a time saver to all individuals, and will provide 24-hour access to these records.

**9. Please describe how this project will 1) Improve the efficiency of agency operations; 2) Facilitate collaboration among state agencies; 3) Facilitate collaboration between state agencies and other public institutions; Support public/private partnerships in the delivery of public services (you may respond to any or all of these criteria in your answer, attach additional pages if needed)**

1) Automating the Library's collection will greatly improve the efficiency of Library staff. When cataloguing, incoming material cards will not have to be hand-typed and filed. The system will let us know when materials are not received in a timely manner. There will be a greater ability to search by topic. It will track book usage to help update the more used titles. It will track data and run reports in an efficient and timely manner.

2-3) An automated, Internet-accessible State Library system will allow state agency staff as well as other public/private partnerships to collaborate with each other in accessing Library holdings. This will help libraries across the state to help their patrons locate needed reference materials. The catalogue will be available to all colleges and universities; for example, an inquiry from university legal writing and history professors have researched Library resources regarding territorial Nebraska materials before statehood, as well as resources on the other fifty states, with materials going as far back as the 1600s. The Library contains some very old, rare, and unique legal collections for Nebraska and the entire United States. This project will help make this collection public, so others may find very useful information that would otherwise be unknown. In all, this project will bring to light this buried treasure within the holdings of the Nebraska State Library.

### III. Technical Information

#### 1. Describe the hardware, software, and communications needed for this project and explain why these choices were made.

The hardware, software, and communications needed for this project consist of a Client Workstation with the following specifications:

Processor: 1 Ghz or higher

Operating System: Windows 98, Xp, 2000 or higher

Storage: 150 MB free space

Memory: 256 MB or higher

Browser: Microsoft Internet Explorer 6.x or higher. Browser must support Cookies and Java Script.

E-Library Specifications: Firewall: TCP Port 80 open inbound and outbound (for E-Library Service), Internet connectivity: Minimum of 30 KB non-latent bandwidth per user.

The current personal computers and network connections in the Law Library already meet or exceed these specifications.

For the general public the requirements would be to have Internet access and it is recommended they use Microsoft Internet Explorer 6.0 or higher although other web browsers will work with the system.

The catalog application is located on server hosted by an Application Service Provider (ASP). Access to the server is through a secure connection over the Internet. The ASP will provide the necessary software to support this application.

The reason the Law Library made the decision to use a hosted server from an ASP was for a lower cost, high availability and ease of use of the card catalog application. Through minimal technical resources the automated card catalog will be available via the Internet to Nebraskan's and other citizens throughout the world.

#### 2. Address any technical issues with the proposed technology including:

- **Conformity with generally accepted industry standards. Projects which interface with other state systems (such as distance learning systems) should also address NITC technical standards and guidelines.**
- **Compatibility with existing institutional and/or statewide infrastructure.**
- **Reliability, security and scalability (future needs for growth or adaptation).**

There are not any technical issues with the proposed project. The system conforms to library standard Z39.50. Z39.50 is designed to enable communication between computer systems such as those used to manage library catalogues.

### III. Technical Information, #2, *continued*

The current version of Z39.50 is more properly known as North American standard ANSI/NISO Z39.50-1995, Information Retrieval (Z39.50): Application Service Definition and Protocol Specification, or as the matching international standard ISO 23950:1998, Information and documentation — Information retrieval (Z39.50) — Application service definition and protocol specification. The current release is version 3 of the ANSI/NISO standard, and dates back to 1995. Version 3 is the dominant version of Z39.50 utilized in Europe, although a number of North American sites continue to use the earlier version 2.

- The formal home of the standard is the Z39.50 Maintenance Agency, hosted by the United States' Library of Congress.
- This technology is in conformance with NITC standards and existing institutional and statewide infrastructure.
- This application provides for a secure Application Service Provider (ASP)-hosted hardware and software solution that is protected by a secure firewall. The ASP's data center has restricted access and entry is proximity card protected. The ASP provides secure state of the art servers with RAID technology. All client data is backed up nightly and secured at two off-site vault locations.
- The ASP provides network servers that are monitored daily for performance and stability, with both event notifications and manual inspections. All data center systems are protected from power-failure by a minimum of four hours of battery backup power. In addition, in the event of a power grid failure, the ASP has its diesel powered generator with automatic switchover, for continuous, uninterrupted power.
- The ASP has multiple high-speed, fail-over T-1 communications lines providing broadband connectivity to the Internet backbone. The ASP has a Tier I ISP service for Internet connectivity.
- The ASP offers three types of encryption services to provide secure communications with the client workstations.

#### **3. Describe how technical support will be provided.**

Client workstations will be supported by the Administrative Office of the Courts Information Technology staff. The server hardware and software are supported by the ASP on a 24x7 basis. The ASP provides for live person telephone and email support. A Customer Relationship Management (CRM) case database is used to track progress on trouble tickets. A management escalation process is in place for open cases. The ASP does provide a Service Level Agreement (SLA) for clients.

IV. CONTACT INFORMATION, SIGNATURE

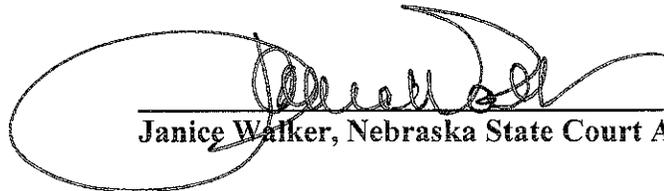
Contact person for any questions regarding this application:

Marie Wiechman, Director, Nebraska State Library; phone: 471-3189;  
[mwiechman@nsc.state.ne.us](mailto:mwiechman@nsc.state.ne.us)

Alternate contact:

Bill Miller, Deputy Director, State Court Administrator's Office; phone:  
471-3049; [bmiller@nsc.state.ne.us](mailto:bmiller@nsc.state.ne.us)

Signed this 25<sup>th</sup> day of June, 2007.

  
\_\_\_\_\_  
Janice Walker, Nebraska State Court Administrator

Please return to:

State Records Board  
440 So. 8<sup>th</sup>, Suite 210  
Lincoln, NE 68508

Nebraska Supreme Court  
 Nebraska State Library Automation Grant Application  
 June 22, 2007

Attachment 1

**Nebraska State Library Automation Budget – June 2007**

Library Software and Automation Costs

Software & application	\$ 4,095.00	
Professional services	\$ 1,300.00	
CatExpress fee <i>(Through FY 2010)</i>	\$ 1,400.00	
Rental fee for server - library catalogue <i>(Through FY 2010)</i>	\$10,000.00	\$16,795.00

Equipment for Automation

Computers 2 @ \$1,500 each <i>(Dedicated cataloguing computer for Library Director and for public – 1 each)</i>	\$ 3,000.00	
Printer <i>(Dedicated printer for Director's cataloguing computer)</i>	\$ 500.00	
Scanner <i>(Dedicated scanner for cataloging and automation of files and holdings)</i>	\$ 1,500.00	\$ 5,000.00

Supplies for Automation

Book truck	\$ 400.00	
Labels and misc. automation supplies	\$ 300.00	\$ 700.00

Staff Training Expenses

Training at headquarters – fee	\$ 500.00	
Airfare	\$ 700.00	
Hotel \$200.00 per day	\$ 600.00	
Food \$40.00 per day	\$ 120.00	
Cab fare <i>(Travel expenses for one person)</i>	\$ 60.00	\$ 1,980.00

<b>Total</b>		<b>\$24,475.00</b>
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# Nebraska State Bar Association

*"Helping lawyers help people"*



June 7, 2007

John Gale, Secretary of State  
Chair  
Nebraska State Records Board  
440 So. 8th, Suite 210  
Lincoln, NE 68508

RE: The Nebraska State Library Grant  
Proposal from the Nebraska State Records  
Board

Dear Mr. Gale:

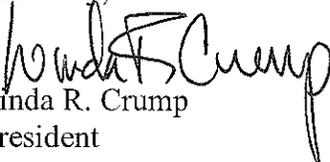
I would like to support the proposed project of making the card catalog of the Nebraska State Library available online.

Geography should not be a barrier for citizens, lawyers, and court personnel who want to obtain information. In an effort to conserve energy, people should avoid undertaking trips that might prove fruitless. How much more practical it is to be able to research a library's holdings online, and then take the steps needed to obtain the materials that you know exist, are available, and are what you need.

The internet is one of the few modern inventions that really can make a significant difference for people conducting research. In a geographically large state like Nebraska having only a few urban centers, being able to obtain important information through a website means not having to drive four to eight hours one way to look through a card catalog. Before making that trip, those seeking legal or historical information can check online to see if the State Library has what they need. Then they can take steps to check out the book or arrange to view the materials.

This would be a great resource for all Nebraskans.

Sincerely,

  
Linda R. Crump  
President

# Nebraska Library Commission

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The Atrium • 1200 N St. • Suite 120 • Lincoln NE 68508-2023 • 402-471-2045 • Fax 402-471-2083

June 14, 2007

Nebraska State Records Board  
c/o Secretary of State  
State Capitol, Suite 2300  
Lincoln, NE 68509

State Records Board Members,

I am writing in support of the Nebraska State Library's proposal for funds to automate and provide an online catalog of the State Library's holdings. An online catalog is essential to managing the library's collection and providing an effective mechanism to locate library holdings. The online catalog will also allow for remote access for those needing to find State Library resources, including resources unique to the State Library and not held in other Nebraska libraries. An online catalog will result in a significant improvement in service to the researchers needing the State Library's resources.

Sincerely,

A handwritten signature in cursive script that reads "Rod Wagner".

Rod Wagner  
Director

Technical Advisory Committee  
to the  
Nebraska State Records Board

**State Agency Grant Application Review**

Applicant: Nebraska Accountability and Disclosure Commission

Project Title: On Line Campaign Statement Filings

Resolution passed by the Technical Advisory Committee on July 10, 2007:

The committee, having reviewed the grant application entitled "On Line Campaign Statement Filings", finds that:

- The project is technically feasible.
- The proposed technology is appropriate for the project.
- There is insufficient information to determine the appropriateness of the time frame and budget.
- The grantee should follow NITC standards regarding the protection of personally identifiable information.

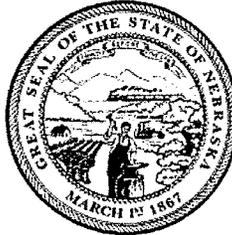
(Vote: Brown-Yes, Decker-Yes, and Weir-Yes)

-----  
Technical Advisory Committee Members (Neb. Rev. Stat. §84-1205.01)

- Richard Brown
- Brenda Decker
- Walter Weir

Nebraska State  
Records Board  
440 So. 8th, Suite 210  
Lincoln, NE 68508  
(402) 471-2745

John Gale  
Chairman



**APPLICATION FOR STATE RECORDS BOARD GRANT  
TO IMPROVE ACCESS TO PUBLIC INFORMATION**  
(State Agency Grant Application)

State Agencies desiring grants from the Nebraska State Records Board for projects to improve access to state government information should complete this application and follow any procedures outlined in this application and any accompanying materials.

I. Grant Summary

1. **Name of agency applying for grant** Nebraska Accountability and Disclosure Commission (NADC)

2. **Title of project** On Line Campaign Statement Filings

3. **Brief Description of Project**

The Nebraska Accountability and Disclosure Commission has several forms which are filed with them at various times throughout the year. This project is intended to provide the ability to file both the Political Action Committee (PAC) forms, and the B-7 (Report of Political Contribution from Corporations, Unions, or Other Associations) online.

3. **Grant request amount** \$ 25,000

4. **Will there be a fee for accessing records associated with this project?** No

5. **If yes, provide any statutory reference or authorization for the fee** N/A

**NSRB Grant Application**  
**Page 2**

**II. Grant Detail**

**1. Please describe the project in detail (you may attach this description)**

\*Please see attached DESCRIPTION #1

**2. Please describe whom the beneficiary or recipient of this service will be and projected activity for access or use of the proposed service**

\*Please see attached DESCRIPTION #2

**3. Timeline for implementation (specific completion date must be provided, grant funds**

**lapse if not expended prior to completion date).**

It is intended that this project be launched on January 31st, 2008. This date will begin the next filing period for NADC forms to be submitted.

**4. Agency contribution to project (labor, equipment etc.)**

The NADC currently has an established database that will continue to be utilized as the main repository for data received electronically through the online submission of filing statements. In addition to the ongoing support of the database NADC also has committed to maintaining and providing the process for posting the information on their Web site. It will also continue to provide the personnel required to review and vet the information before insertion into the data base.

**5. Has this project ever been submitted as a budget request (explain)?**

No, The agency does not have a specific budget request set up at this time.

**6. Does the project require additional statutory authority (explain)?**

No (Statute 49-14, 141)

**7. Why is the grant money needed for the project, and, if applicable, how will the service be sustained once the grant money is expended?**

\*Please see attached DESCRIPTION #3

**8. Please describe how this project will enhance the delivery of state agency services or access to those services (you may attach a separate sheet if needed)**

Please see attached DESCRIPTION #4

**9. Please describe how this project will 1) Improve the efficiency of agency operations; 2) Facilitate collaboration among state agencies; 3) Facilitate collaboration between state agencies and other public institutions; Support public/private partnerships in the delivery of public services (you may respond to any or all of these criteria in your answer, attach additional pages if needed)**

1. Improve the efficiency of agency operations:

Agency efficiency will be greatly improved through this project. Nebraska.gov has already provided adequate back end functionality to house this data but a significant limitation to getting this information is the large amount of manual intervention required to populate this data into the system. Currently the NADC personnel manually enter the information from these forms. With the potential of 2600 – 6000 filings received for just these two forms per year the agency is anticipating that these personnel resources will be able to be reallocated to other duties as necessary. This will greatly improve the NADC's efficiency with relation to this and other obligations to serve the public.

As previously stated this new online eGovernment service will allow this information to be processed much quicker than previously possible. This time savings is a critical step in improving NADC's ability to speak directly to the public's need for greater government transparency.

2. Facilitate collaboration among state agencies:

N/A

3. Facilitate collaboration between state agencies and other public institutions:

N/A

**III. Technical Information**

**1. Describe the hardware, software, and communications needed for this project and explain why these choices were made.**

N/A

**2. Address any technical issues with the proposed technology including:**

- **Conformity with generally accepted industry standards. Projects which interface with other state systems (such as distance learning systems) should also address NITC technical standards and guidelines.**
- **Compatibility with existing institutional and/or statewide infrastructure.**
- **Reliability, security and scalability (future needs for growth or adaptation).**

N/A

**3. Describe how technical support will be provided.**

Technical support for these forms will be provided by Nebraska.gov pursuant to an addendum to the master contract between the Nebraska State Records Board and Nebraska.gov. NADC has committed to a maintenance agreement which provides reoccurring monthly maintenance payments for this service and other currently being provided to NADC through Nebraska.gov.

**IV. CONTACT INFORMATION, SIGNATURE**

Contact person for any questions regarding this application FRANK J. DALEY JR.

phone # 402-471-2522 E-mail frank.daley@nadc.ne.gov

Signed this 6<sup>th</sup> day of July, 2007

  
Agency Director

Please Return to:

State Records Board  
440 So. 8th, Suite 210  
Lincoln, NE 68508  
(402) 471-2745

## **DESCRIPTION #1**

This project will be implemented in two parts. Part I of this project would allow political action committees (PACs) to file periodic campaign statements electronically (NADC Form B-4). Campaign statements disclose contributions from its members to the PAC and also describe the PAC's contributions and expenditures for the purpose of supporting or opposing the nomination or election of a candidate or the qualification, passage or defeat of a ballot question. Part II of this project would allow corporations, unions, and industry, trade or professional associations to file B-7 reports electronically. B-7 reports disclose the filer's contributions and expenditures for the purpose of supporting or opposing the nomination or election of a candidate or the qualification, passage or defeat of a ballot question.

Currently, PAC's, corporations, unions, and industry, trade and professional associations have only a paper process to file these statements with NADC. This paper process requires that NADC staff perform the re-entry of data on each filing it receives. This process creates a resource strain on the NADC and creates the potential for higher error rates when re-entering this information as filed.

### **Business Case:**

Part I- Political Action Committees (PACs) are required to file periodic campaign statements (Statute 49-1455 & 49-1459). Campaign statements disclose member contributions to the PAC and also the PAC's contributions and expenditures for the purpose of supporting or opposing the nomination or election of a candidate or the qualification, passage or defeat of a ballot question. Currently, PACs file paper campaign statements with the NADC. The NADC staff manually enters the data from the paper reports into an existing data base. Through this re-entry process the information is able to be posted on the NADC's website for public consumption.

Part II- Corporations, unions, and industry, trade or professional associations are required (Statute 49-1469) to file B-7 reports. B-7 reports disclose the filer's contributions and expenditures for the purpose of supporting or opposing the nomination or election of a candidate or the qualification, passage or defeat of a ballot question. Currently, these B-7 reports are filed on paper with the NADC. The NADC staff manually enters the data from the paper reports into an existing data base. Through this re-entry process the information is able to be posted on the NADC's website for public consumption.

Currently filers have only one way to file these reports. This paper process is outdated and requires updating to an online filing process. In addition the process that NADC currently utilizes to insert filing information into their central database requires intensive resources.

There are currently 85 registered PAC's who can file from 1 to 7 times per year. This results in a potential of 595 PAC filings per year. NADC also receives one or more filings each year from between 2000 and 3000 NADC Form B-7 filers resulting in 4000-6000 filings that must be re-entered into the database. It is the intent of this project to

streamline this process for both the agency and the filer while making the information contained in each file publicly accessible much quicker than previously possible.

#### Technical Requirements:

- 1.) Provide a method by which users can register to file on line. Once approved each user will have access to following the process to file the appropriate form online.
- 2.) Allow users to select the form they wish to file
  - a. NADC Form B-4 (Campaign Statement, Independent Committees)
  - b. NADC Form B-7 (Report of political Contributions of a Corporation, Union, or Other Association)
- 3.) Allow users to enter the information requested and submit the filing directly to the agency.
- 4.) Create an administrative application where NADC designated resources can review submissions and edit each filing, if needed, prior to the filing being inserted into the final database. The NADC resources that currently perform data entry responsibilities will also be responsible for reviewing each electronically filed document.
- 5.) Allow the agency the ability to print the completed forms for their permanent files. The Forms that are printed from the electronic filing system will not match the appearance of forms used by hard copy filers precisely. While these forms will not be identical all statutorily required information must be included on each printed copy.
  - a. The printed version is necessary so members of the public will be able to request hard copies in the same manner they do today.

#### **DESCRIPTION #2**

Please describe whom the beneficiary or recipient of this service will be and projected activity for access or use of the proposed service

There are three primary beneficiaries of this project; filers, the general public and the NADC.

There are currently 85 registered PAC's who can file from 1 to 7 times per year. This results in a potential of 595 PAC filings per year. NADC also receives one or more filings each year from between 2000 and 3000 NADC Form B-7 filers resulting in 2000-6000 filings that must be re-entered into the database. It is the intent of this project to streamline this process for both the agency and the filer while making the information contained in each file publicly accessible much quicker than previously possible. Currently the information that is derived from this data is displayed on the internet for public consumption. Moving the filing on line will allow the agency to decrease error rates while getting the information to the public quicker and more efficiently.

The NADC receives 29,410 number of page requests each month

### **DESCRIPTION #3**

The grant money is requested because the NADC is a small agency which has an obligation to make a significant amount of information available to the press and public on a timely basis. Even so, good projects must be evaluated in light of available funding. Sustaining this project is already contemplated in the current and proposed budget. The agency currently has and uses a data base into which campaign finance information is entered. It currently displays this information on its website. The agency, by way of an addendum to the master contract between the Nebraska State Records Board and Nebraska.gov, receives support services from Nebraska.gov. To the extent that continuing technical support is required, it would be obtained through the addendum to the master contract.

### **DESCRIPTION #4**

As stated in Question 2 there are three primary beneficiaries that will see enhanced access as a result of this project.

#### **Filers:**

Each filer will benefit by having an additional filing option not previously available to them. An additional benefit for filers filing online will be that the filers will be given more time to file because they will no longer be required to mail in their statements. This allows each filer to submit much closer to the deadline.

#### **Agency:**

The agency will benefit significantly because the data from reports will not need to be re-entered by NADC staff. This will save a great deal of time and effort for NADC while also helping to lower the error rate that is inherent during any data entry process. (Statute 49-1464)

#### **Public:**

The public will benefit from both the timeliness and accuracy of the data being provided. This system will allow the data in each of the statements submitted online to reach the public view much quicker than previously possible.

Technical Advisory Committee  
to the  
Nebraska State Records Board

**State Agency Grant Application Review**

Applicant: Office of the CIO, Dept. of Administrative Services

Project Title: Nebraska Geospatial Data Sharing and Web Services Network

Resolution passed by the Technical Advisory Committee on July 10, 2007:

The committee, having reviewed the grant application entitled "Nebraska Geospatial Data Sharing and Web Services Network", finds that:

- The project is technically feasible.
- The proposed technology is appropriate for the project.
- The technical elements can be accomplished within the proposed time frame and budget.

(Vote: Brown-Yes, Decker-Abstain, and Weir-Yes)

-----  
Technical Advisory Committee Members (Neb. Rev. Stat. §84-1205.01)

- Richard Brown
- Brenda Decker
- Walter Weir

Nebraska State  
Records Board  
440 So. 8th, Suite 210  
Lincoln, NE 68508

John Gale  
Chairman  
(402) 471-2745



## APPLICATION FOR STATE RECORDS BOARD GRANT TO IMPROVE ACCESS TO PUBLIC INFORMATION (State Agency Grant Application)

State Agencies desiring grants from the Nebraska State Records Board for projects to improve access to state government information should complete this application and follow any procedures outlined in this application and any accompanying materials.

### I. Grant Summary

**1. Name of agency applying for grant:** Office of the CIO, Dept. of Administrative Services

**2. Title of project:** Nebraska Geospatial Data Sharing and Web Services Network

### 3. Brief Description of Project

This proposal is for partial startup funding of a two-year project to establish a collaborative, intergovernmental Geospatial Data Sharing and Web Services portal for Nebraska. GIS/geospatial data is data that contains information about the physical location (street address, latitude/longitude, etc.) of data elements. This type of data is used for a wide variety of governmental applications, and can be mapped and/or integrated with other data based on common or proximate locations.

This geospatial data portal will help users to find existing data, and arrange for interactive data access and exchange between state, local, federal agencies, the private sector and the general public. The project will also utilize the latest online GIS (Geographic Information System) technologies to provide a foundation upon which public agencies can build their own agency-specific online public information mapping and geo-analytical applications using data drawn from multiple agencies. The project will not duplicate existing agency online efforts, but will instead provide interactive links to those existing services. Where agencies do not currently provide online data access or online mapping services, the project will provide those agencies with the opportunity to make their data available through the data-sharing network.

**3. Grant request amount:** \$25,000 for two years (\$50,000 total)

**4. Will there be a fee for accessing records associated with this project?** No

**5. If yes, provide any statutory reference or authorization for the fee** \_\_\_\_\_

## II. Grant Detail

### I. Please describe the project in detail (you may attach this description)

This project will develop a Nebraska enterprise-level geospatial web portal, with Internet mapping and data services capabilities, to serve the users of Nebraska related GIS/geospatial data and enable those users to efficiently and reliably find, access, display, and build public information applications utilizing the geospatial data maintained by a wide variety of state, local and federal agencies. Among the characteristic to be built into the design of the portal are the following.

Distributed System. The project will create a distributed system which will allow public agencies the option of either copying data to and serving data from an enterprise-level data repository or allow agencies to arrange for online linkages, through the geospatial portal, to their existing online data and/or mapping services.

System Designed for Range of User Needs. The project will develop a system, which will over time seek to address a wide range of expected user needs including both secure and non-secure data access. A system designed to provide both user-friendly front-ends with limited geo-analytic tool availability for non-sophisticated GIS users and also provide agencies with access to and support for a wide-range of geo-analytic tools upon which the more GIS sophisticated agencies can develop customized applications.

Online or Desktop Use. The system will allow users to find, access, and download available geospatial data onto their desktop. The system will allow users to display and conduct geospatial analysis online using data from multiple sources and/or the system will allow users to perform geospatial analysis on their desktop while accessing desired datasets via the network.

Compatibility with Existing Infrastructure. The GIS component of the proposed system will be based on the ESRI product, ArcGIS Server 9.2 and the system's database management functionality around SQL Server software available thru the Office of the CIO. These two popular OTS software packages will insure a high degree of compatibility with existing infrastructure, database formats, and the knowledge base of agency technical staff. To further enhance compatibility, efforts will be made to also comply with Open GIS standards where practical.

Collaborative Development Model. Initial development will be a collaborative effort based primarily in the Office of the CIO and the University of Nebraska Center for Advanced Land Management and Information Technology (CALMIT), with active partnerships and collaboration from several state and local agencies. The Office of the CIO will provide the formal institutional home and oversight for the project and will enter into an interagency agreement with UNL-CALMIT to provide technical support services for the project. The Office of the CIO will bring to this project its supportive relationships with the Nebraska GIS Steering Committee and the NITC State Government Council, its project management capabilities, its SQL Server capabilities, its flexible organizational capabilities for IT project management, and its experience in implementing interagency IT project. UNL-CALMIT will bring to this project its in-house GIS expertise, its organizational flexibility in hiring needed GIS technical support and management, and its access to a professional and student community exposed to the latest innovations in GIS technology. It is proposed that an intergovernmental advisory committee will be convened by the Nebraska GIS Steering Committee to provide on-going technical and policy guidance for the development and maintenance of this enterprise-level service.

Data Sharing Arrangements. Facilitating data-sharing is at the heart of this proposal. To further facilitate data-sharing, participating agencies will be encouraged and assisted in the development of formal metadata. Metadata is data about data and serves to document, in a formal way, public datasets so that they can be reliably, and correctly, used by others who were not the original data producer. Efforts will also be made to arrange for written data-sharing agreements so that the conditions under which data can be shared and used will be outlined in advance.

# NSRB Grant Application

## Page 3

Need for Dedicated Technical Support. From the beginning, the potential project partners have highlighted the need for dedicated technical support resources in order to be successful over the longer term. This grant application is focused on putting in place a mix of initial startup funding to enable the hiring of or contracting for the technical lead/support resources necessary to implement the enterprise-level infrastructure and to work with the existing technical staff of participating agencies to plan and implement data sharing standards and protocols.

*See Attachment I for additional Project background and Project deliverables and timelines.*

### **2. Please describe who the beneficiary or recipient of this service will be and projected activity for access or use of the proposed service**

**General Public** will gain enhanced online access to public information from a wide variety of state and local agencies and in many cases the information will be more intuitive and understandable because it will be presented in a graphical mapping format and combined with other related data.

**Public agencies** will gain reliable access to current geospatial data that is maintained by others agencies (state and/or local) and to an online enterprise-level geospatial applications development platform.

**Public agencies** that currently do not have the technical expertise, hardware, software, and/or collaboration agreements with other agencies will gain an enhanced ability to display and analyze geospatial data at a greatly reduced startup cost for the agency,

**Private Sector** will gain enhanced online access to public information in a more intuitive and graphically enabled format more suited for making their business decisions.

### **3. Timeline for implementation (specific completion date must be provided, grant funds lapse if not expended prior to completion date).**

This proposal is asking for partial start up funding for a two-year project implementation timeline. Because one significant component of the project involves hiring or contracting for a technical lead person who has a skill set which is not widely available, it is possible that once the funding is committed, it may take some time to secure the services of such an individual and therefore, we are asking for each \$25,000 grant a 1-1/2 year completion date timeline from the awarding of the grant (thru Jan. 2009 and Jan. 2010).

### **4. Agency contribution to project (labor, equipment etc.)**

The proposed start-up funding for this collaborative project, is also collaborative. In addition to this requested two-year funding commitment from the State Records Board Grant to Improve Access to Public Information, funding is proposed from the NITC Government Technology Collaboration Fund, the US Geological Survey, and both cash and in-kind contributions from state agencies. Below is an overview of those contributions.

#### PROPOSED OVERALL TWO-YEAR STARTUP FUNDING PACKAGE

US Geological Survey Grant	\$43,000	one-time hardware/software funds <i>already obtained</i>
<b>State Agency Partners - Cash *</b>	<b>\$60,000</b>	\$30,000 for each of the first two years of the project
NITC Collaboration Fund	\$150,000	\$75,000 for each of the first two years of the project
State Records Board	<u>\$50,000</u>	\$25,000 for each of the first two years of the project
Total two-year budget	\$303,000	

\* Does not include the extensive in-kind contributions that are expected from state and local agencies in the form of technical support to integrate their data and systems (see below).

# NSRB Grant Application

## Page 4

STATE AND LOCAL AGENCY PROJECT CONTRIBUTIONS. Twelve state and local agencies signed a Project Charter outlining the concept and vision for this collaborative endeavor, upon which this application is based. The Project Charter included the assumption that participating agencies would contribute in-kind technical services to establish and maintain connections with their IT systems and their data — in addition to being actively engaged in defining the specifics of the enterprise data sharing network and any related web services, protocols, standards, data sharing agreements, etc. While it is expected that the number of participating agencies will grow, this initial twelve is used as a conservative base upon which to estimate agency contributions to the project.

### Cash Contribution:

Total of \$30,000 per year from state agencies for initial two-year startup period **\$60,000**

### In-Kind Labor - estimate:

Technical: 12 Partner Agencies x 160 hrs x \$35/hr *(includes benefit costs)* \$67,200

Policy: 12 Partner Agencies x 20 hrs x \$35/hr (data sharing agreements, financial) \$8,400

OCIO GIS Coordinator 1<sup>st</sup> yr.-1/4 FTE (520 hrs) + 2<sup>nd</sup> yr.-1/8 FTE (260 hrs) x \$35/hr \$27,300

In-kind labor total (conservatively estimated) \$102,900

In-Kind Hardware/Software: 12 x \$10,000 \$120,000

*(Project will leverage and connect with IT systems of all partner agencies)*

In-Kind Data: 12 x \$50,000 \$600,000

*(This will be the key contribution from partner agencies. Datasets cost from \$10,000 to over a \$1,000,000 to develop and maintain. Many agencies will make available multiple datasets, for example Sarpy County has provided a list of 20 datasets they would likely make available through this system).*

Total Cash and Conservatively Estimated In-kind Agency Contributions **\$882,900**

### **5. Has this project ever been submitted as a budget request (explain)?**

No

### **6. Does the project require additional statutory authority (explain)?**

No

### **7. Why is the grant money needed for the project, and, if applicable, how will the service be sustained once the grant money is expended?**

This project will establish a new collaborative, enterprise-level, data-sharing and public information service for the State of Nebraska and lay the foundation for its long-term sustainability. It is always difficult to get startup funding for new enterprise-level information services and the State Records Board Grant to Improve Access to Public Information, (in combination with the NITC Government Technology Collaboration Fund) seems well suited to be a partner in jump-starting such a data sharing service. There are several state and local agencies that are currently providing agency-specific online geospatial data and services. Many of these agencies have expressed support for the development of an enterprise-level system, but have also noted that they do not have as part of their statutory mission, the provision of these services on an enterprise level. Integrated into the overall project implementation design are steps to identify and document the resources that will be required to sustain the project beyond its initial implementation phase and steps to work with participating agencies to define a funding model that will provide for those on-going support costs.

**8. Please describe how this project will enhance the delivery of state agency services or access to those services (you may attach a separate sheet if needed)**

- Enhanced access to geospatial data. There are many datasets maintained by state agencies that are not currently easily available to the public. For example, if you lived in Scottsbluff and wanted to get a copy of a close-up rendering of the current school district boundaries in the county, where would you go to get easy access to this somewhat dynamic information?
- Improved data interface—more intuitive and user-friendly. A picture is worth a thousand words and the ability to provide a mapping/graphical representation of large tabular datasets frequently conveys the impact of that data more readily than any other presentation method (i.e. a HHSS map of West Nile cases, <http://www.hhs.state.ne.us/wnv/maps06/humans.pdf>)
- Enhanced information by combining data from multiple agencies. Frequently combining data from multiple agencies can generate more useful information. In the Scottsbluff school district boundary example above, those boundaries lines would potentially be more useful if they could be combined with digital property parcels lines maintained by county government.
- Improved consistency of public policy implementation across agencies. It is not uncommon for state, local, or regional public agencies to have overlapping public policy responsibilities in similar arenas (i.e. water policy). It is also not uncommon for these agencies to be working from slightly different datasets or information base. The proposed data-sharing network would enhance the likelihood of them working from the same dataset and thereby enhance the consistency of public policy implementation.
- Increased state / local collaboration. The increased ability of state and local agencies to readily share data directly impacts their ability to collaborate. For example, a state web application that mapped local sites available industrial economic development, combined with information related to transportation, sewer, and water infrastructure could provide a real boost to economic development opportunities.
- Enhanced online applications with addition of graphical/mapping component. Many current and future online applications/services could be made more informative with the addition of a related mapping service of the information/service provided. For example, an online application providing a listing of nearby doctors who will accept new Medicare patients could be greatly enhanced by providing a mapping of the search results.

**9. Please describe how this project will 1) Improve the efficiency of agency operations; 2) Facilitate collaboration among state agencies; 3) Facilitate collaboration between state agencies and other public institutions; Support public/private partnerships in the delivery of public services (you may respond to any or all of these criteria in your answer, attach additional pages if needed)**

There are currently over 100 statewide, regional and local geospatial datasets, and thousands of aerial and satellite images, now available for Nebraska. Collectively, these datasets probably currently total at least 50 Terabytes (Tb) of disk storage (note that 10 Tb = printed collection of the Library of Congress). These data constitute an invaluable resource for the State. There is currently no central access point to find and access this wealth of Nebraska-related data.

It is also important to note that the number of datasets and data volume will increase annually as new data are digitized, more agencies adopt GIS, and existing datasets are updated. As more agencies use GIS, the demand for data access will increase as well. This growth trend is particularly noteworthy as more local governments adopt GIS technology and state agencies desire ready access to these highly accurate local datasets for state-level applications. This trend towards an increasing demand for online GIS capability and data access will also be

heightened as more agencies become comfortable with the concept and the reliability of the proposed Nebraska Geospatial Data Sharing Network. This will likely result in more data being made available thru the Data Sharing Network and more agency applications being built based on the availability of that Network.

The following project objectives outline some of the ways that this effort will improve the efficiency of state agency operations and facilitate collaboration among state agencies, with other public institutions and with the private sector.

1. **Create Data-sharing Network.** Create an online geospatial data-sharing network, which will allow public agencies to share their geospatial data with other public agencies and/or the public and the private sector via live interactive links to their most up-to-date data.
2. **Develop Data-sharing Security Protocols.** Develop data access security protocols and mechanisms for the geospatial data-sharing network, which will enable data-sharing agencies to allow either full open public access and/or password-controlled access to specific datasets and/or functionalities.
3. **Provide Enterprise Internet Mapping Services.** Implement an enterprise-level online GIS Internet mapping service to enable public agencies to share/publish/display their geospatial data and to enable users to combine, map, analyze, display and download geospatial datasets from multiple agencies.
4. **Establish Enterprise Geospatial Data Repository.** Develop an enterprise-level geospatial data repository, which will allow public agencies to maintain up-to-date copies of selected agency geospatial datasets on enterprise data servers and provide online access to those datasets through the data-sharing network and/or the GIS Internet mapping service.
5. **Empower Public Agencies.** Strengthen the capability of public agencies to fulfill their missions by providing them with new tools to develop customized, agency-specific online GIS applications through the utilization of the enterprise-level infrastructure, technical support, and access to data from multiple agencies that will be provided by this project.
6. **Improve Public Services.** Improve public services by enabling the general public and other agencies to access and display an agency's information via a more user-friendly, intuitive graphical map interface rather than tabular data formats. Make public policy implementation more consistent and coordinated across state and local agencies by making commonly needed, up-to-date data more readily accessible to all.
7. **Save Public Resources.** Save public resources by making these public investments for hardware, software, and technical support resources at the enterprise level and thereby minimize the need to duplicate these investments at every public agency. Save resources by reducing the likelihood of duplicate data investments, by making it easier to reliably find and access similar geospatial data that is available at other agencies. Save resources by developing data-sharing protocols at the enterprise level instead of the individual agency-to-agency level, which would then need to be revised or synchronized when other agencies' data-sharing protocols conflict.
8. **Facilitate Data-sharing.** Facilitate data-sharing between public agencies at the state, local and federal level by making it easier to find and access data of the specific type of data needed that may be available at another agency. Facilitate data-sharing by requiring data listed on the data-sharing network to be documented with formal metadata (data about the data). Facilitate data-sharing by arranging, in advance, specific data-sharing agreements, which outline the understandings related to sharing of a specific dataset.

### III. Technical Information

#### 1. Describe the hardware, software, and communications needed for this project and explain why these choices were made.

**Hardware / Software.** While the final decision on hardware and software will be deferred until the lead technical staff for the project can have input, preliminary decisions have been made, which have provided some of the foundation for this proposal. The two primary software components are the online GIS data/mapping server and the database management system. The Working Group has preliminarily decided to base the GIS component on the relatively new version of ESRI ArcGIS Server 9.2, which was designed specifically for this type of application. ESRI is the GIS software vendor used by the vast majority of the state and local partners in this project. The use of this software will make it very likely that the software used by these project partners will be compatible with this online GIS server engine and that the project partners will be familiar with the software tools. The one significant exception to this pattern is the Nebraska Department of Roads that primarily uses Intergraph and GeoMedia GIS software. However, NDOR also uses ESRI products and feels comfortable that data-sharing protocols can be arranged. It is expected that a relatively high-end server will be required for the hosting the ArcGIS Server software.

A preliminary decision has also been made to build the system's database management functionality around SQL Server software. Drivers for this decision include: costs; the availability of SQL Server software and related hardware, and technical support within the Office of the CIO; the fact that the existing OCIO SQL Server-related hardware/software capabilities would allow for considerable flexibility in starting small, but expanding the system as it grows; and the fact that ESRI ArcGIS Server software is designed to integrate easily with SQL Server software.

**Communications.** The data communications network will be based on Internet protocols and rely on the existing broadband network to provide connectivity between state and local agencies and existing private Internet connectivity to provide service to the general public and private sector.

#### 2. Address any technical issues with the proposed technology including:

- **Conformity with generally accepted industry standards. Projects which interface with other state systems (such as distance learning systems) should also address NITC technical standards and guidelines.**
- **Compatibility with existing institutional and/or statewide infrastructure.**
- **Reliability, security and scalability (future needs for growth or adaptation).**

**Standards.** The adoption of ESRI ArcGIS Server as a foundation software means from a practical point of view the system will be consistent with generally accepted industry standards, since ESRI is the number one GIS software vendor. However, it is also the intention of the project Working Group to develop the system such that data and mapping services will also be available according to Open GIS standards and therefore be vendor neutral. One of the major NITC technical standards that this project will impact is the requirement that state-funded geospatial data be documented with formal metadata describing the data. Data will not be made available on the Data Sharing Network unless it is documented consistent with the NITC Metadata Standard.

**Compatibility with Existing Infrastructure.** This project will be designed to build upon and be compatible with existing infrastructure wherever practical. Existing online data and mapping services provided by public agencies will be linked through the portal. The adoption of ArcGIS Server and SQL Server software will enhance system compatibility as they are widely used. The data communication network will be based on existing services and protocols. The portal will be designed to work and communicate with national geospatial portals such as The National Map, Geospatial One-Stop, and the ESRI Geography Network. Efforts to comply with Open GIS standards will also increase the level of compatibility with systems that maybe somewhat less in the mainstream.

**Reliability and Scalability.** The adoption of ESRI ArcGIS Server and SQL Server software to should provide this proposed system with a considerable level of reliability, security, and scalability as these are leading OTS software designed with these considerations in mind. The adoption of the new version ArcGIS Server 9.2 raises some concerns of possible “bugs” to be worked through. However, since ESRI is the number one GIS software vendor and this software is a key ESRI initiative, it is likely that there will be considerable support available through ESRI to solve those problems. The Working Group decided that even given these likely problems with a significantly new software product, it did not make sense to design a new system around yesterday’s technology. The proposed project is designed around the Office of the CIO SQL Server capability in part because the Working Group felt that the OCIO would take on much of the burden of providing the reliability, security, and scalability on the DMS side.

**Security.** One of the early project implementation foci will be developing the data/network security protocols that will allow participating partners to feel comfortable in selectively sharing geospatial data and services over the Data Sharing Network. Tools to provide that data security are available in both the ArcGIS Server and the SQL Server software and efforts will be made to build upon existing security protocols built into the state’s network.

**3. Describe how technical support will be provided.**

The bulk of the proposed project costs are associated with an interagency agreement between the Office of the CIO and UNL-CALMIT to provide GIS Project Management and Technical Support for this project. The focus of this agreement will be to secure the services of a GIS Project Manger/Programmer who has the experience and skills required to lead, train and implement the GIS portal web site using ESRI ArcGIS Server software. Also available to the project will be the technical services of the SQL Server DMA on staff at the Office of the CIO. The GIS Coordinator for the OCIO will lead the policy/coordination and data sharing components of the project. As noted before, as part of the initial two-year project implementation, an assessment will be made of the specific needs for on-going technical support and a funding model developed to provide that support. Below is the preliminary project budget, which illustrates these technical support resource commitments.

**Interagency Agreement with UNL-CALMIT for Project Technical Support**

Project Mgr/Programmer/GIS Support (100% FTE)	\$75,000	\$150,000
Principal Investigator (.05 FTE)	\$6,000	\$12,000
<b>Subtotal - direct personnel costs</b>	<b>\$81,000</b>	<b>\$162,000</b>

Fringe Benefits (28%)	\$22,680	\$45,360
Supplies	\$1,000	\$2,000
Computer Support and Services	\$3,150	\$6,300
Travel	\$4,000	\$8,000
Communications	\$1,000	\$2,000
<b>Subtotal - personnel and other direct costs</b>	<b>\$112,830</b>	<b>\$225,660</b>

Indirect Costs (10%)	\$11,283	\$22,566
<b>Subtotal UNL-CALMIT Interagency Agreement Costs</b>	<b>\$124,113</b>	<b>\$248,226</b>

**Other Costs Beyond Univ. Interagency Technical Support Agreement**

Estimated Hardware & Software Purchase Costs		\$43,000
OCIO SQL Server and Technical Support Costs	\$6,000	\$12,000

<b>Two-Year Project Budget Total</b>		<b>\$303,226</b>
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IV. CONTACT INFORMATION, SIGNATURE

Contact person for any questions regarding this application: Larry K. Zink

phone #: 402-471-3206 E-mail: Larry.Zink@cio.ne.gov

Signed this 26<sup>th</sup> day of June, 2007   
Agency Director

Please Return to: State Records Board 440 So. 8th, Suite 210, Lincoln, NE 68508

A Proposal to

Establish a Nebraska Geospatial Data Sharing and  
Web Services Network



The image shows a screenshot of the Nebraska.gov website's Geospatial Data Sharing Web Services Network page. At the top left is the Nebraska.gov logo with the tagline "The Official Website of Nebraska". To the right is the title "GEOSPATIAL DATA SHARING WEB SERVICES NETWORK". Below the title is a world map graphic. To the right of the map is a list of services: FEDERAL GEOSPATIAL DATA, EROS DATA CENTER, ESRI GEOGRAPHY NETWORK, GOOGLE MAPS, and VIRTUAL EARTH. Below this list are three columns: MAPS (blue header), DATA (green header), and HELP (orange header). Each column contains three lines of placeholder text. At the bottom left is a small map of Omaha, Nebraska, with a location marker for "Quest Center Omaha" and the date "April 3-5, 2007". At the bottom center is a telephone icon with the text "ADDRESS PHONE NUMBER". At the bottom right is the text "GEOSPATIAL BLOG".

Graphic: courtesy of Jeff Arnold, Geogage

## Attachment I

### Project Background

A high percentage of the decisions made in government and industry, and many day-to-day decisions made by individuals, are substantially based on analyses of geospatial data. These include decisions related to property valuation and taxation, redistricting, drought management, grazing management, school bus routing, economic development, water pollution mitigation, water rights management, soil conservation, wildfire risk assessment, dispatching emergency vehicles, homeland security, law enforcement, public health and literally hundreds of others. GIS technology cuts across virtually all of the social and natural sciences, business, agronomy, medicine, planning, law, emergency services, engineering and computer science – in short, every area of endeavor in which maps have traditionally been employed. Today, GIS is one of the fastest growing information technologies.

Much of the power of GIS lies in its ability to facilitate integration and analysis of data from multiple sources. As the use of GIS has expanded across Nebraska (Table 1), the need for users to quickly and reliably identify, access and share data across institutional and jurisdictional boundaries has become acute.

Table 1. Some Nebraska Agencies Using GIS

Conservation and Survey Division, University of Nebraska-Lincoln	Lincoln Electric System
Nebraska Department of Environmental Quality	Hastings/Adams County
Nebraska Department of Health and Human Services	Lincoln/Lancaster County
Nebraska Department of Natural Resources	Omaha/Douglas County
Nebraska Department of Roads	Grand Island/Hall County
Nebraska Emergency Management Agency	Scottsbluff/Scotts Bluff County
Nebraska Game & Parks Commission	Kearney/Buffalo County
Nebraska National Guard	Sarpy County
Nebraska Natural Resources Districts	Merrick County
Nebraska Department of Property Assessment and Taxation	U.S. Army Corps of Engineers, Omaha District
Nebraska Public Service Commission	U.S. Geological Survey Nebraska Science Center
Nebraska Public Power District	U.S. Environmental Protection Agency
Nebraska State Data Center, University of Nebraska-Omaha (census data)	U.S. Department of Agriculture Farm Services Agency (Nebraska Office)
Nebraska State Surveyor's Office	U.S. Department of Agriculture Natural
U.S. Fish and Wildlife Service (Nebraska Office)	Resources Conservation Service (Nebraska Office)
Omaha Public Power District	U.S. Department of Agriculture Farm Services Agency (Nebraska Office)

The challenge of facilitating broad and easy access to the growing array of geospatial data is not limited to Nebraska. Most states and federal agencies, and many local governments are struggling with how best to address this need. The federal government has taken the lead in attempting to resolve such issues among federal agencies. The most noteworthy efforts include **Geodata.gov (Geospatial One-Stop)** (<http://gos2.geodata.gov/wps/portal/gos>) operated under the auspices of the Federal Geographic Data Committee and **The National Map** (<http://nationalmap.gov/>) developed by the U.S. Geological Survey. In addition, the Environmental Systems Research Institute (ESRI), a private GIS software firm, provides the **Geography Network** (<http://www.geographynetwork.com/>). These portals generally provide a variety of tools to facilitate searches for data and often allow users to view and download data. Datasets developed by federal agencies are often readily accessed with these sites, but data from state and local governments is only sporadically available.

Many states have, in recent years, developed geospatial data portals that emulate, and often improve on, services offered by the national portals. Such sites are tailored to meet specialized needs of each state's agencies and other clients.

Table 2. Some Existing State Geospatial Data Portals

California Spatial Information Library – <http://gis.ca.gov/index.epl>  
North Carolina One Map - <http://www.nconemap.com/>  
Delaware Geospatial Information Clearinghouse - <http://maps.rdms.udel.edu/Portal/>  
Arkansas GeoData Clearinghouse - <http://www.geostor.arkansas.gov/Portal/index.jsp>  
Missouri Spatial Data Information Service - <http://www.msdis.missouri.edu/>  
Kansas Geospatial Community Commons - <http://www.kansasgis.org/>  
Wisconsin Land Information Clearinghouse - <http://www.sco.wisc.edu/wisclinc/index.php>  
Arizona GeoData Portal - <http://agic.az.gov/portal/main.do>  
Kentucky GeoPortal - <http://kgsweb.uky.edu/arcimsSearch.asp>

A few agencies in Nebraska have made significant efforts to assist GIS users in identifying and accessing selected data. On a statewide basis, the most noteworthy is the work of the Nebraska Department of Natural Resources (NDNR), which has continually enhanced its Data Bank over the past 20 years (<http://www.dnr.ne.gov/databank/geospatial.html>). The Conservation and Survey Division (CSD) of UNL has also provided a web site that assists users in finding and acquiring geospatial data (<http://csd.unl.edu/general/gis-datasets.asp>). The Center for Advanced Land Management Information Technologies (CALMIT) at the University of Nebraska-Lincoln uses IMS (Internet Map Server) technology to provide access to selected satellite imagery and to datasets on land use (<http://www.calmit.unl.edu/cohyst/>). The Nebraska Game & Parks Commission (NGPC) has recently begun to implement an IMS (Internet Map Server) site to access some of that agency's data.

Locally, the City of Lincoln and Lancaster County have been leaders in developing user access to local government GIS data (<http://ims.lincoln.ne.gov/gisweb/home.htm>). The City of Omaha/Douglas County, Sarpy County, Scottsbluff County, and others are also developing online access portals to local GIS data. And regional agencies such as the Lower Platte North Natural Resources District have implemented extraordinarily useful IMS-based tools such as NRD MapMaker (<http://www.lpnrd.org/projects/gis/mapmaker.html>).

Although several Nebraska agencies have made much progress in GIS and some provide online access to geospatial data, no site currently provides anything close to comprehensive access to the Nebraska-related geospatial data maintained by local, regional, state and federal agencies. At the present time, no Nebraska agency is charged with the responsibility for operating such a site or funded to provide such services.

Because of the lack of such a comprehensive Nebraska geospatial portal, it is often difficult for agencies to find and arrange for access to needed existing data. It is also difficult to assure that one agency has a copy of the most recent version of a dataset that is maintained by another agency. Consequently, many agencies expend considerable technical resources in finding, accessing and maintaining up-to-date versions of existing geospatial datasets, or in some cases duplicating existing datasets. While it will undoubtedly take a period of time to develop the interagency relationship that will allow anything near a comprehensive geospatial portal to be developed, this intergovernmental project is designed to put in place the technical foundation and the initial intergovernmental coordination and agreements necessary to build and sustain such a portal.

## Project Implementation Approach

This project proposal has been developed by the Office of the CIO, in conjunction with, and in response to, an NITC GIS Shared Services initiative jointly sponsored by the NITC State Government Council and the Nebraska GIS Steering Committee. This GIS Shared Services initiative was most recently outlined in the NITC's Statewide Technology Plan, *Digital Nebraska: Envisioning Our Future 2007 Update*.

### ***Implement Geographic Information System (GIS) as a shared service.***

**Action:** *Develop a plan for the coordinated delivery of Internet mapping services by state agencies, with the objectives of making GIS services and existing GIS/geospatial data readily available to a broader array of agencies, improving data access and services to the public, minimizing unnecessary duplication of effort, providing data and system backup, and where appropriate, provide for a coordinated security system, including the possibility for limited data access and password protection.*

Following the initial adoption of the GIS Shared Services Internet Mapping Action Item, the Office of the CIO took the lead in convening a broad-based, intergovernmental Project Team to further develop the concept and define a conceptual approach for implementation. In 2006, this Project Team developed a Project Charter, which outlined a broad interagency vision for a Nebraska Geospatial Data Sharing and Web Services Network. This shared vision included a multi-year implementation process and recognized the need for dedicated technical staff to sustain the effort. A listing of the key members of that Project Team is provided below.

**Project Team:** NE CIO: Steve Henderson, Information Technology Manager, Office of the CIO  
NE GIS Steering Committee/CIO: Larry Zink, GIS Coordinator  
NE Dept. of Natural Resources: Steve Rathje, Senior Analyst  
NE Dept. of Environmental Quality: Dennis Burling, Info. Technology Manager  
and Paul Yamamoto, Infrastructure Support Analyst Senior  
NE Dept. of Roads: Jon Ogden, Business Technology Support Manager and  
Steve Brown, GIS Manager  
NE Health and Human Services System: Chris Chalmers, GIS Coordinator  
NE Emergency Management Agency: Sue Krogman, Information Tech. Admin.  
NE Game and Parks Commission: Sudhir Ponnapan, GIS Specialist  
NE Dept. of Agriculture: Tom Jensen, Div. Administrator, Ag. Laboratories and  
Craig Romary, Environmental Programs Specialist  
UNL-Center for Advanced Land Mgmt. Info Tech.: Chad Boshart, Project Mgr.  
Lincoln/Lancaster County: Jim Langtry, GIS Manager, County Engineer's Office  
Omaha/Douglas County: Mike Schonlau, GIS Coordinator  
Sarpy County: Eric Herbert, GIS Coordinator

Although, as previously noted, some federal agencies and other states have implemented geospatial data portals, the work is technically and administratively complex. The Project Team believes that establishing a fully functional **Nebraska Geospatial Data Sharing and Web Services Network** would take two/three years of effort and dedicated full-time staff. The Team proposed that the project be implemented in phases, each phase designed to achieve concrete deliverables and provide specific advances in interagency data exchange capabilities. Each project phase will build on the previous phase(s). For example, sensitive data will not be available through the data exchange network until the later phases of the project, after security and permission protocols have been developed and tested. As part of this learning and building process, it is expected that the design of the project phases will evolve over time.

## Preliminary Project Implementation Plan and Steps

### Year 1

- Identify/hire a Project Manager and technical support staff
- Identify other project staff and obtain commitments of time/resources via MOAs with collaborating agencies (e.g., CIO, NDNR, DOR, UNL)
- Conduct systematic review of existing state and federal prototypes (services, navigation, administration, institutional structure, funding)
- Conduct detailed user needs assessment
- Identify datasets currently available from local, state, regional and federal agencies and establish custodians for each
- Initial development of standards (e.g., data exchange, network, documentation)
- Initial assessment of security needs
- Identify requirements for hardware and software
- Develop initial proposal for overall Project Architecture
- Identify minimum subset of datasets and services to be incorporated into initial phase
- Insure targeted datasets are documented with FGDC-compliant metadata
- Initial specification of administrative model and staffing needs
- Initiate data-sharing agreements process
- Acquire hardware and software needed for Phase I
- Establish network for data exchange
- Initiate agreements with USGS (The National Map) and FGDC
- Build and populate repository storage as necessary
- Build and populate Phase 1 web site for data exchange
- Conduct initial requirements analysis for operations and maintenance
- Hold workshops for users – training and system assessment
- Prepare a report detailing lessons learned, standards adopted, and needs to be addressed during the next project phases

### Year 2

- Conduct performance analysis of Phase 1 accomplishments/prototype portal (user's assessment)
- Enhance automated data access with additional non-sensitive data (e.g., aerial and satellite imagery, dynamic data such as climate and drought data)
- Refine requirements for operations and maintenance and acquisition of initial dedicated technical staff resources
- Finalize processes for moving data between participating agencies
- Finalize standards development
- Finalize security processes and protocols
- Finalize administrative model and needs (long term funding plan)
- Finalize data-sharing agreement protocols
- Finalize requirements for operations and maintenance
- Initiate sharing of web-mapping services and base geospatial information from the open public access/view component of the project with GOS and *The National Map*.
- Hold workshops for users – training and system assessment
- Prepare a report detailing needs to be addressed during the next project phases

### Year 3 (beyond current project proposal timeline)

- Implement recommendations, standards, and protocols
- Implement secure sharing of sensitive data within the network
- Implement and expand public view component (map services)
- Implement procedures for long-term maintenance and enhancement of the Nebraska Geospatial Data Sharing and Web Services Network. (including funding model)

Technical Advisory Committee  
to the  
Nebraska State Records Board

**Reinvested Revenue Grant Application Review**

Applicant: Nebraska Interactive

Project Title: Google Search Engine Funding

Resolution passed by the Technical Advisory Committee on July 10, 2007:

The committee, having reviewed the grant application entitled "Google Search Engine Funding", finds that:

- The project is technically feasible.
- The proposed technology is appropriate for the project.
- The technical elements can be accomplished within the proposed time frame and budget.

(Vote: Brown-Yes, Decker-Yes, and Weir-Yes)

-----  
Technical Advisory Committee Members (Neb. Rev. Stat. §84-1205.01)

- Richard Brown
- Brenda Decker
- Walter Weir

Nebraska State  
Records Board  
440 So. 8<sup>th</sup>, Suite 210  
Lincoln, NE 68508



John Gale  
Chairman  
(402) 471-2745

## APPLICATION FOR STATE RECORDS BOARD GRANT TO IMPROVE ACCESS TO PUBLIC INFORMATION (Reinvested Revenue Grant Application)

**Instructions:** Click using your mouse pointer in the left most area of each text entry field to begin typing your answers. After clicking in your first text entry area you may then use the [Tab] button to advance from field to field or [Shift] + [Tab] to move back to the previous text area.

### I. GRANT SUMMARY

- Submitted by:** Nebraska Interactive LLC (NI)
- Title of project:** Google Search Engine Funding
- Grant request amount:** \$25,000
- Will there be a fee for accessing records associated with this project? (Yes or No)** No

**If yes, please provide any statutory reference or authorization for the fee:**

N/A

**5. Provide a brief description of the project for which the grant is being requested:**

Nebraska.gov participates in a NIC-wide license agreement that utilizes the Google search engine appliance. Providing this highly functional search engine is vital to the success of the Nebraska.gov portal and many state agency Web sites that utilize this program. The Google search appliance brings best of class search capabilities to the state portal and many government Web sites.

In 2005, Nebraska.gov received a reinvested revenue grant that allowed Nebraska.gov to be reimbursed for the state of Nebraska's utilization of the Google appliance.

Nebraska.gov pays a monthly charge that is based on the total number of urls indexed. This allows the state of Nebraska to reap the benefits of having world class search functionality without the expense of total cost of ownership. For 2006 the state of Nebraska indexed an average of 176,000 urls at a monthly rate of \$1010.

The previous \$25,000 grant will satisfy all payments up to March 2007; however, beginning in May 2007 more funds will be necessary to continue to provide this service.

As agencies continue to add more internet content and new agency Web sites are developed for the portal, the number of URL's indexed has the potential to increase from year to year. If the number of URL's increase or the cost for providing the Google appliance service increases, the amount required on a monthly basis to continue providing this valuable resource could increase.

Currently the Google search appliance is an unmatched tool with regard to search functionality. The State and citizens of Nebraska receive an immense benefit from this functionality by

connecting users to the appropriate information on a daily basis. Nebraska.gov would like to continue to support this functionality and add this feature to additional state and local Web sites that have not been implemented. For that reason, Nebraska.gov would like to request a reinvested revenue grant of \$25,000 to secure the Google appliance's availability for the remainder of our current contract with the Nebraska State Records Board (Jan 2009). It is contemplated at the time of this writing that an additional request to continue this funding will be made in the event the master contract between NSRB and Nebraska Interactive LLC is extended for the additional one year period as described in the master contract.

## II. GRANT DETAIL

### 1. Please describe the project in detail:

Business Case:

As part of the Google search engine license agreement, the cost Nebraska.gov incurs is directly related to the number of portals participating in the NIC Google program and the total number of URLs indexed on the Nebraska.gov portal. NIC negotiated an annual rate with Google, which is allocated to participating portals based on the percentage of total URLs indexed that belong to each portal. The annual rate for Nebraska.gov in 2007 is \$1010.00 per month. This amount has been billed on a quarterly basis.

As content is added to the Nebraska.gov portal, more URLs will be indexed which can potentially increase this monthly fee. The Google search engine searches all Web sites within the Nebraska.gov, ne.gov, nol.org and state.ne.us domains as well as other known domains such as neded.org (Department of Economic Development). The appliance is also available to interested agencies and counties at their request at no charge.

Below are some examples of State Agencies who have recently adopted the Google search functionality within their Web sites. It is important to note that these sites have been indexed as part of the mast indexing. The examples below are sites that have added the ability to utilize this index within their own Web site.

#### **Traditional Web site Searches:**

- New Supreme Court Web site
- New Board of Geologist's Web site
- New Secretary of State Web site will use the Google search upon rollout this summer.
- Library Commission
- Department of Agriculture
- Workers' Compensation Court (WCC).

#### **Advanced and Unique Google Appliance Uses:**

- Nebraska Administrative Code Search (SOS application) has been enhanced to utilize the Google appliance to search effective Rules and Regulations. Results returned by Google greatly enhanced the usability of this search and it was called a "vast improvement" by the SOS Rules and Regulations office.
- Nebraska Commission of Industrial Relations – Searching only the rules of the Commission of Industrial Relations
- Nebraska Commission of Industrial Relations – The NCIR reporter is a search of all NCIR decisions since 1974. This search is unique in that it allows access to the search based on a Nebraska.gov subscription. This was done at the request of NCIR.

### 2. Please describe whom the beneficiary or recipient of this service will be and the projected activity for access or use of the proposed service:

The Google search appliance is likely one of the most used resources at Nebraska.gov. Every person who visits the site is a potential beneficiary of the service the appliance provides. Approval of this grant will ensure this essential tool will continue to be available for visitors to both state and local Web sites.

State agencies and local governments will also continue to benefit from this service in that we have been able to think outside the box in how the service can be utilized. The appliance has been used to members only access areas that limit search results to only indexed files within

that secured environment.

In 2006, the Nebraska.gov portal had a total of over 176,000 URL's being indexed. Continuing this service will allow Web sites within Nebraska government to continue leveraging this extreme wealth of information. Users can continue to sort through this wealth of information with a single click of a button.

**3. Please provide a timeline for implementation. NOTE: a specific completion date must be provided as grant funds lapse if not expended prior to completion date.** (provide answer below)

This appliance was fully implemented after the first grant was awarded. This request will help continue fund the Google search engine appliance for the remainder of the master contract between Nebraska Interactive LLC and the NSRB.

**4. What will the NI's contribution to the project be? Provide examples such as labor, equipment etc.** (provide answer below)

Nebraska.gov will continue to support this service on an ongoing basis. Support includes continuing to implement the Google search functionality on state and local Web sites as well as ongoing administration of the administrative interface provided through the appliance.

**5. Does the project require additional statutory authority? (Yes or No)** No

**Please explain:**

**6. Why is the grant money needed for the project, and, if applicable, how will the service be sustained once the grant money is expended?** (provide answer below)

The grant money is requested so that funds will continue to be available to provide and utilize the Google search engine appliance on the Nebraska.gov portal.

The fees paid by Nebraska.gov to utilize the Google search appliance are based on the average number of URL's indexed through the course of a year. The fee of \$1010.00 per month (billed quarterly at \$3030.00) is based on Nebraska.gov having approximately 176,000 pages indexed. It is expected that the growth of this fee will remain minimal for the life of this grant.

The money expended on this grant will continue to cover only the Google allocation cost incurred by Nebraska.gov for utilization within Nebraska state and local government. This has not been and will continue to be no mark up by Nebraska.gov for providing this service.

As stated prior it is contemplated at the time of this writing that an additional request to continue this funding will be made in the event the master contract between NSRB and Nebraska Interactive LLC is extended for the additional one year period as described in the master contract.

**7. Please describe how this project will enhance the delivery of the state agency services or access to those services.** (provide answer below)

The Google search engine appliance is quickly becoming an essential tool browsing the states official Web site as well as other state and local sites that currently utilize its functionality. The ability to perform extensive searching enhances the delivery of state agency services and access to those services on the Nebraska.gov portal. Without this functionality citizens and government entity would not find valuable information as easily.

It is important for the state of Nebraska to continue providing such a valuable service for its citizens because it provides a very tangible way for users to sift through the sea of information available to them in a quick and consistent manner. Citizens and state agencies have instant, real-time and in some cases secure access to all the information and knowledge across Nebraska state and local government.

**10. Please describe how this project will: (NOTE: you may respond to any or all of these criteria)**

**a. Improve the efficiency of agency operations:**

Agencies improve efficiency by having at their fingertips the ability to find crucial information for their constituents. Customer service can be greatly improved by utilizing the information available through the indexing of all Nebraska government web sites and providing answers for

users needing assistance regardless of which agency they have contacted. If utilized correctly by state agency help desks, each customer support technician can solve many problems, in some cases outside their own agencies by simply leading their constituents to the correct information. The Google search appliance is a public service that benefits everyone who chooses to use it.

**b. Facilitate collaboration among state agencies:**

As an example, in late 2006 the IMS help desk began to monitor the Nebraska.gov online live help system between the hours of 5pm and 8am. Their willingness to do this has greatly improved the hours in which customer support is available to any user of Nebraska.gov. While the online live help system has been available for a number of years now, IMS had never interacted in this way. Training was required to effectively use this service and help position the individuals who would be answering questions to provide the appropriate level of assistance. During the training, much of the focus was on locating accurate information by using the Google search appliance. During a time of the day when most government offices are closed, these support personnel have access to almost any government related information that has been published on a Web site.

As the states Network Manager, we receive a number of phone calls each day from users who are transferred from one government office to another trying to obtain information. Much of the transferring can be reduced by instructing state employees who have interactions with constituents to utilize Nebraska.gov and the Google search to efficiently locate information and point people in the right direction the first time they call.

**c. Facilitate collaboration between state agencies and other public institutions:**

N/A

### III. TECHNICAL DETAIL

**1. Describe the hardware, software and communications needed for this project and explain why these choices were made:** (provide answer below)

The Google search appliance was chosen because Google is by far the most used search interface. As of April 2007 3.6 of 7.3 billion US searches were performed using Google. Nebraska Interactive through NICUSA also continues to provide support of this service using resources from both Nebraska Interactive and its parent company NICUSA.

**2. Address any technical issues with the proposed technology including each of the following:**

**a. Conformity with generally accepted industry standards. NOTE: Projects which interface with other state systems (such as distance learning systems) should also address NITC technical standards and guidelines.**

N/A

**b. Compatibility with existing institutional and / or statewide infrastructure:**

Already implemented

**c. Reliability, security and scalability. Include future needs for growth or adaptation:**

The Google appliance has been a reliable resource for Nebraska citizens. The appliance is hosted in the NIC central data center in Ashburn Virginia. The Ashburn facility is a tier 4 AT&T data facility which conforms to very high industry standards with regards to power, internet connectivity, air conditioning, fire suppression etc.

**3. Describe how technical support will be provided:** (provide answer below)

Technical support will continue to be provided through Nebraska Interactive LLC utilizing resources from NICUSA as needed. This ongoing support will continue to be at no cost to the State of Nebraska and any agency or local government entity that chooses to utilize this service within their Web sites.

#### IV. CONTACT INFORMATION, SIGNATURE

Contact person for any questions regarding this application: Brian D. Stevenson (General Manager)

Contact phone number: 402-471-6582

Contact email address: [brian@nebraska.gov](mailto:brian@nebraska.gov)

Singed this 11<sup>th</sup> day of July, 2007



Agency Director Signature

Please Return to:

Nebraska State Records Board  
440 So. 8<sup>th</sup>, Suite 210  
Lincoln, NE 68508

**Addendum Three  
to the  
Interagency Agreement Between  
Nebraska Legislative Council  
and  
Nebraska State Records board**

This Addendum Three to the Interagency Agreement between the Nebraska Legislative Council (NLC) and the Nebraska State Records Board (NSRB) sets forth data records to be accessed and certain services provided by Nebraska Interactive, LLC, operated under the auspices and authority of the NSRB and the prices to be charge for such NOL services.

All revenue received pursuant to this addendum shall be deposited by the Nebraska.gov network manager into the State Records Board Cash Fund pursuant to the provisions of the contract between NSRB and Nebraska Interactive, LLC and any addenda thereto, and distributed back to Nebraska.gov as provided below.

**Data Records to be Accessed:** Enhanced Services for access to Legislative Information (legislative bills, amendments, resolutions, etc.)

**Service**

Electronic Bill Book (up to 15 Bills plus daily e-mail notification)

<u>Price</u>	<u>NLC Share</u>	<u>NI Share</u>
No Charge	N/A	N/A

User Profiles (including e-mail notification as appropriate and report generation)

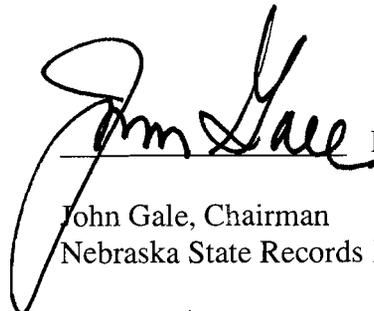
<u>Number of Profiles</u>	<u>Price</u>	<u>R/NLC</u>	<u>R/NI</u>
1 – 3	\$50.00/year	\$25.00	\$25.00
4 – 10	\$100.00/year	\$50.00	\$50.00
11 – 20	\$250.00/year	\$125.00	\$125.00
21 and over	\$500.00/year	\$250.00	\$250.00

Electronic Bill Book and User Profiles will be made available to members of the Legislature, Legislative staff, Legislative Council employees and state agencies at no charge.

It is also agreed that each year the Legislative Council and Nebraska Interactive, LLC will review usage of the system and the revenue split between Nebraska Interactive, LLC and the Nebraska Legislative Council.

 Date: 6-7-07

Senator Patrick Engel, Chariman  
Executive Board of the Legislative Council

 Date: 8/6/07

John Gale, Chairman  
Nebraska State Records Board

**Addendum Seven**  
**to the**  
**Interagency Agreement Between**  
**Nebraska Department of Motor Vehicles**  
**and**  
**Nebraska State Records Board**

*VERSION 1*

This Addendum Seven to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Online Driver License Reinstatements

**Price:** This application will be funded through a transactional revenue model. Pricing is as follows:

DMV Statutory Reinstatement Fee	Nebraska.gov Portal Fee	Total End User Fee Paid.
\$50.00	\$2.25	\$52.25
\$75.00	\$2.90	\$77.90
\$125.00	\$4.25	\$129.25

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the DMV. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

**Addendum Seven  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

*Version 2*

This Addendum Seven to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Online Driver License Reinstatements

**Price:** This addendum covers all Nebraska Department of Motor Vehicles Online Driver License Reinstatements transactions processed electronically with the Nebraska Department of Motor Vehicles through Nebraska.gov. Nebraska.gov shall receive \$1.00 for each and every Online Driver License Reinstatements done electronically through Nebraska.gov.

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the Nebraska Department of Motor Vehicles. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_

**Addendum Eight  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

*Version 1*

This Addendum Eight to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Specialty License Plates

**Price:** This application will be funded through a transactional revenue model. Pricing is as follows:

DMV Statutory Fee	Nebraska.gov Portal Fee	Total End User Fee Paid
Message Plates: \$30.00	\$2.00	\$32.00
Husker Spirit Numeric Plates: \$70.00	\$2.75	\$72.75
Husker Spirit Plates: \$70.00	\$2.75	\$72.75
County Message Plates (Current Graphic): \$30.00	\$2.00	\$32.00
County Message Plates (Husker Spirit Graphic): \$70.00	\$2.75	\$72.75

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the Nebraska Department of Motor Vehicles. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_ Date: \_\_\_\_\_  
 Authorized Officer  
 Nebraska Department of Motor Vehicles

By: \_\_\_\_\_ Date: \_\_\_\_\_  
 Authorized Officer  
 Nebraska State Records Board

VERSION 2

**Addendum Eight  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

This Addendum Eight to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Specialty License Plates

**Price:** This addendum covers all Nebraska Department of Motor Vehicles Specialty Plate transactions processed electronically with the Nebraska Department of Motor Vehicles through Nebraska.gov. Nebraska.gov shall receive \$1.00 for each and every Specialty Plate purchased electronically through Nebraska.gov

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the Nebraska Department of Motor Vehicles. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_



1620 Dodge Street  
Omaha NE 68197  
800.228.2443

July 30, 2007

Shane Osborn, State Treasurer  
Suite 2005, State Capitol  
Lincoln, NE 68509

RE: First National Merchant Solutions' ("First National") conversation with Visa USA ("Visa") regarding NI, Inc's ("NII") Portal Fee Model

Dear Treasurer Osborn:

First National submitted to Visa the documentation received from your office regarding NII's model to charge portal fees on the Nebraska.gov website, and subsequently participated in a conference call with Visa regarding its review of this material. While we would like to give you a definitive answer regarding this matter, unfortunately this is not possible given the current fact model. We will do our best, however, to frame Visa's responses regarding the documentation so long as these responses are reviewed in conjunction with the limitations set forth in the second to last paragraph of this correspondence.

Generally, Visa disagreed with NII's assertion that the fee charged is not a convenience fee and is more a piece of the cost of goods. On the surface, it appears that the proposed "portal fee" is a surcharge that was put in place to recoup the "cost of goods sold" with the largest component of that cost being merchant discount. Visa indicated that if the merchant (and not the merchant servicer) wanted to charge a fee like this, it is possible to charge a proper convenience fee, but it must be a flat fixed fee regardless of the amount of the transaction. A convenience fee may only be charged for the use of a truly convenient payment channel and must be part of the total transaction.

In addition, Visa stated that convenience fees may only be charged by the merchant who provided the goods and services, and not by the merchant's third party servicer. In other words, NII could not retain any portion of the fee. If the State of Nebraska would choose to pay NII for their services separately (i.e. NII invoices the State of Nebraska) that is between the State of Nebraska and its vendor; however, 100% of the money collected on the transaction must flow directly to the State of Nebraska's account.

The commentary summarized herein is based upon indirect information (that is, NII is not a customer of First National, and Visa cannot comment on transactions NII is processing through another bank acquirer), and upon a hypothetical situation (no transaction is currently being disputed by a cardholder of the State of Nebraska). Visa is only able to assess those merchants that are currently violating the Visa regulations. Visa understands that NII is not currently charging portal fees on the Nebraska.gov website; therefore, Visa cannot provide a formal conclusion. Additionally, any discussions that Visa has with First National can only be in reference to a transaction processed by First National. If Visa were to make an assessment that NII is in violation of the Visa regulations, Visa could not share that information with First National or the State of Nebraska as the issue would be confidential between Visa and NII. Given all of these factors, neither First National nor Visa are able to guarantee that NII's practices are compliant (or non-compliant) or that NII's practices will be compliant should the state choose to continue its business relationship with NII and allow "portal fees" to be charged.

We sincerely hope this will assist you in making your business decision. Please let me know if you need additional information regarding your request.

Sincerely,

A handwritten signature in cursive script that reads "Gina Molloy".

Gina Molloy  
National Account Manager  
First National Merchant Solutions

cc: First National Legal and Compliance  
Secretary of State John Gale  
Michelle Raphael, Director of Treasury Management

**August, 2007 NSRB Meeting  
NSRB General Counsel  
Ron Moravec**

## **Nebraska State Records Board**

(1)

2007 State Legislative and Court opinion Review

(a) Resolution Adopted

LR 28

Introduced by Senator Fischer the Resolution provides “That the Legislature opposes enactment or enforcement in this state of the REAL ID Act and that Congress should repeal the Act to avoid the significant problems it currently poses to state sovereignty, individual liberty, and limited government.” There were 2 proponents, no opponents and the Transportation Committee vote was 6-0-2. On May 30<sup>th</sup> the Legislature adopted the Resolution. [see LB 285, and Interim Study Resolution 179]. An editorial in the Omaha World-Herald on June 6<sup>th</sup> was critical of the passage of the Resolution.

(b) Legislation passed

LB 124

Introduced by the Banking, Commerce and Insurance Committee, Senator Pahls, Chair, the Bill, amongst other things, provides that under the Filing System for Farm Product Security Interests, the Secretary of State, in accepting Effective Financing Statements for filing, may use the debtor’s social security number “or other approved unique identifier.” The unique identifier is a combination of numbers and letters to be developed by the Secretary of State and approved by the U.S. Department of Agriculture. On March 19<sup>th</sup> the Governor signed the legislation.

LB 674

Introduced by Senator Lathrop the Bill adopts the Credit Report Protection Act, and provides that an employer “shall not publicly post or publicly display in any manner more than the last four digits of an employee’s social security number” or “require an employee to transmit more than the last four

digits of his or her social security number over the Internet unless the connection is secure or the information is encrypted” or “require an employee to use more than the last four digits of his or her social security number as an employee number for any type of employment-related activity.” The social security number may be used for complying with state or federal laws, rules or regulations, internal administrative purposes, or commercial transactions freely and voluntarily entered into by the employee with the employer for the purchase of goods or services. Employer has a very broad definition. On May 24<sup>th</sup> the Governor signed the legislation.

(c) Failed to become law

LB 7

Introduced by Senator Preister the Bill would add a new category for public body meetings of “new business” [any item not on the agenda which is not of an emergency nature] and would allow a member of the public to speak on the item of new business and allow the public body to discuss the item but not take any vote action at that meeting. Also, the public body could not require a member of the public to be placed on the agenda prior to a meeting in order to speak regarding items on the agenda or any new business. There were 10 proponents, 1opponent, 4 neutral and the Government Committee vote was 7-0-1. On March 12<sup>th</sup> the Bill was Indefinitely Postponed.

LB 285

Introduced by the Transportation and Telecommunications Committee, Senator Fischer, Chair, the Bill would authorize the Department of Motor Vehicles to comply with the Improved Security for Driver’s Licenses and Personal Identification Cards portion of the federal REAL ID Act of 2005. There were 2 proponents, no opponents and the Transportation Committee vote was 6-0-2. Designated as a Speaker’s Priority Bill on March 12<sup>th</sup> it is being held in Committee. [see LR 28, and Interim Study Resolution 179].

LB 391

Introduced by Senator Mines the Bill would change some procedures regarding public records requests and would allow members of the public to speak at public meetings on agenda items as allowed by the person presiding

over the meeting. Also, each public body would be required to have a form available for members of the public to use in suggesting subjects for discussion as possible agenda items at future meetings. There were 3 proponents, 8 opponents and the Government Committee vote was 7-0-1. On March 13<sup>th</sup> the Bill was placed on General File.

#### LB 622

Introduced by Senator Pirsch the Bill would require public employees, members of a public body and public officers to take a training course with instruction on the legal requirements relative to public records requests and open meetings laws. There were 11 proponents, no opponents and the Government Committee vote was 8 to 0. Designated as a Speakers Priority Bill, on March 12<sup>th</sup> it was placed on General File and on April 2<sup>nd</sup> it failed to advance to E&R Initial.

(2)

#### Legislative Interim Study Resolutions

#### LR 78

Introduced by Senator Preister, the Government, Military and Veterans Affairs Committee shall examine issues related to the Open Meetings Act.

#### LR 91

Introduced by Senator Pahls, the Judiciary Committee shall determine if more can be done through legislation to effectively protect citizens from becoming victims of the crime of identity theft.

#### LR 161

Introduced by Senator Erdman, the Government, Military and Veterans Affairs Committee shall examine the manner in which agencies of state government are providing public notice of their intention to adopt, amend, or repeal a rule or regulation under the Administrative Procedures Act.

#### LR 179

Introduced by Senator Fischer, the Transportation and Telecommunications Committee shall consider the different alternatives to the Department of Motor Vehicles implementing the REAL ID Act of 2005, including central issuance and regional sites and the consequences of moving towards such a business model. [see LR 28 and LB 285].

(3)

Court opinions

(a) Supreme Court

*State ex rel. Upper Republican NRD v. District Judges*, 273 Neb. 148, 728 N.W.2d 275 (March 2, 2007).

The Upper Republican NRD (NRD), a natural resources district is a public body as defined by the Open Meetings Act. A group of individuals residing within the NRD boundary sued the NRD alleging “repeated, intentional, and pervasive closed sessions at public meetings at which public policy was debated and discussed” in violation of the Open Meetings Act. The individuals sought to take depositions of various members of the NRD with the NRD objecting, claiming “that discussions held in a closed session are not subject to discovery because they are confidential and protected by the attorney-client privilege.”

The Supreme Court stated the issue to be, whether the conversations in question are protected from discovery under the Open Meetings Act, the attorney-client privilege, or the state secrets privilege. The Court discussed all three defense claims of the NRD.

- (1) In discussing the Open Meetings Act the Court declared that had the legislature intended all discussions during a closed session to be privileged, it would have so stated. The Court found no such language in the Act. The Court again stressed it’s long held principle that the Act is to be “broadly interpreted and liberally construed to reach the objective of openness in favor of the public. Provisions permitting closed sessions and exemptions from openness of a meeting must be narrowly and strictly construed.” The Court stated that irrespective of its determination there is no absolute discovery privilege for information disclosed or discussed during a closed session a trial court, upon application, has the authority to protect sensitive or confidential information divulged in a closed session.
- (2) Under the Attorney-Client privilege the Court held that some disclosures/discussions made during the closed session, upon a proper showing could be protected.

- (3) Under the State Secrets evidentiary privilege, the government has the ability to refuse to give evidence of communications made by or to an official in confidence when the public interest would suffer from the disclosure. Since the NRD did not raise this issue with the trial court, the Supreme Court in utilizing a legal principle did not further discuss the matter.

In the Open Meetings Act, the Court held there to be no absolute privilege against disclosure for communications made during a closed session but if other recognized legal privileges against disclosure apply the information may not be discoverable.

(b) Court of Appeals

*State ex rel. Newman v. Columbus Township Bd.*, 15 Neb. App. 656, \_\_\_ N.W.2d \_\_\_, (June 26, 2007).

Under statutory township organization the Columbus Township held its annual meeting. The published agenda notice for that meeting listed as new business; rates for custom work, insurance policies, an equipment update, equipment storage, and meeting notices. At the meeting, two board members and “electors” of the township voted to; terminate the services of an employee, reduce per diem paid to township board members, change the meeting place of the board, and sell a piece of equipment. At the next regular township board meeting, the board members declared void all actions passed at the previously held annual meeting.

Citizens of the Columbus Township sued the Board and members of the Board, seeking to have the court declare the actions taken at the annual meeting as valid.

The Court of Appeals reasoned that the “electors” (each qualified inhabitant of the town has a right to discuss and vote on every question presented) of a township do constitute a public body when attending the annual meeting of the township, as they have a broad range of control over the functions of the township. Therefore the Nebraska Open Meetings Act applies to the meeting, in this instance the agenda requirements of the Act.

The Court concluded that the publicized agenda failed to advise the general public of the matters to be discussed at the annual meeting.

The Court reiterated the long standing principle that a public body must give reasonable advance publicized notice of the time and place of the meeting, and that notice must contain an agenda of subjects known at that time to be discussed at the meeting. The agenda items must be sufficiently described so as to give the public reasonable notice of the matters to be discussed at the meeting. The public body has the right to modify the agenda to include items of emergency nature. *Emergency*, under the Open Meetings Act, has been defined by the Nebraska Supreme Court as, “any event or occasional combination of circumstances which calls for immediate action or remedy; pressing necessity; exigency; a sudden or unexpected happening; an unforeseen occurrence or condition. *Elkhorn Township Bd.*, 245 Neb. 722, 726, 515 N.W.2d 128 (1994).

(4)

#### Proposed Federal Legislation

##### (a) U.S. Senate

###### S. 238

Introduced by Senator Feinstein [CA] on January 10th, this Bill is the Social Security Number Misuse Prevention Act, finding that the inappropriate display, sale, or purchase of Social Security numbers has contributed to a growing range of illegal activities and is the unintended consequence of the federal government requiring virtually every individual to obtain a SSN. The Bill provides some degree of protection from the display, sale and purchase of that number in any circumstance that may facilitate the unlawful conduct.

###### S. 699

Introduced by Senator Allard [CO] on February 28th, this Bill is the Social Security Number Fraud and Identity Theft Prevention Act, and authorizes the Dept. of Homeland Security, the Secretary of Labor and the Attorney General to require a person to provide the person’s SSN for inclusion in any record of the person maintained by the Secretary or the Attorney General or any application, document or form provided by Immigration laws.

#### S. 1208

Introduced by Senator Dorgan [ND] on April 25th, this Bill is the Social Security Account Number Protection Act, and, (i) prohibits a financial institution from soliciting a SSN from a person, unless for use in identification, verification, accuracy or identity proofing process (ii) for any purpose permitted by the Fair Credit Reporting Act (iii) or to comply with federal, state or local laws. The Bill also, (iv) prohibits a covered entity from displaying a persons SSN on any card or tag commonly provided employees, faculty, staff, or students for purposes of identity, (v) prohibits a state from displaying a persons SSN on their driver's license.

#### (b) U.S. House of Representatives

#### H.R. 220

Introduced by Rep. Paul [TX-14] on January 4th, this Bill is the Identity Theft Prevention Act of 2007, and amends the SSAct and IRC to, (i) prohibit using a SSN except for specified SS and tax purposes, (ii) prohibits the SS Administration from divulging the SSN of a person to any federal, state or local government agency or to any other individual,(iii) amends the Privacy Act of 1974 to prohibit any federal, state or local government agency from requesting a person to disclose their SSN on either a mandatory or a voluntary basis.

#### H.R. 948

Introduced by Rep. Markey [MA-7] on February 8th, this Bill is the Social Security Number Protection Act of 2007, and amends the SSAct to make it unlawful for any person to sell or purchase an SSN in a manner that violates a regulation of the FTC.

#### H.R. 2455

Introduced by Rep. Carter [TX-31] on May 23rd, this Bill is the Social Security Number Non-Proliferation Act of 2007, and (i) prohibits the sale, purchase and display to the general public of a person's SSN without the person's voluntary and written consent, with law enforcement and health exceptions, (ii) makes it unlawful to obtain or use a person's SSN for purposes of (a) locating or identifying the person with the intent to physically injure or harm the person, or (b) use the person's identity for any illegal purpose, (iii) makes it unlawful for a person to give to any agency of

the government the SSN of a person other than their own, without the person's written permission, (iv) and prohibits display of SSN's on cards or tags required for access to goods, services or benefits, and displays on employee identification cards or tags.



# NSRB - CASH FUND BALANCE

## State Records Board - Revenues & Expenditures

FY 06-07

	<u>Apr, 07</u>	<u>May, 07</u>	<u>June, 07</u>			
<b>Revenues:</b>						
Sale of Service	\$471,536.18	\$440,299.04	\$452,745.85			
General Business Fees	\$560.64	\$104.61	\$178.59			
Driver Records	\$1,784.00	\$2,279.00	\$3,284.00			
Investment Income	\$3,491.72	\$3,321.18	\$2,827.83			
<b>Total</b>	<b>\$477,372.54</b>	<b>\$446,003.83</b>	<b>\$459,036.27</b>			
<b>Expenditures:</b>						
State Agency Payment	\$300,889.14	\$280,894.87	\$288,250.10			
NIC/Other Contractual Services	\$162,679.67	\$174,826.82	\$178,805.35			
Misc. Expense	\$543.04	\$452.18	\$368.00			
<b>Total</b>	<b>\$464,111.85</b>	<b>\$456,173.87</b>	<b>\$467,423.45</b>			
<b>Profit (Loss)</b>	\$13,260.69	(\$10,170.04)	(\$8,387.18)			
<b>Fund Balance:</b>	<b>\$900,199.15</b>	<b>\$890,029.11</b>	<b>\$881,641.93</b>			
<b>Grant Encumbrances:</b>			\$119,704.28			
<b>Reinvested Revenue:</b>			\$73,367.00			
<b>Unencumbered Funds:</b>			\$688,570.65			

# State/Local Grant Project Status Report - 6/30/2007

Agency Name	Project Description	Date Grant Awarded	Original Grant Amount	Grant Balance Remaining	Stated Completion Date	Date of Last Payment
Bd. Of Public Accountancy	On-line review of Annual Register & submission of Applications & Forms	7/8/2003	\$25,000	\$20,006	Extended to: August, 2007	July, 2005
DAS Personnel	Online Job Application NIS Connectivity	11/23/2004	\$25,000	\$25,000	Extended to: September, 2007	
UNL - Rural Initiative	Basic e-Government & Access 2-Government	5/10/2005	\$25,000	\$0	Spring, 2007	Completed March 9, 2007
Kearney County	Kearney County Enhanced Web Page	5/10/2005	\$25,000	\$0	June, 2005	Completed May 30, 2007
Board of Barber Examiners	Barber Licensing System Update	9/27/2005	\$25,000	\$8,789	January, 2006	July, 2006
University of Nebraska - Lincoln Libraries	NE Public Documents Digitalization	9/27/2005	\$23,000	\$0	May, 2007	Completed June 27, 2007
Merrick County	Digitizing of Merrick Co Records	1/26/2006	\$25,000	\$0	January, 2007	Completed June 1, 2007
Real Estate Appraiser Board	Redesign of Website & Appraiser Database	4/18/2006	\$25,000	\$10,744	December, 2006	September, 2006
Webster County Assessor's Office	Webster County Tax Sifter Parcel Search	4/18/2006	\$3,000	\$324 (see Note 1)	Extended to: September, 2007	Completed February 21, 2007
Polk County Assesor's Office	Polk County Enhanced Web Page	7/20/2006	\$17,500	\$0	December, 2006	Completed December 21, 2006
Johnson County	Record & Mapping Accessibility	7/20/2006	\$10,000	\$6,900	December, 2007	April, 2007
NE Historical Society	Digital Archives & Records Mgmt Center Feasability	10/12/2006	\$25,000	\$23,724	July, 2007	January, 2007

University of Nebraska Bd. of Regents	e-Government Training	1/16/2007	\$24,541	\$24,541	January, 2008	March, 2007
<b>TOTALS</b>			<b>\$278,041</b>	<b>\$119,704</b>		
<b>\$ AWARDED:</b>		<b>FY07: \$77,041</b>				
<b>\$ LEFT TO GRANT:</b>		<b>FY07: \$122,959</b>				
Note 1 - Per email dated February 21, 2007, Webster County will not be presenting any more bills for the Web Page project. This project is now complete.						
9/27/2017 11:19						

## Reinvested Revenue Grant Status Report as of 6/30/2007

Project Description	Date Awarded	Original Amount	Grant Amount Remaining	Date of Last Activity
Google Search Engine	11/23/2004	\$25,000	\$5,867	January 16, 2007
One-Stop Business Registration	<del>11/23/2004</del> 7/20/2006	<del>\$75,000</del> \$42,500	<del>\$75,000</del> \$42,500	
Online Property Tax Payment System/Multi-County	5/10/2005	\$25,000	\$25,000	
<b>TOTALS</b>		<b>\$92,500</b>	<b>\$73,367</b>	



## Nebraska.gov Project Priority Report for Friday, July 20, 2007

ProjectName	StatusID	PhaseID	Concept		Planning		Development		Testing		Rollout		Launch	Notes
			start	finish	start	finish	start	finish	start	finish	start	finish		
Justice Online Motor Citation Payments <b>Project Funding:</b> Free	Open	Planning	3/26/2007	5/22/2007	5/22/2007	8/1/2007								7/18/2007: Functional specifications are being drafted for the detail on the front end. PM to set up meeting to review next week.
EFS Continuations and Terminations <b>Project Funding:</b> Transaction	Open	Planning	12/17/2006	1/24/2007	1/24/2007	7/18/2007								7/18/2007: Functional Specifications are signed. Pending the completion of UCC amendments to begin development.
LCC Beer Wholesalers tax reporting appli <b>Project Funding:</b> Transaction	Open	Planning	3/5/2007	4/23/2007	4/23/2007	8/15/2007								7/18/2007: Grant drafted and will be presented to the LCC board on July 20. Prototype revisions being made and pending meeting with LCC to review Functional Specs.
NADC- Online Campaign Statement Filin <b>Project Funding:</b> Grant	Open	Planning	1/23/2007	4/24/2007	5/7/2007	8/10/2007								7/18/2007: Met with the agency to review prototypes and FS. Meeting set for next week to go over revisions.
DMV Online Message Plate Ordering <b>Project Funding:</b> Transaction	Open	Planning	3/26/2007	5/18/2007	5/22/2007	8/16/2007								7/18/2007: Made revisions to flow chart based on meeting last week. Functional Spec being drafted. Next meeting set for Thursday.
CIO - Business Registration One Stop -P <b>Project Funding:</b> Reinvested Rev	Open	Planning	1/2/2007	1/14/2007	3/29/2007	7/18/2007	7/23/2007	9/2/2007	9/3/2007	10/14/2007	10/15/2007	10/15/2007		7/18/2007: Functional Specs are completed and turned over to the agency for review and approval. Development to begin on 7/23.
Kimball County Re-design <b>Project Funding:</b> Free	Open	Planning	7/5/2007	7/11/2007	7/11/2007	7/16/2007								7/18/2007 - 3 prototypes were sent to the county for review. Pending their decision to move forward.
Justice: Multi-Court Case Calendar <b>Project Funding:</b> Free	Open	Planning	6/4/2007	7/10/2007	7/11/2007	8/6/2007								7/18/2007: Concept doc is signed. Functional Specs are being drafted. PM to set up next meeting.
NSED - Electrical permit application rewri <b>Project Funding:</b> Transaction	Open	Development	12/5/2006	3/15/2007	1/19/2007	6/15/2007	7/5/2007	9/5/2007						7/18/2007: Change request submitted by agency. Development suspended until new changes are made. Development to resume in about 2 weeks.
DNR- Water Well Pump Install Applicatio <b>Project Funding:</b> Transaction	Open	Development	9/27/2006	10/12/2006	11/1/2006	7/18/2007	7/18/2007	8/15/2007						7/18/2007: Development has begun on this application and should be completed by 8/15/2007.
DMV- Waiver Flag Enhancement <b>Project Funding:</b> Free	Open	Testing	6/26/2007	6/26/2007	6/26/2007	7/5/2007	7/5/2007	7/12/2007	7/12/2007	7/12/2007	7/23/2007	7/23/2007		7/18/2007: Testing complete. Enhancement to be launched on Monday 7/23/2007.
NREC - License application brokers/sale <b>Project Funding:</b> Transaction	Open	Testing	8/18/2006	8/18/2006	8/18/2006	10/11/2006	10/11/2006	11/28/2006	11/29/2006					7/18/2007: Change request completed to 1 app and turned over to agency for approval before changing the additional 3 apps.

ProjectName	StatusID	PhaseID	Concept		Planning		Development		Testing		Rollout		Launch	Notes
			start	finish	start	finish	start	finish	start	finish	start	finish		
SOS - Website Redesign Project Funding: Free	Open	Testing	8/1/2006	12/6/2006	12/12/2006	4/25/2007	4/26/2007	7/18/2007	7/18/2007	7/19/2007	8/6/2007	8/6/2007		7/18/2007: Content migration complete and tuned over to the SOS office for Review. Estimated launch date is between July 30 and August 8.
SOS - UCC Amendments application Project Funding: Transaction	Open	Testing	6/19/2006	10/20/2006	10/20/2006	2/16/2007	2/16/2007	7/9/2007	7/9/2007	9/12/2007				7/18/2007: 1,500 test have been completed and are now being verified. Internal verification to be completed by the end of July.
Justice - Judge Scheduling Project Funding: Free	Open	Testing	10/1/2006	10/13/2006	10/17/2006	3/14/2006	3/20/2007	7/17/2007	7/18/2007					7/18/2007: Development is completed and Internal testing has begun.
Justice- Court Documents Image Search Project Funding: Transaction	Open	Testing	4/13/2007	5/18/2007	5/22/2007	7/6/2007	7/9/2007	7/17/2007	7/18/2007					7/18/2007: FS were signed. Development started and completed. Now in testing phase and turned over to the agency for testing.
DMV - Drivers License Reinstatements Project Funding: Transaction	Open	Roll Out			2/6/2006	7/28/2006	7/31/2006	9/30/2006	10/1/2006	1/19/2007				Development completed. Application has been delivered to agency for testing. Awaiting final approval of Contract Addendum.
REV - Sales Tax Upgrade Project Funding: Free	Suspended	Concept	1/23/2006											11/21/06 This project has been put on hold until March 2007.
NCCA - Neb. Comm Coll. Assoc. Websit Project Funding: Free	Suspended	Concept	9/26/2006	10/5/2006	10/5/2006									01/04/07 Project is suspended pending notification from the agency.
Sheridan County Website Project Funding: Free	Suspended	Concept	5/1/2007											This project has been suspended due to non-response from Sheridan County.
Lincoln County Website Re-Design Project Funding: Free	Suspended	Concept	9/23/2006											Lincoln County Website Re-Design has been suspended.
BPA - Integrated Data System (JoKel) Project Funding: Grant	Suspended	Concept	4/19/2007											The JoKel project has been suspended due a change in project. BPA will now be working with IMS to upgrade their backend system.
NCDHH- Website Re-Design Project Funding: Free	Suspended	Planning	9/5/2006	10/2/2006	10/2/2006	11/20/2006								This web-redesign is in the planning phase. The design has been put on hold until we hear from agency in January 2007.
DNR- Decommission Application Project Funding: Transaction	Suspended	Planning	9/27/2006	10/12/2006	11/1/2006									This project is the planning stage. The Functional Specs have been put on hold until the Pump Install Application goes into development.
NPSC- Broadband Service provider Data Project Funding: Free	Suspended	Planning	9/26/2006											This project has been suspended until we hear from the agency.
Foster Care Review Board website redesi Project Funding: Free	Suspended	Planning	8/29/2006	8/29/2006	8/29/2006									Project is suspended pending notification from agency to reopen.
County Project - Hamilton County Web si Project Funding: Free	Suspended	Development					11/7/2005	12/16/2005						Design Prototype sent, waiting on partner approval. Web-redesign has been suspended.
Board of Landscape Architect Website R Project Funding: Free	Suspended	Development												5/8/2007: PM sent 2 template designs to show the board yesterday. Waiting for feedback.

ProjectName	StatusID	PhaseID	Concept		Planning		Development		Testing		Rollout		Launch	Notes
			start	finish	start	finish	start	finish	start	finish	start	finish		
Engineer & Architect license renewal enh Project Funding: Free	Suspended	Development	4/18/2007	4/25/2007	5/1/2007	5/15/2007	5/15/2007							Fielding request from the Board of Engineers and Architects to make changes to their current online license renewal application.
Greeley County - New website Project Funding: Free	Suspended	Testing	3/1/2007	3/1/2007	3/2/2007	3/5/2007	3/6/2007	3/28/2007	3/28/2007					5/8/2007: Greeley County has suspended because they are too busy to continue.
DAS - Personnel - State Employee applic Project Funding: Grant	Suspended	Maintenance					11/14/2005							Project has been suspended. DAS has decided to go a different direction.
County Project - Thayer County Web site Project Funding: Free	Suspended	Maintenance					3/27/2006	5/15/2006						Awaiting response from partner regarding design prototpye, design has been suspended.
Courts- Supreme/Appeal Court Calendar Project Funding: Free	Suspended	Maintenance	4/12/2007	4/12/2007	4/16/2007	4/16/2007	4/12/2007	4/17/2007	4/25/2007	4/25/2007	4/30/2007	4/30/2007		Calendar was built but agency decided they did not want it. Project completed and closed.
REV - Update forms 1040N and 1040NS Project Funding: Transaction	Complete	Maintenance											1/1/2007	Project is complete, new forms are online for tax year 2006.
Kearney County Website redesign Project Funding: Free	Complete	Maintenance	8/7/2006	8/15/2006	8/15/2006	11/7/2006	11/7/2006	11/21/2006	11/7/2006	11/21/2006	11/21/2006	11/22/2006	11/22/2006	Project is complete. Rolled out 11/22/06.
AC - Add mage files to Artists Directory Project Funding: Free	Complete	Maintenance	8/24/2006	8/24/2006	9/13/2006	9/27/2006	9/28/2006	10/5/2006	10/5/2006	10/13/2006	10/16/2006	10/16/2006	10/16/2006	Project is complete. Rolled out 10/16/06.
DOI - Create link to secure Employee On Project Funding: Free	Complete	Maintenance	10/5/2006	10/10/2006	10/10/2006	10/18/2006	10/20/2006	11/3/2006	11/3/2006	11/3/2006	11/3/2006	11/3/2006	11/3/2006	Project completed. Rolled out 11/3/06.
CIO - Wireless network credit card acces Project Funding: Free	Complete	Maintenance	10/30/2006	11/9/2006	11/3/2006	11/14/2006	11/13/2006	11/30/2006	11/20/2006	11/30/2006	11/30/2006	11/30/2006	12/1/2006	This application is in production. Rolled out 11/30/06.
AC - Redeploy Legislative Lunch Reserv Project Funding: Transaction	Complete	Maintenance	11/16/2006	12/1/2006	12/1/2006	12/4/2006	12/5/2006	12/6/2006	12/6/2006	12/11/2006	12/12/2006	12/12/2006	12/11/2006	This application is now in production. Rolled out 12/12/06. Taken offline for the year 01/29/07.
Fireworks Display/License app change re Project Funding: Free	Complete	Maintenance	8/30/2006	8/30/2006	8/30/2006	9/25/2006	11/8/2006	12/19/2006	12/19/2006	1/23/2007	1/23/2007	1/23/2007	1/23/2007	Updates were completed and launched on January 23, 2007.
SOS -Rules and Regs Tracking system Project Funding: Free	Complete	Maintenance	7/3/2006	8/31/2006	9/1/2006	10/18/2006	10/18/2006	11/15/2006	11/3/2006	1/19/2007	1/19/2007	1/24/2007	1/24/2007	Rolled out 01/24/2007. Survey is attached.
Put AgriTourism Workshop app back onli Project Funding: Free	Complete	Maintenance	11/28/2006	11/29/2006	11/29/2006	12/8/2006	12/8/2006	12/13/2006	12/11/2006	12/13/2006	12/13/2006	12/13/2006	12/18/2006	This application is in production, rolled out 12/13/06. Taken offline for the year 01/31/07.
HHS Lookup Site enhancements and dat Project Funding: Transaction	Complete	Maintenance	3/1/2006	6/1/2006	6/1/2006	8/30/2006	6/1/2006	9/1/2006	9/1/2006	4/18/2007	4/18/2007	4/18/2007	4/18/2007	Upgrade to include facilities information is still in progress. Most of the work on the web interface portion is complete, waiting on partner to test.
NE&A -Architects and Engineers Online Project Funding: Free	Complete	Maintenance	8/30/2006	9/12/2006	9/12/2006	1/11/2007	1/11/2007	2/27/2007	1/22/2007	2/27/2007	2/27/2007	2/27/2007	2/27/2007	Application went in to production on 1/27/2007. User survey is attached.

ProjectName	StatusID	PhaseID	Concept		Planning		Development		Testing		Rollout		Launch	Notes
			start	finish	start	finish	start	finish	start	finish	start	finish		
NCIR - Search Engine Upgrades <b>Project Funding:</b> Free	Complete	Maintenance	1/30/2006	2/1/2006	2/1/2006	5/5/5006	7/5/2006	8/1/2006	8/1/2006	8/15/2006	8/15/2006	8/23/2006	9/15/2006	Utilizing the Google appliance to provide secure search. Currently creating templates for results of search.
DB&F - Host State Quarter Web Site <b>Project Funding:</b> Free	Complete	Maintenance	11/8/2006	11/25/2006	11/27/2006	12/5/2006	12/5/2006	1/4/2007	1/5/2007	1/5/2007	1/31/2007	1/31/2007	1/12/2007	Existing web site previously sponsored/hosted elsewhere, transferred to CDC at request of NDBF.
DOI - Company and Producer online sear <b>Project Funding:</b> Free	Complete	Maintenance	11/29/2005	3/6/2005	12/5/2006	4/21/2006	7/12/2006	10/24/2006	10/1/2006	10/24/2006	10/25/2006	10/25/2006	10/25/2006	Application went in to production 10/25/06
Board of Geologist Website Re-design <b>Project Funding:</b> Free	Complete	Maintenance									6/12/2007	6/12/2007		The re-design of was launched on 6/12/2007
Department of Veteran Affairs- PTSD We <b>Project Funding:</b> Free	Complete	Maintenance	3/19/2007	3/19/2007	3/20/2007	3/20/2007	3/21/2007	4/20/2007	4/20/2007				5/7/2007	Concept meeting with the agency is scheduled for March 29.
941N updates <b>Project Funding:</b> Transaction	Complete	Maintenance	3/6/2007	3/6/2007	3/6/2007	3/6/2007	3/6/2007	3/12/2007	3/12/2007	4/4/2007	4/4/2007	4/4/2007	4/4/2007	Rolled Out
Add Google Search function to WCC site <b>Project Funding:</b> Free	Complete	Maintenance											1/26/2007	The Google search implementation was complete on 1/26/07.
NE&A - License Renewal Upgrade and R <b>Project Funding:</b> Transaction	Complete	Maintenance							9/25/2006	9/25/2006	10/3/2006	10/13/2006	10/16/2006	NE&A - License Renewal Upgrade and Re-deployment
NCBVI- Website Re-Design <b>Project Funding:</b> Free	Complete	Maintenance	10/10/2006	10/16/2006	10/16/2006	10/31/2006	11/1/2006	11/8/2006	11/13/2006	12/5/2006		3/12/2007	3/12/2007	Website Launched 3/12/200
DMV- Update Questionnaire <b>Project Funding:</b> Free	Complete	Maintenance	8/22/2006									12/11/2006	12/11/2006	12/11/06: Update Questionnaire is now LIVE
HHSS DNR - Water Well Drilling Templat <b>Project Funding:</b> Free	Complete	Maintenance	8/15/2006	9/5/2006	9/5/2006	9/7/2006	9/7/2006	9/11/2006	9/11/2006	9/26/2006	9/29/2006	9/29/2006	9/29/2006	Waterwell Templates are complete.
Justice - Web site Redesign <b>Project Funding:</b> Free	Complete	Maintenance	2/16/2006	5/1/2006	5/2/2006	7/14/2006	7/17/2006	9/1/2006	12/29/2007	1/12/2007	12/29/2007	1/31/2007	1/31/2007	Nearing completion. Web site is scheduled to go into production 2nd or 3rd week in Jan. NI has participated in the site migration logging over 220 hours.
SOS - Student elections reporting <b>Project Funding:</b> Free	Complete	Maintenance									10/24/2006	10/27/2006	10/27/2006	Project completed 10/27/06.
BPA - License Renewals <b>Project Funding:</b> Transaction	Complete	Maintenance			7/1/2006	8/15/2006	10/27/2006	4/15/2007	3/19/2007	4/29/2007	4/30/2007	4/30/2007	4/30/2007	Application development completed. Agency is currently testing and our launch date is scheduled for May 1, 2007.
LCC - Online License Renewals <b>Project Funding:</b> Transaction	Complete	Maintenance	8/22/2006	8/23/2006	8/23/2006	11/15/2006	11/15/2006	12/14/2006	12/15/2006	1/4/2007	1/29/2007	1/29/2007	1/29/2007	Launched 1/29/2007
BPA - Continuing Education <b>Project Funding:</b> Grant	Complete	Maintenance					7/1/2006	8/15/2006	9/1/2006	11/30/2006	12/4/2006	12/4/2006	12/4/2006	Application is in production. Rolled out 12/06/06, feedback from users has been very positive, and adoption rate is approaching 35%. Logged 226 Hours on Application.

ProjectName	StatusID	PhaseID	Concept		Planning		Development		Testing		Rollout		Launch	Notes
			start	finish	start	finish	start	finish	start	finish	start	finish		
Justice - Credit Card (CC) Search <b>Project Funding:</b> Transaction	Complete	Maintenance	10/5/2006	10/24/2006	10/25/2006							2/27/2007	2/27/2007	Launched 2/27/2007
County Project - York County Re-Design <b>Project Funding:</b> Free	Complete	Maintenance	10/19/2006										11/1/2006	Web-redesign is Live. 11/1/2006
County Project - Furnas County Website <b>Project Funding:</b> Free	Complete	Maintenance	12/4/2006	2/13/2006	2/13/2007	3/13/2007	3/14/2007	3/29/2007	3/30/2007	4/30/2007	5/7/2007	5/7/2007	5/7/2007	The design is completed for Furnas county. We are in the process of adding their content and the website should be launched in April.
NSED - Electrician License Renewal Red <b>Project Funding:</b> Transaction	Complete	Maintenance	9/11/2006	9/25/2006	9/25/2006	9/26/2006	9/26/2006	10/4/2006	10/4/2006	10/10/2006	10/11/2006	10/11/2006	10/12/2006	Renewal application was redeployed on 10/11/06.
NVSC online survey <b>Project Funding:</b> Free	Complete	Maintenance	8/23/2006	9/1/2006	8/25/2006	9/6/2006	9/7/2006	9/8/2006	9/7/2006	9/18/2006	9/18/2006	9/18/2006	9/15/2006	Project is complete. Rolled out 9/18/06.
NWC - Online Women's Employment Sur <b>Project Funding:</b> Free	Complete	Maintenance	5/1/2006	5/25/2006	5/25/2006	6/15/2006	6/16/2006	7/17/2006	7/18/2006	7/31/2006			10/26/2006	The survey time period is now complete. Final survey results page will be sent to Pat Funk on 3/20/2007



## General Manager's Report

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April 2007 – June 2007

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Brian Stevenson, General Manager  
*nebraska.gov*  
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## Financial Reports

### June 2007 Financial Report

	Month Ended 6/30/2007	Month Ended 6/30/2006	Year to Date 6/30/2007	Year to Date 6/30/2006
Gross Revenue	\$412,822.00	\$419,206.00	\$2,623,982.00	\$2,133,534.00
COR Paid to NSRB	\$11,566.00	\$12,570.00	\$75,038.00	\$60,813.00
COR Paid to Agencies	\$232,444.00	\$237,116.00	\$1,504,944.00	\$1,210,230.00
COR Other	\$735.00	\$735.00	\$4,418.00	\$4,522.00
Total Cost of Revenue	\$244,745.00	\$250,421.00	\$1,585,400.00	\$1,275,564.00
Gross Profit	\$168,077.00	\$168,785.00	\$1,038,582.00	\$857,970.00
Operating Expenses	\$136,525.00	\$117,214.00	\$802,668.00	\$566,346.00
Operating Income (Loss)	\$31,552.00	\$51,571.00	\$235,914.00	\$291,624.00
Other Income (Expense)	\$3,328.00	\$1,740.00	\$17,346.00	\$7,600.00
Net Pre-Tax Income (Loss)	\$34,880.00	\$53,311.00	\$253,260.00	\$299,224.00
Provision for Income Tax	(\$65,165.00)	\$21,362.00	\$102,422.00	\$119,906.00
Net After-Tax Income (Loss)	\$100,045.00	\$31,949.00	\$150,838.00	\$179,318.00
Variance from Plan	\$80,180.00	\$8,268.00	\$62,586.00	\$99,308.00

### June 2007 Revenue Contribution Report

Revenue Contribution	6/30/2006	6/30/2007
Dept. of Motor Vehicles (Batch Drivers Records)	27.27%	23.64%
Dept. of Motor Vehicles (Interactive & Other Services)	44.78%	45.94%
Secretary of State Services (Interactive/Batch)	13.55%	12.85%
Other (Subscriptions, Connect Time, Special Projects, etc.)	14.40%	17.57%



## June 2007 Balance Sheet

<b>Balance Sheet</b>	<b>6/30/2007</b>			
	<b>Current Assets</b>		\$1,532,639.00	
	<b>Fixed Assets</b>		\$8,956.00	
	<b>Other Assets</b>		\$18,229.00	\$1,559,824.00
	<b>Current Liabilities</b>		\$170,060.00	
	<b>Stockholder's Equity</b>		\$1,389,766.00	\$1,559,826.00



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## Agreement Requests

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### Nebraska Legislative Council

**Project:** Electronic Bill Book

Nebraska.gov respectfully submits and request an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Legislative Council and is being requested to support online Electronic Bill Book Profiles (BillTracker). This addendum covers all Nebraska Legislative Council's Bill Book transactions processed electronically. Nebraska.gov will share the revenue from all Electronic Bill Books with the Legislative Council. For 1-3 Profiles, the total charge will be \$50.00 per year for the end user. The Nebraska Legislative Council's share will be \$25.00 and Nebraska.gov's share will be \$20.00. For 4-10 Profiles, the total charge will be \$100.00 per year for the end user. The Nebraska Legislative Council's share will be \$50.00 and Nebraska.gov's share will be \$50.00. For 11-20 Profiles, the total charge will be \$250.00 per year for the end user. The Nebraska Legislative Council's share will be \$125.00 and Nebraska.gov's share will be \$125.00. And lastly, 21 and over Profiles, the total charge will be \$500.00 per year for the end user. The Nebraska Legislative Council's share will be \$250.00 and Nebraska.gov's share will be \$250.00.

Electronic Bill Book and User Profiles will be made available for the members of the Legislature, Legislative Staff, Legislative Council employees and state agencies at no charge.

*(Contract is attached to the end of this document for review)*

### Nebraska Department of Motor Vehicles

**\*\*Please note:** Below are 2 versions of this Addendum request. Depending on the outcome of the Visa determination, the Department of Motor Vehicles will move forward with one.

**Project:** Online Driver License Reinstatements

**Addendum 7 Version 1**

Nebraska.gov respectfully submits and request an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Department of Motor Vehicles and is being requested to support online Driver License Reinstatements. This addendum covers all Nebraska Driver License Reinstatement transactions processed electronically. Nebraska.gov will share the revenue from all Driver License Reinstatements with the Department of Motor Vehicles. Each Driver License Reinstatement will be funded through a transactional revenue model shown below:



Pricing is as follows:

DMV Statutory Reinstatement Fee	Nebraska.gov Portal Fee	Total End User Fee Paid
\$50.00	\$2.25	\$52.25
\$75.00	\$2.90	\$77.90
\$125.00	\$4.25	\$129.25

*(Contract is attached to the end of this document for review)*

**Addendum 7 Version 2**

Nebraska.gov respectfully submits and request an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Department of Motor Vehicles and is being requested to support online Driver License Reinstatements. This addendum covers all Nebraska Driver License Reinstatement transactions processed electronically. Nebraska.gov will receive \$1.00 from all Driver License Reinstatements transacted online.

**Nebraska Department of Motor Vehicles**

**\*\*Please note:** Again, below are 2 versions of this Addendum request. Depending on the outcome of the Visa determination, the Department of Motor Vehicles will move forward with one.

**Project:** Online Specialty License Plates

**Addendum 8 Version 1**

Nebraska.gov respectfully submits and request an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Department of Motor Vehicles and is being requested to support online Online Specialty License Plates. This addendum covers all Nebraska Specialty License Plates transactions processed electronically. Nebraska.gov will share the revenue from all Online Specialty License Plates with the Department of Motor Vehicles. Each Online Specialty License Plates will be funded through a transactional revenue model shown below:

Pricing is as follows:

DMV Statutory Fee	Nebraska.gov Portal Fee	Total End User Fee Paid
Message Plates: \$30.00	\$2.00	\$32.00
Husker Spirit Numeric Plates: \$70.00	\$2.75	\$72.75
Husker Spirit Plates: \$70.00	\$2.75	\$72.75
County Message Plates (Current Graphic) : \$30.00	\$2.00	\$32.00
County Message Plates (Husker Spirit Graphic): \$70.00	\$2.75	\$72.75

*(Contract is attached to the end of this document for review)*

**Addendum 8 Version 2**

Nebraska.gov respectfully submits and request an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Department of Motor Vehicles and is being requested to support Online Specialty License Plates. This addendum covers all Nebraska Specialty License Plates transactions processed electronically. Nebraska.gov will receive \$1.00 from all Driver License Reinstatements transacted online.

**Nebraska Liquor Control Commission**

\*\* Addendum already approved by Chairman John Gale

**Project:** Hosting and Maintenance of the NLCC Online Beer Forms & Online Wine/Spirit Forms

Nebraska.gov respectfully submits this report of an addendum to the Interagency Agreement by the State Records Board. This agreement is for the Nebraska Liquor Control Commission and is being requested to support the hosting and maintenance of Online Beer Forms and Online Wine/Spirit Forms once fully implemented. Nebraska.gov will receive \$5,000.00 annually to help host and maintain the above mentioned application.

*(Contract is attached to the end of this document for review)*

**Nebraska Accountability and Disclosure Commission**

\*\* Addendum already approved by Chairman John Gale

**Project:** Hosting and maintenance of the NADC reporting database, NADC Form B-7 and NADC Form B-4 on servers located at NIC central data center in Alexandria, Virginia.



Nebraska.gov respectfully submits this report of an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Accountability and Disclosure Commission and is being requested to support the hosting and maintenance of the NADC reporting database, NADC Form B-7 and NADC Form B-4 on servers located at NIC central data center in Alexandria, Virginia. NIC is the parent company of Nebraska Interactive LLC (dba Nebraska.gov). Nebraska Interactive is the process of moving all Nebraska.gov web site applications to the new data center. Nebraska.gov will receive \$1,000.00 annually to help host and maintain the above mentioned database and application. This addendum does have an expiration date at which time it will be renegotiated. This expiration date is in concert with the Network Manager's master contract with the Nebraska State Records Board.

*(Contract is attached to the end of this document for review)*

## **Nebraska Department of Natural Resources**

\*\* Addendum already approved by Secretary Gale

**Project:** Department of Natural Resources Water Well Registrations, Pump Installations and Pump Decommissions

Nebraska.gov respectfully submits this report of an addendum to the Interagency Agreement be approved by the State Records Board. This agreement is for the Nebraska Department of Natural Resources and is being requested to support all Nebraska Department of Natural Resources Water Well Registrations, Pump Installations and Pump Decommissions processed electronically through Nebraska.gov. Nebraska.gov will invoice the Nebraska Department of Natural Resources for a total of 7% of the gross total dollars for each Water Well Registration, Pump Installations and Pump Decommissions processed electronically through Nebraska.gov.



## Problem Report

### NETWORK

Agency/ Customer	Problem or Issue	Date occurred	No. of Instances	Situation & Severity (Low, Moderate, High)*	Steps Taken	Date Addressed	Status	Contact
Nebraska.gov	Faulty Processor on SAN (network storage device)	May 23	1	HIGH – On May 23, 2007 Nebraska.gov experienced intermittent problems with the Web site. This was caused by a processor on the SAN (network storage device) that was beginning to fail. The processor was experiencing issues but not enough to cause a fail over to the redundant hardware. An emergency maintenance window was declared in efforts to force a fail over. During this emergency maintenance window the CDC experience additional problems and made the decision to bring everything back up without failing over. This decision was made because the systems were able to function but not at an optimal level.	An emergency maintenance window was declared May 23 <sup>rd</sup> at X:XXpm to force fail over. System was down for XXX time and was brought back up at a non-optimal level for the remaining business day.	May 23	Closed	Bruce Rice
Nebraska.gov	Replacement of Faulty SAN Processor.	May 23	1	Scheduled maintenance window to replace faulty SAN processor.	An off peak maintenance window as scheduled for 4 hours to resolve the issue. Maintenance took 35 minutes as was successful.	May 23	Closed	Bruce Rice

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## Hot Topics

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### Launched Applications

#### ***Board of Public Accountancy – Online License Renewals***

The Board of Public Accountancy new License Renewal applications offer a secure environment for Accountancy Firms, Sole Proprietors, Individual Active Biennial and Individual Inactive Biennial accountants to renew their licenses online. The Online License Renewal application was launched in April, 2007.

The web address for this application is: <http://www.nbpa.ne.gov/>

### Updated Applications

#### ***Department of Revenue – Updates on the 941N***

Yearly updates provide users with the current year tax forms to file online. The tax forms were re-launched in June, 2007. The web address for the updated forms is:

<https://www.nebraska.gov/revenue/941n/taxapp.php>

#### ***Nebraska Health and Human Services – HHS Lookup Site enhancements and database changes***

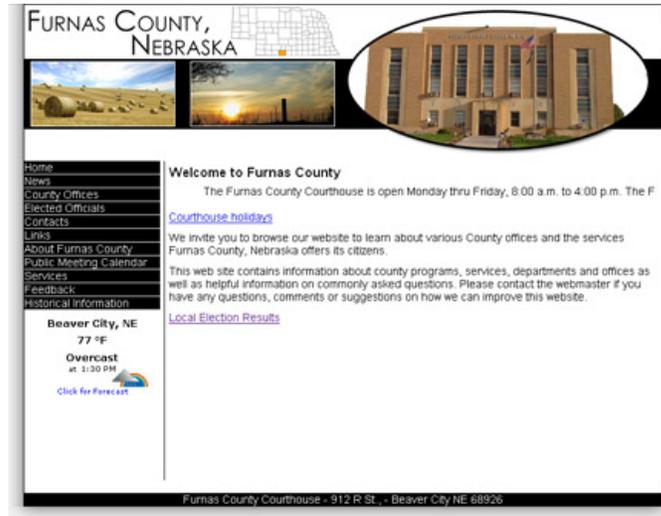
Changes were made to current License Information System search/Mailing List ordering application to accommodate new data that is now available for users to search on Facilities. The web address for the updated application is:

<http://www.hhs.state.ne.us/lis/lisindex.htm>

## Launched Web sites

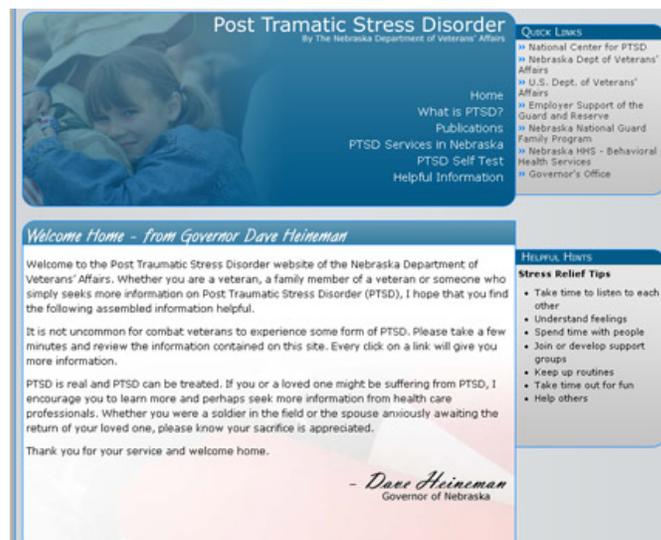
### Furnas County

<http://www.furnascounty.ne.gov/>



### Post Traumatic Stress Disorder (From Department of Veteran's Affairs Website)

<http://www.ptsd.ne.gov/>



## Board Of Geologist Re-design

<http://www.geology.state.ne.us/>

Official Nebraska Government Website



Search

- Home
- Application Info & Forms
- Licensed Geologists
- Renewal Procedures
- Geologist Regulation Act
- Rules & Regulations
- Newsletters
- ASBOG
- Reciprocity Defined
- Fee Schedule
- Examination Info
- Board Members
- Board Schedule & Minutes
- Contact Us

### Establishment of the Geologists Regulation Act

On April 1, 1999, the Nebraska Unicameral passed LB 1161 establishing the Geologists Regulation Act. This Act (Statutes 81-3501 to 81-3541) provides for the certification and licensure of professional geologists in Nebraska whose activities may affect public health, safety and welfare. The Nebraska Board of Geologists was created to implement the Geologists Regulation Act.

### New Rules and Regulations Approved

On April 8, 2007, the Attorney General's Office approved Title 171 Nebraska Administrative Code, Rules and Regulations for the Nebraska Board of Geologists. The Rules have been submitted to the Governor through the Governor's Policy Research Office (GPRO) for final review. After the Governor has approved and signed the regulations, they will be forwarded to the Secretary of State and will become effective five days after they are filed with the Secretary of State.

To view a draft of the new Rules and Regulations, [click here](#).

Note: Many of the forms and documents on our site require the use of Adobe Acrobat Reader for viewing and printing. If you do not already have it on your system, Adobe Acrobat Reader can be downloaded free of charge. Click on the icon below to access the Adobe website.  
[Get Adobe Acrobat](#)

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## Operational Issues and Highlights

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### ***Visa Operating Regulations – Charging Fees through the Portal.***

Nebraska.gov (NI) is waiting to hear the final determination from the Treasury's Department as to if VISA has made a decision on the issue of convenience vs. portal fee.

### ***Business One Stop Progress – Updated dates and grant resource comparison***

As promised during the April Board meeting Nebraska.gov respectfully submits the following progress update on the Nebraska Business Registration One-stop project.

#### **Current Phase: Planning**

#### **Expected Phase Transition:**

##### ***Development: August 1<sup>st</sup>, 2007***

The development is expected to begin no later than August 1<sup>st</sup>, 2007. The functional specifications document has been reviewed with each of the Phase I agencies and has been approved. Development is projected to be 160 hours (see chart below). During this phase non-development resources will be focused on the addition of the appropriate help and definitions for the application.

##### ***Testing: September 3<sup>rd</sup>, 2007***

We will be allowing 4 consecutive weeks for the testing of this application prior to launch. This time frame has been set due to the number of participants and agencies that will be involved in the execution of the testing plan. We will also allow an additional two weeks for any unforeseen requirements or additions to the application prior to rollout. This will allow some room for adjustments as it is anticipated that a collaborative effort between the phase 1 agencies and others entities will be implemented for both testing and rollout (press conference etc.)

##### ***Rollout: Estimated October 15<sup>th</sup>, 2007***

This date may be moved up if ground during the development phase and testing phase is gained quicker than anticipated but should not move back.

#### **Summary:**

Currently we have logged 161 resource hours to this project. With an estimated 160 hours of development hours estimated we are currently are estimating on track to be below or hit the targeted 425 total hours.

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## Technical Update

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For further details or additional information, please contact:

Bruce Rice, Director of Development  
Nebraska.gov  
402.471.7813  
[bruce@nicusa.com](mailto:bruce@nicusa.com)

- The development of the Courts Judge Scheduling Calendar for Attorneys has almost reach completion. It has reached the internal testing phase. We should have it turned over to the partner for their testing soon.
- The Justice Electronic document access for the online Justice Search has been completed and turned over to the partner for testing.
- An internal sensitive information audit has been completed. The audit did not turn over very many issues. We do have a couple applications that were a concern and we have been working with the various agencies to remove or encrypt the sensitive information.
- The Secretary of State UCC amendments application was moved from the development phase to the testing phase. We have run 1400 unique tests through the application. As the results are being reviewed we are addressing any issues that they have uncovered. We are nearing the end of this process and will have the application ready for agency testing soon.
- On May 23, 2007 we experienced problems with our services at our Central Data Center (CDC). An emergency maintenance window was declared. The problem was caused by a faulty processing on the file storage server. The administrators of the CDC decided the best plan of action was to fail over to the backup hardware. There were complications during this process and caused the downtime to get extended. The following evening on May 24<sup>th</sup>, an additional maintenance occurred to finish correcting the problem on the original hardware. This was done late in the evening at 11pm to minimize impact of our users. The window for this one was for 4 hours. The maintenance was completed successfully and the issue was resolved.
- We have been working with the state of Nebraska for the planned email migration change. We have provided them a list of email address that we would like to have forwarded to our servers. We have also started the process of transitioning emails away from nebraska.gov so we can free it up for the state to take control of.

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## Marketing Highlights

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For further details or additional information, please contact:

Carmen Easley, Director of Marketing  
Nebraska.gov  
402.471.2154  
[carmen@nicusa.com](mailto:carmen@nicusa.com)

### April

- Finalized the template for SOS Website redesign.
- Finished development of user interface for UCC Amendments application.
- Worked on multiple design creation for new Greeley County website.
- Meetings with NREC to finalize all text in Real Estate Broker and Salesperson applications. Implemented final enhancements to applications requested by agency.
- Re-launched updated fireworks display application and retail license application for State Fire Marshal.
- Testing on new Engineers and Architects on-line individual licensee and corporation search.
- Rolled out enhancement to LIS look-up for HHSS. Information on health facilities now available online.
- Finished functional specifications for rewrite of on-line electrical permit application for State Electrical Division.
- Individual meetings with all agencies involved in the Business One Stop project to document each agency's business process.
- Completed flow-charting for Business One Stop registration application. Presented and reviewed with work group.
- Met with Veteran's Affairs to go over new Website Design.
- Met with DMV to go over the concept for a new service, online specialty message plates.
- Created a survey for NLCC to get feedback from beer wholesalers on a new service and sent out postcards to beer wholesalers asking them to take the survey.

- Met with NADC to go over funding options for the online campaign statement filing application.
- Finalized the template for Veteran's Affairs Website
- Initial meeting with AOC to go over online Court Case Images.
- Met with NLCC to go over survey results for the online Beer Wholesaler tax reports.
- Met with DNR to go over the new contract request.
- Received signature on concept document for the NLCC Beer Wholesaler Tax Reports
- Initial meeting with AOC and US Bank to go over the Motor vehicle Citation payment system.
- Attended the Well Driller's Board Meeting.
- Received signature on concept document for the NADC Online campaign statement filings application.
- Launched the Veteran's Affairs, Post Traumatic Stress Disorder (PTSD) website.

## **May**

- Created HTML prototype of SOS website redesign.
- Completed preliminary testing of UCC Amendments application (user interface only).
- Created test scripts for database insert and image creation testing of the UCC amendments application
- NREC Broker and Salesperson applications now in final testing phase.
- Met with SOS on EFS image creation.
- Drafted functional specifications and created preliminary designs for Business One Stop registration application. Presented and reviewed with work group.
- Created multiple designs for Board of Landscape Architects website redesign.
- Meetings with SED for agency review and approval of rewrite of on-line electrical permit application.

- Meeting with SOS Records division to discuss updating Records Board grant applications.
- Completed testing of Google search enhancement to the Nebraska Administrative Code.
- Met with NADC to go over functionality of the online campaign statement filings.
- Met with NLCC to go over functionality of the online Beer Wholesaler Reports
- Meeting with John Gale and staff to choose Screen Saver Art Contest finalists from each grade.
- Trained Furnas County representatives on ZOPE using ReadyTalk.
- Meeting with Michelle Raphael, from the State Treasure's office, to go over the ACH payment process
- Meeting with Gary Seacrest to go over the Art Contest Winner certificate changes.
- Drafted the NADC grant information and reviewed with agency.

## June

- Meeting with John Gale for approval of new website design. Design approved.
- Meeting with SOS to go over changes
- Completed 1500 tests of UCC Amendments application. Began process of verification of database insertion and image creation.
- Re-launched online form 941N for Department of Revenue. Began process of automating file archiving.
- Received signature on functional specifications for rewrite of on-line electrical permit application to State Electrical Division.
- Completed enhancement to SFM Fireworks Display permit administrative application.
- Completed enhancement to SOS Rules and Regulations Tracking application to allow for editing of records on the Archive docket.
- Launched redesigned website for the Nebraska Board of Geologists.

- Received signature on functional specifications for EFS Continuation and Termination application.
- Integrated Google Search enhancement to return better results for users of the Nebraska Administrative Code online look-up.
- Created Business One Stop Registration prototype and presented for group review.
- Submitted Rules and Regulations tracking application for consideration in Best of the Web competition.
- Met with Board of Engineers and Architects on enhancements to renewal application to be completed.
- Drafted functional specifications, and met with AOC to review.
- Met with HHS Vital Records to discuss improving current birth certificate application, and possibilities for developing new online applications.
- Screen Saver Art Contest Ceremony Day at the Capitol and Governor's Mansion
- Meeting with NLCC to review the first draft of the functional specifications and met with State Treasury's office to discuss payment functionality.
- Received signature on concept document for Administrative Office of the Courts Multi Court Case Calendar.
- Meeting with DMV for New Enhancement request for the Third Party Website.
- Revised NADC online campaign statement filings functional specifications and prototypes and reviewed with agency.
- Drafted a flow chart for DMV Specialty plates and reviewed with agency.
- Met with Administrative Office of the Courts and US Bank to review prototype for the Citation Payment front end system
- Met with AOC and CIO's office to discuss screen scraping for the Multi Court Case Calendar

**Addendum Three  
to the  
Interagency Agreement Between  
Nebraska Legislative Council  
and  
Nebraska State Records Board**

This Addendum Three to the Interagency Agreement between the Nebraska Legislative Council (NLC) and the Nebraska State Records Board (NSRB) sets forth data records to be accessed and certain services provided by Nebraska Interactive, LLC, operated under the auspices and authority of the NSRB and the prices to be charge for such NOL services.

All revenue received pursuant to this addendum shall be deposited by the Nebraska.gov network manager into the State Records Board Cash Fund pursuant to the provisions of the contract between NSRB and Nebraska Interactive, LLC and any addenda thereto, and distributed back to Nebraska.gov as provided below.

**Data Records to be Accessed:** Enhanced Services for access to Legislative Information (legislative bills, amendments, resolutions, etc.)

**Service**

Electronic Bill Book (up to 15 Bills plus daily e-mail notification)

<u>Price</u>	<u>NLC Share</u>	<u>NI Share</u>
No Charge	N/A	N/A

User Profiles (including e-mail notification as appropriate and report generation)

<u>Number of Profiles</u>	<u>Price</u>	<u>R/NLC</u>	<u>R/NI</u>
1 – 3	\$50.00/year	\$25.00	\$25.00
4 – 10	\$100.00/year	\$50.00	\$50.00
11 – 20	\$250.00/year	\$125.00	\$125.00
21 and over	\$500.00/year	\$250.00	\$250.00

Electronic Bill Book and User Profiles will be made available to members of the Legislature, Legislative staff, Legislative Council employees and state agencies at no charge.

It is also agreed that each year the Legislative Council and Nebraska Interactive, LLC will review usage of the system and the revenue split between Nebraska Interactive, LLC and the Nebraska Legislative Council.

\_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_ Date: \_\_\_\_\_

Senator Patrick Engel, Chariman  
Executive Board of the Legislative Council

John Gale, Chairman  
Nebraska State Records Board

**Addendum Seven  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

This Addendum Seven to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Online Driver License Reinstatements

**Price:** This application will be funded through a transactional revenue model. Pricing is as follows:

DMV Statutory Reinstatement Fee	Nebraska.gov Portal Fee	Total End User Fee Paid.
\$50.00	\$2.25	\$52.25
\$75.00	\$2.90	\$77.90
\$125.00	\$4.25	\$129.25

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the DMV. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_

**Addendum Seven  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

This Addendum Seven to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Online Driver License Reinstatements

**Price:** This addendum covers all Nebraska Department of Motor Vehicles Online Driver License Reinstatements transactions processed electronically with the Nebraska Department of Motor Vehicles through Nebraska.gov. Nebraska.gov shall receive \$1.00 for each and every Online Driver License Reinstatements done electronically through Nebraska.gov.

**Terms:** Nebraska.gov will process the total of all transactions through the state selected credit card merchant. These funds will be deposited on behalf of the Nebraska Department of Motor Vehicles. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_

**Addendum Eight  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

This Addendum Eight to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Specialty License Plates

**Price:** This application will be funded through a transactional revenue model. Pricing is as follows:

DMV Statutory Fee	Nebraska.gov Portal Fee	Total End User Fee Paid
Message Plates: \$30.00	\$2.00	\$32.00
Husker Spirit Numeric Plates: \$70.00	\$2.75	\$72.75
Husker Spirit Plates: \$70.00	\$2.75	\$72.75
County Message Plates (Current Graphic) :\$30.00	\$2.00	\$32.00
County Message Plates (Husker Spirit Graphic): \$70.00	\$2.75	\$72.75

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the Nebraska Department of Motor Vehicles. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_

**Addendum Eight  
to the  
Interagency Agreement Between  
Nebraska Department of Motor Vehicles  
and  
Nebraska State Records Board**

This Addendum Eight to the Interagency Agreement between the Nebraska Department of Motor Vehicles (DMV) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Specialty License Plates

**Price:** This addendum covers all Nebraska Department of Motor Vehicles Specialty Plate transactions processed electronically with the Nebraska Department of Motor Vehicles through Nebraska.gov. Nebraska.gov shall receive \$1.00 for each and every Specialty Plate purchased electronically through Nebraska.gov

**Terms:** Nebraska.gov will process the total of all transactions through the Nebraska.gov credit card merchant account. These funds will be deposited on behalf of the Nebraska Department of Motor Vehicles. Nebraska.gov will submit an invoice to the Nebraska Department of Motor Vehicles for the total amount of the Nebraska.gov Transaction Fee's collected at the close of each month. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Officer  
Nebraska Department of Motor Vehicles

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_

**Addendum Five  
to the  
Interagency Agreement Between  
Nebraska Liquor Control Commission  
and  
Nebraska State Records Board**

This Addendum Five to the Interagency Agreement between the Nebraska Liquor Control Commission (NLCC) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska Interactive LCC (NI) (Nebraska.gov, operated under the auspices and authority of the Nebraska State Records Board), and terms of payment for such services.

**Project:** Hosting and Maintenance of the NLCC Online Beer Forms & Online Wine/Spirit Forms

**Price:** \$5,000 Annually

**Terms:** Nebraska.gov will submit an invoice on a yearly basis to the Nebraska Liquor Control Commission for the amount due. Billing will begin during the month the Online Beer Forms application becomes available for public use. Terms of payment are net 45 days.

By: \_\_\_\_\_  
Authorized Party  
Nebraska Liquor Control Commission

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

Date: \_\_\_\_\_

**Addendum Four  
to the  
Interagency Agreement Between  
Nebraska Accountability and Disclosure Commission  
And Nebraska State Records Board**

This Addendum Four to the Interagency Agreement between the Nebraska Accountability and Disclosure Commission (NADC) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska Interactive LCC (NI) (operated under the auspices and authority of the Nebraska State Records Board), and terms of payment for such services.

**Project:** Hosting and maintenance of the NADC reporting database, NADC Form B-7 and NADC Form B-4 on servers located at NIC central datacenter in Alexandria, Virginia. NIC is the parent company of Nebraska Interactive LLC (dba Nebraska.gov). Nebraska Interactive is the process of moving all Nebraska.gov web site applications to the new datacenter.

The NADC database will be hosted in a database instance and administration and maintenance will be onsite at the NIC datacenter. Updates to the NADC database will be done either by or with the technical assistance of Nebraska.gov staff.

Back up of the data and disaster recovery of the NADC database at the NIC datacenter are included in this housing agreement.

The data of the NADC stored pursuant to this Addendum remains the property of NADC. In the event of the termination of this Addendum or the Master Agreement between Nebraska Interactive and the Nebraska State Records Board, Nebraska Interactive shall transfer to NADC all NADC data stored by NIC and/or Nebraska Interactive.

NADC will receive a maximum of 18 hours to be used for the development of application enhancement requests. Any hours used beyond the 18 hours maximum will be billed at \$55.00 per hour. Nebraska.gov will provide a formal estimate to NADC and will only perform work against the estimates with the written consent from an authorized officer of NADC.

**Price:** \$1,000 Annually

Billing will be on a monthly basis beginning July 1, 2007. Upon mutual agreement of the parties involved the billing may change to the lump sum payment of the total amount due at the beginning of the State of Nebraska fiscal year on July 1, 2007.

This Addendum shall expire on January 31, 2009 unless renewed.

**Terms:** Nebraska.gov will submit an invoice to the Nebraska Accountability and Disclosure Commission for the amount due. Terms of payment are net 45 days.

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Authorized Officer  
Nebraska Accountability and Disclosure Commission

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

**Addendum Two  
To the  
Interagency Agreement Between  
Department of Natural Resources  
And  
Nebraska State Records Board**

This Addendum Two to the Interagency Agreement between the Nebraska Department of Natural Resources (DNR) and the Nebraska State Records Board sets forth certain services to be provided by Nebraska.gov (operated under the auspices and authority of the Nebraska State Records Board), prices to be charged for such Nebraska.gov services, and terms of payment for such Nebraska.gov services.

**Project:** Department of Natural Resources Water Well Registrations, Pump Installations and Pump Decommissions

**Price:** This addendum covers all Nebraska Department of Natural Resources Water Well Registrations, Pump Installations and Pump Decommissions processed electronically with the Nebraska Department of Natural Resources through Nebraska.gov. Nebraska.gov shall receive 7% of each and every Water Well Registration processed through Nebraska.gov.

R/DNR – 93%                  R/Nebraska.gov – 7%

**Terms:** Collection of all Water Well Registration fees and disbursement of fees to DNR shall be in accordance with Section 6 of the original agreement between NSRB and the DNR. Invoices for all services rendered by Nebraska.gov will be prepared by Nebraska.gov and provided to DNR on a monthly basis. Rates for services shall be as provided above in this addendum. Terms of the invoice payment shall be net forty-five (45) days.

\_\_\_\_\_  
Authorized Officer  
Department of Natural Resources

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Officer  
Nebraska State Records Board

\_\_\_\_\_  
Date

## NSRB Report – August 1, 2007

### (1) Board of Public Accountancy – BPA

**Current:** 6/25/07: Met with B. Stevenson, C. Easley, G. Norton, Jo, Kelly and Laurie Schlitt. Planning CPR launch on 7/16.

**Prior:** IMS will continue to support the JoKel backend system with the latest version of FoxPro which is still supported. The project is not yet complete. The CPE portion is finished with the License Renewal and CPE reporting scheduled for completion in Q2 - 07. I will ask BPA for a formal extension. An extension until August 17, 07 has been requested.

BPA members – Dan Sweetwood, Doug Skiles, Jo Lowe, Kelly Ebert  
NE.gov – Brian Stevenson, Carmen Easley, Glen Norton

### (2) Kearney County

**Current:** The project is finished and the grant money disbursed.

**Prior:** They are working with GIS (software vendor) and expect to have it finished in another month. I think the hardware concern was addressed and I will confirm that it has. I spoke with Rick Becker, IMS regarding the hardware question. He said that was not a requirement but rather a recommendation that Kearney be aware of a possible bandwidth/performance problem. Kathy Russell said they are not having any performance problems. GIS and the Kearney County Board will be meeting on March 20<sup>th</sup> or April 6<sup>th</sup> to verify the project is complete. Kearney has not submitted any invoices yet but plan on submitting invoices by the end of March or April.

Kathy Russell, Highway Superintendent  
Marcus Tooze, GIS Workshop

### (3) Barbers Examiners

**Current:** Met with Dave Hattan and Laurie Schlitt to discuss a more comprehensive user manual. They will give me a cost estimate after which I will meet with Ron Pella.

**Prior:** At this point the project is considered complete with the exception that the software may require minor adjustments when the entire April renewal process starts. The 'end to end' testing has been completed as much as it can be and all indications are that everything is working. The final test will be the actual April renewals processing.

Ron Pella, Director  
Dixie Horn, Administration

#### **(4) Merrick County**

**Current:** The project is finished and the grant money disbursed..

**Prior:** They have been installing GIS and will be submitting a claim. The county has paid one invoice. I will confirm the compliance with NITC standards. GIS will be meeting with the County Board to verify after which they will be ready to send invoices

Jan Placke, County Assessor

#### **(5) Real Estate Appraisers Board – REAB**

**Current:** 6/30/07: Met with L. Goens, J. Jurgens, IMS to discuss the data base (manual data entry), Federal Export and Pocket Cards, screen reorganization and user manual. I recommended a time extension which was granted and waiting for a response from Kitty to schedule the remaining work.

**Prior:** Met with Kitty Policky who is acting as temporary director due to the departure of Jill Eikstein, former Agency Director. Ms Policky had concerns about the software and I will be working with IMS to gather details on the project regarding her concerns.

I met with Lavern Goens, IMS and he stated that Jill Eikstein, former Director, was satisfied with the software application and considered it complete. However there seems to be a difference of opinion regarding Kitty Polickys assessment of the project. I am in the process of investigating the changes that might be required.

I am working with IMS and REAB to define some specifics that Kitty still needs.

Kitty Policky, Executive Director

#### **(6) Webster County**

**Current:** This project is complete.

**Prior:** The remaining \$324.00 can be returned to the NSRB fund.

Sonja Krueger, County Assessor

## **(7) Johnson County**

**Current:** They have a new county surveyor which caused some delay during the transition. K. Koehler and Brent Jorgenson, Surveyor met with Larry Zink and Cathy Lang to review compliance requirements.

**Prior:** The technical Review Committee has requested that Johnson County comply with NITC Standards and asked that they work with the State's GIS Coordinator to accomplish this.

I met with Rick Becker, IMS and then received a copy of a letter from Larry Zink, GIS Coordinator that was sent to Johnson Country. I then met with Larry Zink and it appears that compliance has been met.

Karen Koehler, County Assessor  
Mike Schwab, TerraScan

## **(8) DAS**

**Current.** Six vendors demonstrated the software and DAS has identified the top vendor. They plan to present their recommendation to the Director in the next two weeks.

**Prior:** The Applicant Tracking System vendor bids were opened on March 22. The team is in the process of evaluating the 10 responses that were received. The top vendors will be identified by April 11 and ask for demonstrations. They intend to award by May 4<sup>th</sup>.

The Applicant Tracking System RFP was released on 2/14/04 with bids due 3/22/07. The Schedule of Events calls for the vendor start date to be 6/1/07.

They are hoping the RFP will be released sometime in February.

Vickie Logan, Recruitment Manager  
Mike McCrory, Director

## **(9) UCC - Business Services**

**Current:** The project is on schedule and internal testing is complete. They will be ready to have select users start testing the system.

**Prior:** Met with Jennifer Rasmussen, Project Manager for Ne.gov. The project timetable is on target with a prototype in place and the application development moving forward. The general plan is to select certain UCC users to start testing the system in mid-April much like they did with the Board of Public Accountancy. The EFS project is scheduled for Q3.

I met with Debbie Pester and started reviewing documentation and specifications with NE.gov.

Jennifer Rasmussen, Project Manager – NE.gov.